




H2020-TWINN-2015. Grant Agreement no 691936	
Project full title:	Remote Sensing Science Center for Cultural Heritage
Project acronym:	ATHENA
Work Package	WP4
Deliverable	D4.8 Material virtual training



© Copyright by the **ATHENA** consortium, 2015-2018. The project that has received funding from the European Union's Horizon 2020 research and innovation programme under grant agreement No 691936 (H2020-TWINN-2015). More info regarding the project you can find here: www.athena2020.eu

DISCLAIMER: This document contains material, which is the copyright of **ATHENA** consortium members and the European Commission, and may not be reproduced or copied without permission, except as mandated by the European Commission Grant Agreement No 691936 for reviewing and dissemination purposes. The information contained in this document is provided by the copyright holders "as is" and any express or implied warranties, including, but not limited to, the implied warranties of merchantability and fitness for a particular purpose are disclaimed. In no event shall the members of the **ATHENA** consortium, including the copyright holders, or the European Commission be liable for any direct, indirect, incidental, special, exemplary, or consequential damages (including, but not limited to, procurement of substitute goods or services; loss of use, data, or profits; or business interruption) however caused and on any theory of liability, whether in contract, strict liability, or tort (including negligence or otherwise) arising in any way out of the use of the information contained in this document, even if advised of the possibility of such damage.

	<p>H2020-TWINN-2015 Grant Agreement no 691936</p> <p>This project is funded under the EUROPEAN COMMISSION in the Framework Programme for Research and Innovation (2014-2020).</p>	
Call:	Work programme H2020 under “ Spreading Excellence and Widening Participation ”, call: H2020-TWINN-2015: Twinning (Coordination and Support Action).	
Project full title:	Remote Sensing Science Center for Cultural Heritage	
Project acronym:	ATHENA	
Work Package (WP):	WP4	
Deliverable (D):	D4.8 Material virtual training	
Due date of deliverable:	November 2018	Version: 1
Author(s):	Diofantos G. Hadjimitsis, Argyro Nisantzi, Kyriakos Themistocleous, Christodoulos Mettas, Evagoras Evagorou, Athos Agapiou, Vasiliki Lysandrou, Christiana Papoutsas, Andreas Christofe, Marios Tzouvaras	
Contributor(s):	Rosa Lasaponara, Nicola Masini, Thomas Krauss, Gunter Schreier	
Start date of project:	1/12/2015	Duration: 36 months

Document Sign-off				
Nature	Name	Role	Partner	Date
DRAFT	Diofantos G. Hadjimitsis, Argyro Nisantzi, Kyriakos Themistocleous, Christodoulos Mettas, Evagoras Evagorou, Athos Agapiou, Vasiliki Lysandrou, Christiana Papoutsas, Andreas Christofe, Marios Tzouvaras	Coordinator	CUT	02/11/2018
REVIEWED	Rosa Lasaponara, Nicola Masini, Thomas Krauss, Gunter Schreier	Advance partners	CNR & DLR	19/11/2018
APPROVED	Diofantos G. Hadjimitsis	Project Coordinator	CUT	29/11/2018

Dissemination Level		
PU	Public	<input checked="" type="checkbox"/>
CO	Confidential, only for members of the consortium (including the Agency Services)	<input type="checkbox"/>

Work Package: 4 – Training and knowledge transfer				
Deliverable: D4.8 - Material virtual training				
Sections to be protected	Description	Owner	Access Rights	
			Period	Type*
none				

Table of Contents

1. Summary	6
2. Material from the Virtual Trainings	7
2.1 VIRTUAL TRAINING 1: “HYPER SPECTRAL PROCESSING”	7
2.1.1 Description.....	7
2.1.2 Participants.....	7
2.1.3 Presentation 1: “Analysis of Hyperspectral images – Basic concepts”	8
2.1.4 Presentation 2: “Analysis of Hyperspectral images – Band selection”	19
2.2 VIRTUAL TRAINING 2: “MULTI-TEMPORAL REMOTE SENSING ANALYSES” ...	54
2.2.1 Description.....	54
2.2.2 Agenda and participants	54
2.2.3 Presentations on “Multitemporal analyses in Earth Observation”	60
2.3 VIRTUAL TRAINING 3: “SATELLITE MONITORING FOR ARCHAEOLOGICAL LOOTING”	90
2.3.1 Description.....	90
2.3.2 Agenda and Participants.....	92
Detailed training program	92
2.3.3 Presentations on “Archaeological looting. Ancient problems and new approaches based on remote sensing”	96
2.3.4 Presentation – Classification.....	122
2.4 VIRTUAL TRAINING 4: “INTEGRATION OF REMOTE SENSING DATA FOR PROTECTION AND PRESERVATION OF CULTURAL HERITAGE”	134
2.4.1 Description.....	134
2.4.2 Agenda	135
2.4.3 Presentation of “Data integration and fusion: state-of-the art” and “Future perspectives for archaeological prospection and architectural heritage monitoring”	141
2.4.4 Presentations of the “Case studies and applications”	162
3. Overall	181

1. Summary

Work package 4 focused on the training and knowledge transfer between the existing personnel of the Remote Sensing Lab of the Cyprus University of Technology and experts from the high performing partners' institutions. The current deliverable consists precisely of the specific training/knowledge transfer activity of the virtual trainings that have taken place throughout the project.

The deliverable provides a brief description for each virtual training, all relative information as per topic, participants, date etc. Also, the material produced by each trainer, is also hereunder displayed.

The topics of virtual trainings focused on the analysis of hyperspectral images, the use of remote sensing for looting monitoring and the multi-temporal remote sensing analyses. In addition, the fourth virtual training was focused on the Integration of RS data for Cultural Heritage management in the Copernicus Era.

2. Material from the Virtual Trainings

2.1 VIRTUAL TRAINING 1: “HYPERSPECTRAL PROCESSING”

2.1.1 Description

The first virtual training carried out by Dr. Daniele Cerra from DLR and was performed on the 16th of February 2016, using the skype platform. The training was entitled “Hyperspectral processing”, with a special focus on the analysis of hyperspectral images. Two presentations were provided for this virtual training, addressing basic concepts and band collection for the analysis of hyperspectral images.

2.1.2 Participants

No.	Name	Role	Institution
1	Daniele Cerra	Trainer	DLR
2	Vasiliki Lysandrou	Trainee	CUT
3	Athos Agapiou	Trainee	CUT
4	Christodoulos Mettas	Trainee	CUT
5	Branca Cuca	Trainee	CUT
6	Kyriakos Themistocleous	Trainee	CUT
7	Evagoras Evagorou	Trainee	CUT
8	Argyro Nisantzi	Trainee	CUT



Group photograph at the end of the training at the premises of the Cyprus University of Technology

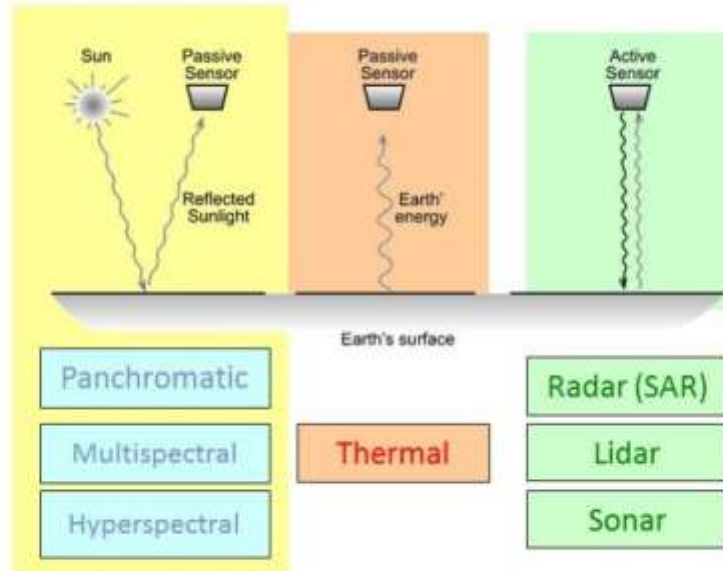
2.1.3 Presentation 1: “Analysis of Hyperspectral images – Basic concepts”

Hereunder the first presentation on the topic “Analysis of Hyperspectral images – Basic concepts” is given.

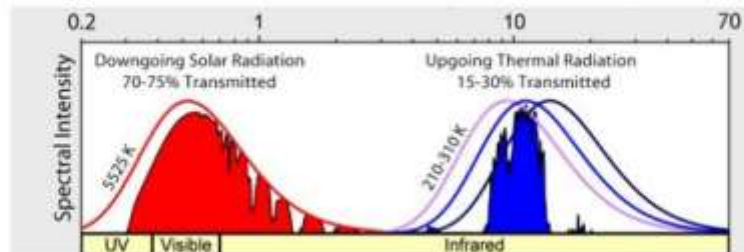
The slide features a white background with a blue and green Earth image at the bottom. The text is arranged as follows:

- Daniele Cerra**
German Aerospace Center (DLR)
Remote Sensing Technology Institute
- ATHENA Virtual Training Seminar
27 Jan 2016
DLR, Oberpfaffenhofen
Cyprus University of Technology, Limassol
- Analysis of Hyperspectral Images**
- Basic concepts
- DLR logo (a stylized star) in the bottom left corner.
- The phrase "Knowledge for Tomorrow" is overlaid on the Earth image.

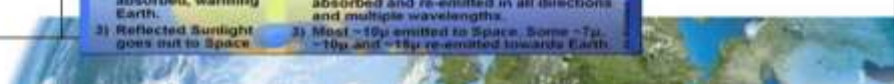
Sensors in Remote Sensing



Radiation transmitted by the atmosphere



Sensor Type	Diagram
Panchromatic	<p>1) Sunlight to Earth. 2) Part reflected, part absorbed, warming Earth. 3) Reflected Sunlight goes out to Space.</p> <p>1) Earth longwave radiation to Atmosphere (~7µ, ~10µ, ~15µ). 2) Part passes thru ~10µ window, part absorbed and re-emitted in all directions and multiple wavelengths. 3) Most ~10µ emitted to Space. Some ~7µ, ~10µ and ~15µ re-emitted towards Earth.</p>
Multispectral	
Hyperspectral	
Thermal (HS)	





Institut für Methodik der Fernerkundung

On which principle is a hyperspectral sensor based?

HS Sensor

1400 nm
...
860 nm
...
460 nm

Institut für Methodik der Fernerkundung

Exercise 9

Hyperspectral Images

Up to 250 contiguous bands

cross-track

20 m

along track



kaolinite

Reflectance

Wavelength (μm)

The spectrum of a pixel is represented by its values across all bands

- A Hyperspectral image is acquired by a sensor with a high number of narrow and contiguous bands
- Spatial resolution
 - \approx 1 to 4 meters (airborne sensors, state of the art)
 - \approx 30 meters (satellites, experimental, future missions)
- Spectral range: usually 0.4 – 2.5 micrometers (μm)
- Each pixel has a characteristic spectrum
 - In this example it is related to a mineral (kaolinite) \rightarrow

Institut für Methodik der Fernerkundung

Hyperspectral

Buddingtonite

CHIME!

Alunite

Chalcedony

Institut für Methodik der Fernerkundung

Acquisition Systems

Display Control Spectral View Split Profiles Full Range ROI 2-DIM

File Edit View Opt Save Filter Reflectance Plot Trace

Dark Current
Sample 10
Time 00:01:14

White Reference
Sample 10
Time 00:01:06

Spectral Avg
Sample 10

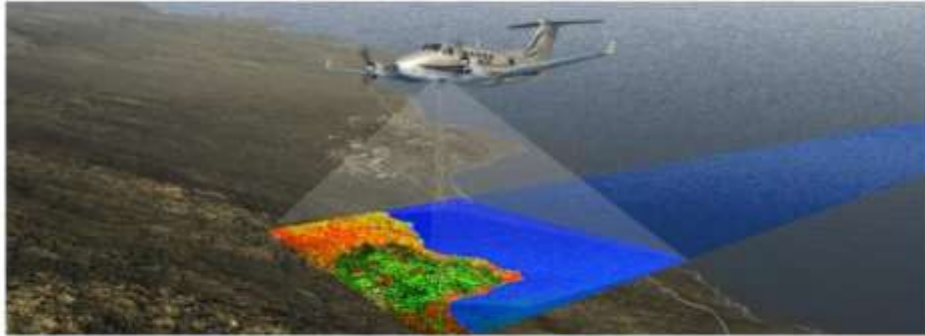
Spectrum Size
Spectrum 2000

Wavelength in nm

Reflectance

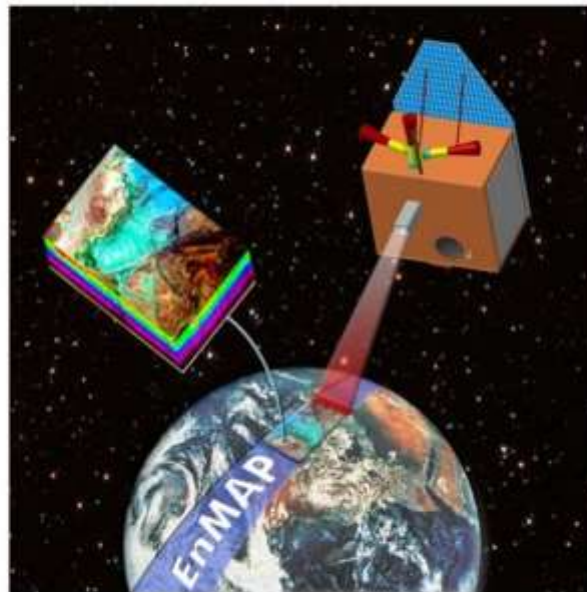
Institut für Methodik der Fernerkundung

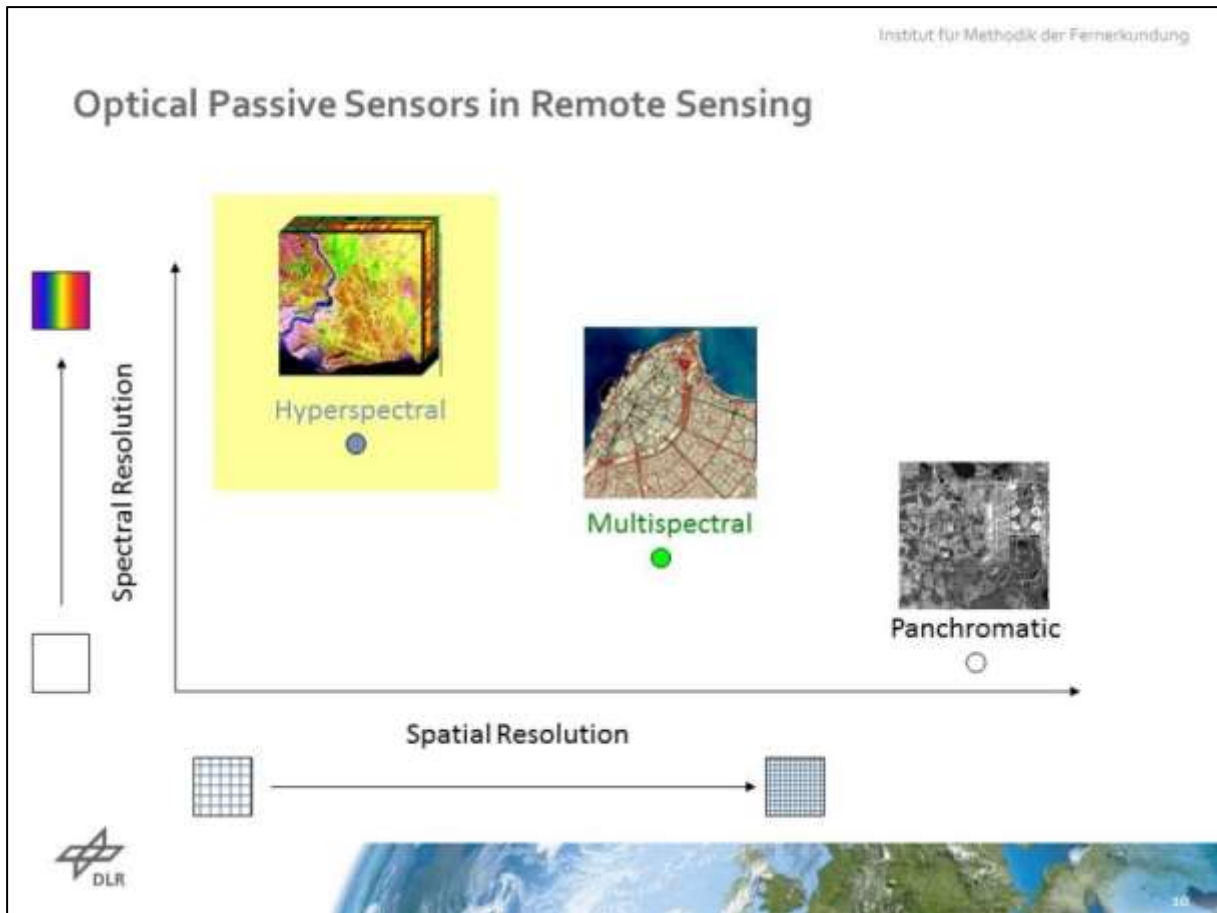
Acquisition Systems



Institut für Methodik der Fernerkundung

Acquisition Systems

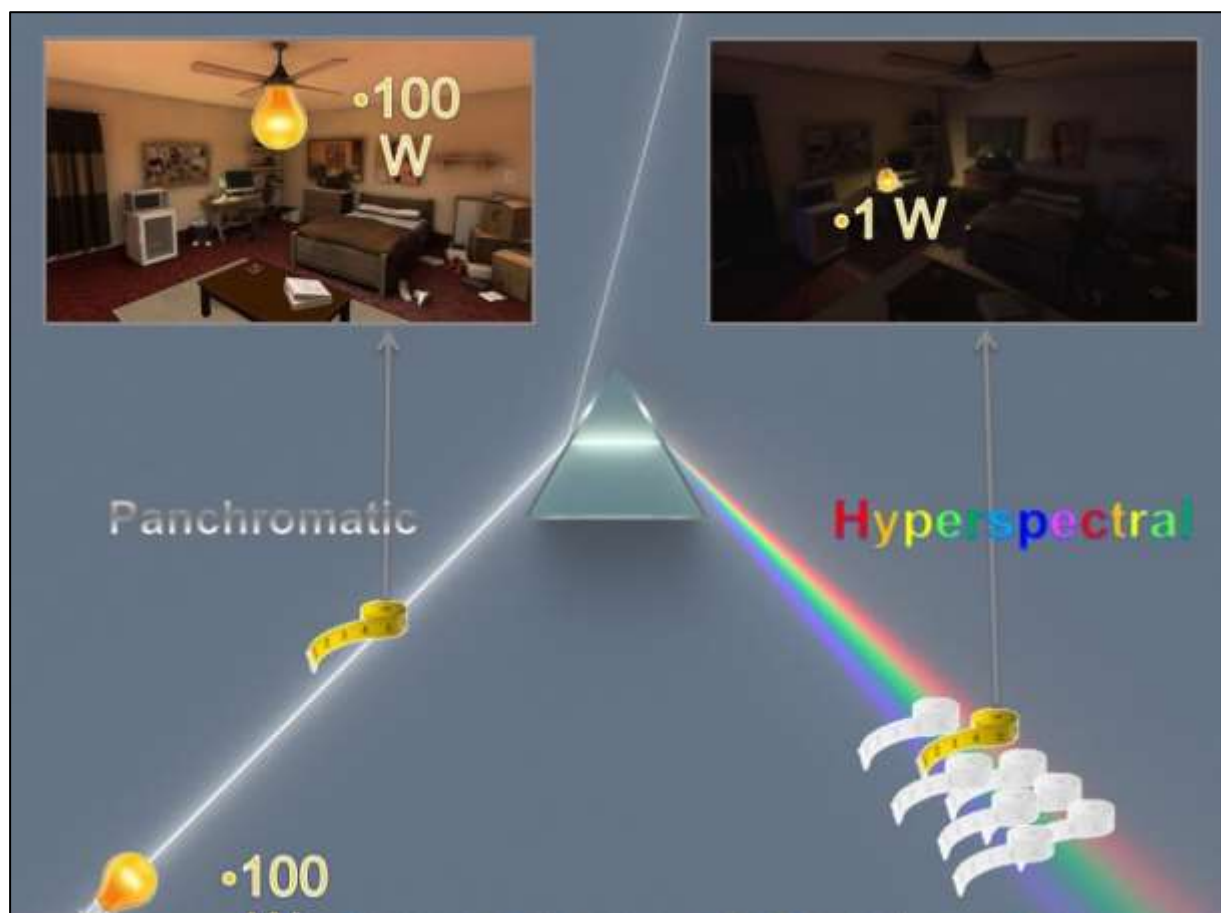
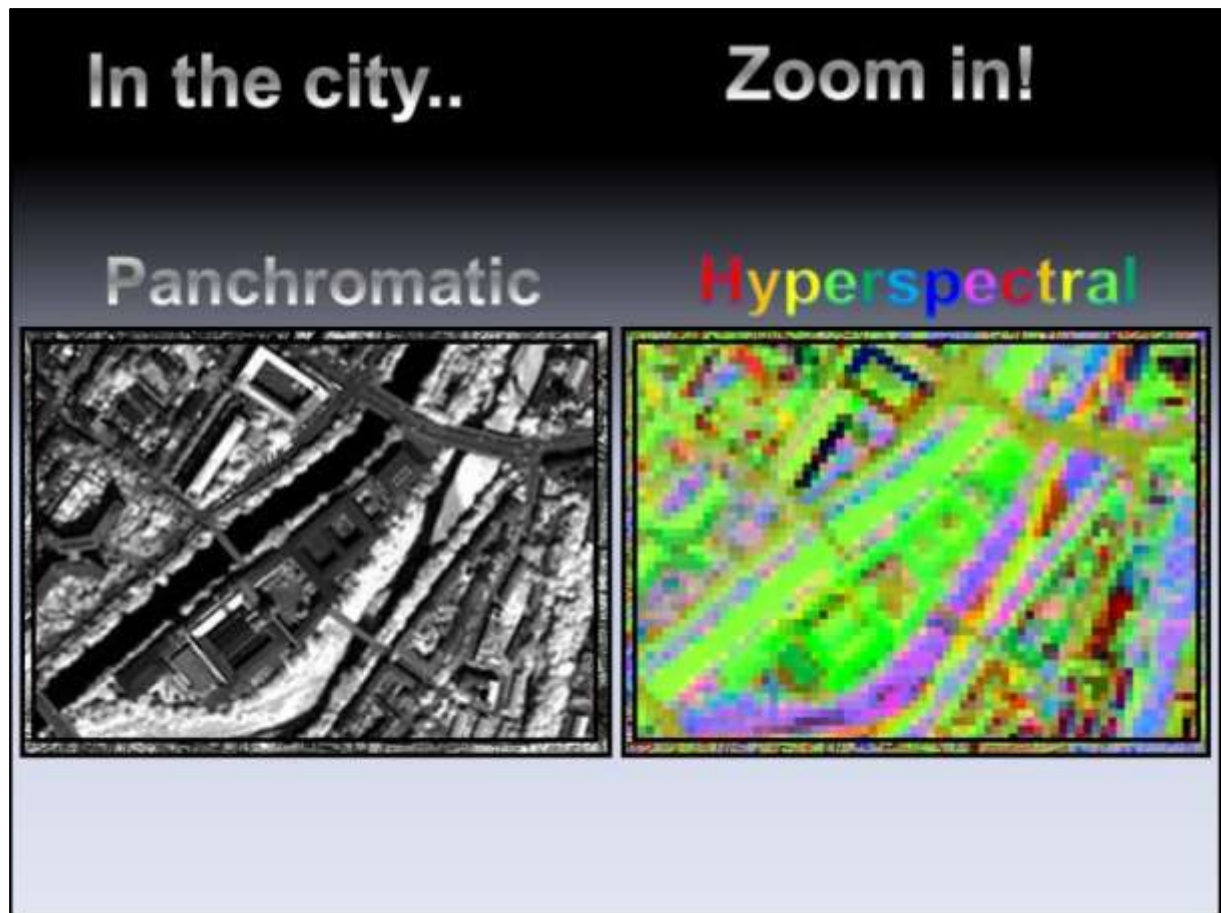




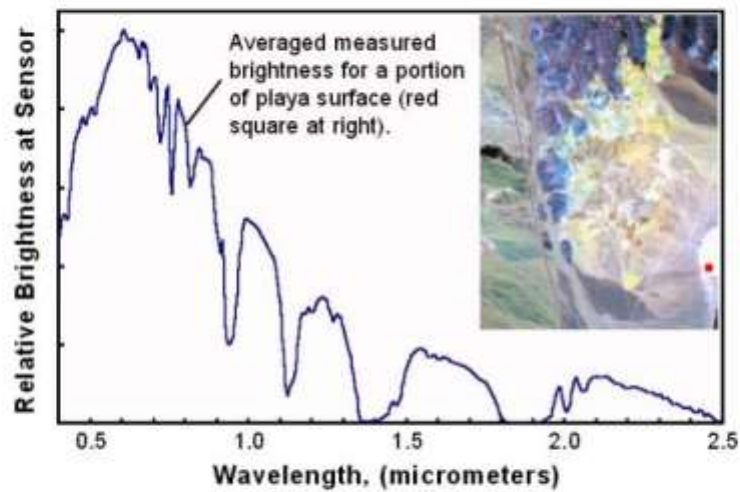
Institut für Methodik der Fernerkundung

Why are **spatial** and *spectral* resolution inversely proportional?

DLR



From radiance to reflectance

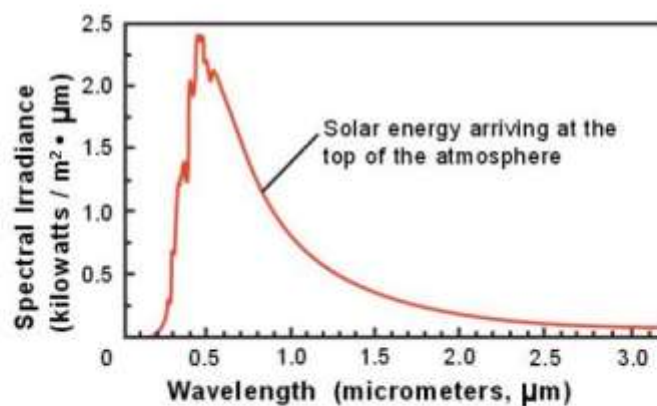


- If we want to know which fraction of the incoming solar energy is reflected by each band, we have to process the radiance values (amount of light/radiation measured in each band)



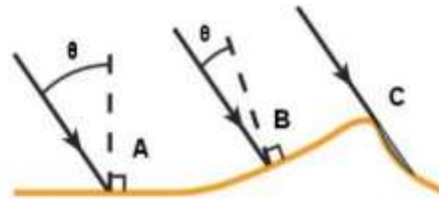
From radiance to reflectance

- The solar energy is not constant across all the bands! We must correct this



From radiance to reflectance

- Geometric effects / shadows



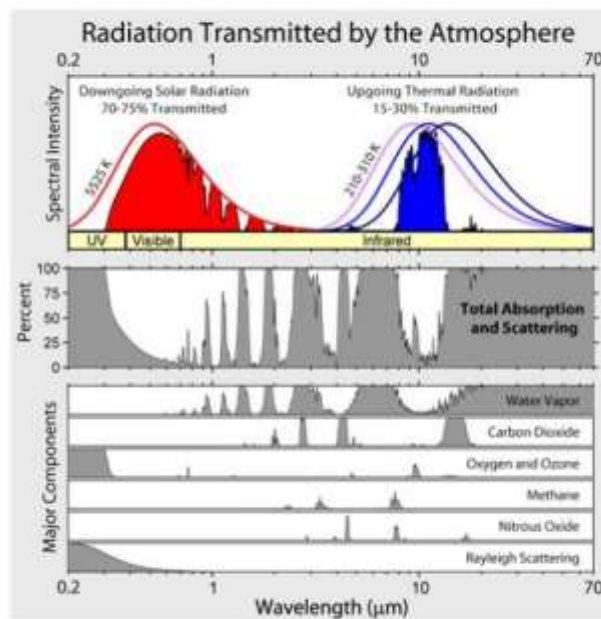
Illumination differences can arise from differing incidence angles (θ) as for A and B, or from shadowing (C).



Figure by TNTmips

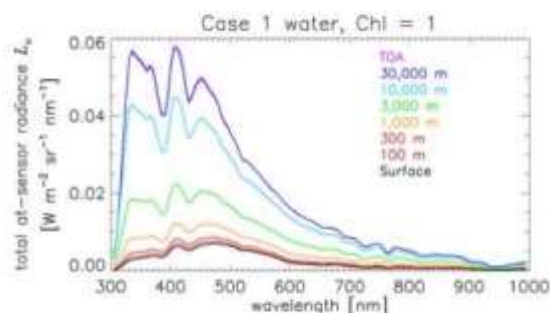
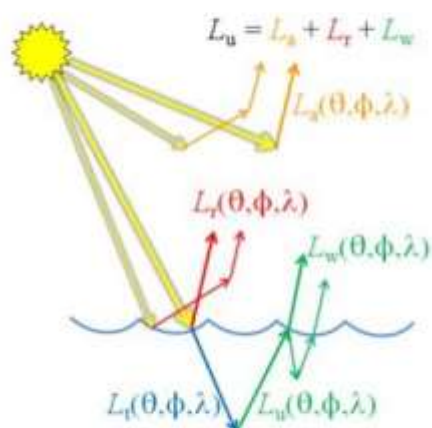
From radiance to reflectance

- Atmospheric effects



Why is the sky blue?

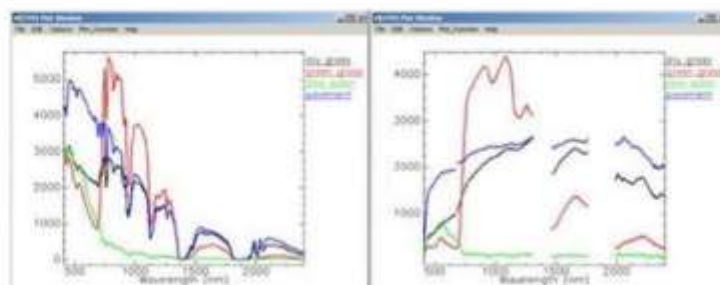
– Atmospheric path radiance $\rightarrow L_a$



– Less important at long waves (infrared), more evident at short wavelengths



From radiance to reflectance



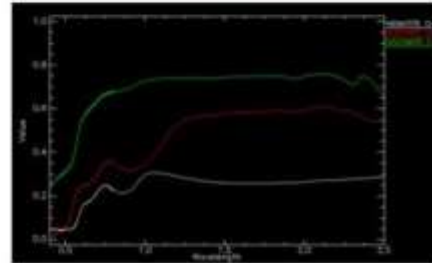
- After correcting all these aspects, we can convert each pixel value into the fraction of reflected energy for each band (from 0 to 1).
- To do this there are a lot of different methods
 - We are not going to see them in detail
- It is not mandatory to do this (only if we need to work with physical values)
- For statistical operations we can also use the data in radiance



Spectral Signatures



da Vinci Warhol Picasso



Each material can be identified through its characteristic spectral signature

- In this example 3 spectra of minerals acquired in laboratory
- Different members in each class (in this case different kinds of rocks):
 - **Cannot always be identified** by the "level" of the curves
 - In an image these depend on illumination conditions
 - **They are usually identified** by small variations in frequency of the maxima and minima of the slope (derivative) of the curve

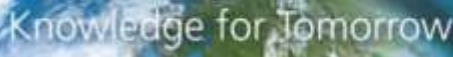
2.1.4 Presentation 2: “Analysis of Hyperspectral images – Band selection”



Daniele Cerra
German Aerospace Center (DLR)
Remote Sensing Technology Institute

ATHENA Virtual Training Seminar
27 Jan 2016
DLR, Oberpfaffenhofen
Cyprus University of Technology, Limassol

Analysis of Hyperspectral Images

Band Selection

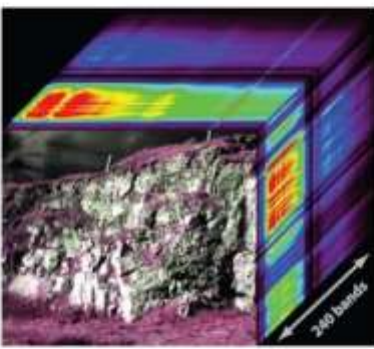


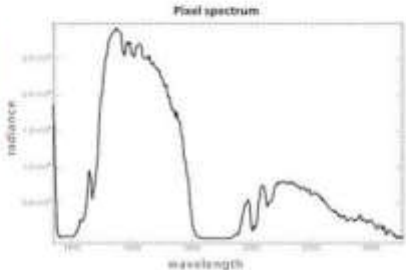
Institut für Methodik der Fernerkundung

Problem

- We have a hyperspectral image...





300 bands



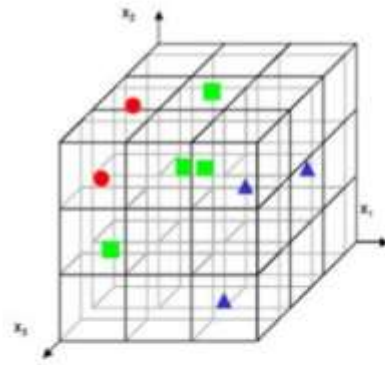
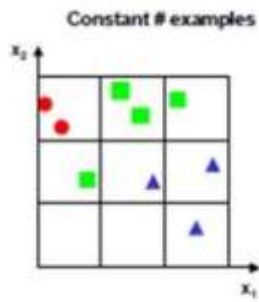
Pixel spectrum

- ...and we want to classify it using a reduced number of dimensions
 - We want to avoid overfitting – curse of dimensionality
 - We do not have „almighty” computers ☺

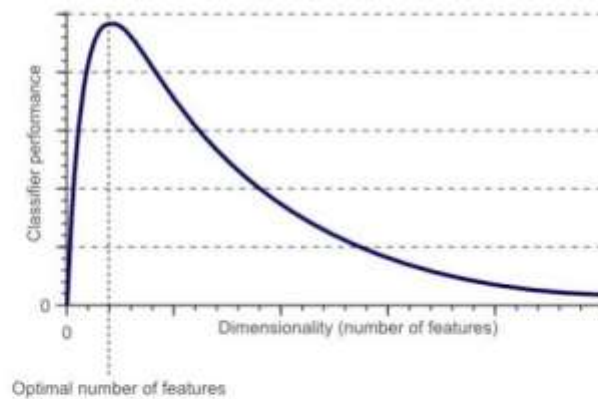
Curse of Dimensionality

– Classification problem: 3 classes



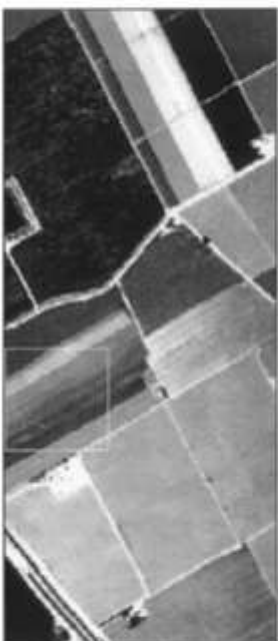
Curse of Dimensionality

– Classification problem

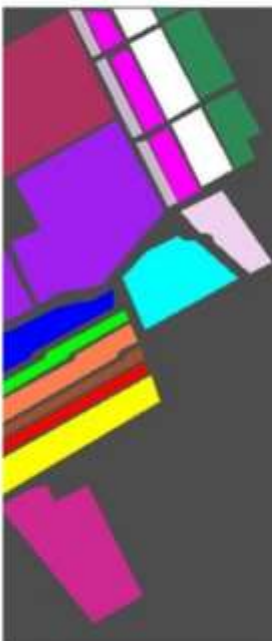


Institut für Methodik der Fernerkundung



Sample image: Salinas AVIRIS Dataset



- Broccoli_green_weeds_1
- Broccoli_green_weeds_2
- Fallow
- Fallow_rough_plow
- Fallow_smooth
- Stubble
- Celery
- Grapes_untrained
- Sole_vineyard_develop
- Corn_senesced_weeds
- Lettuce_remain_4_weeks
- Lettuce_remain_6_weeks
- Lettuce_remain_7_weeks
- Vineyard_untrained


















- Widely used as benchmark dataset
- 512 x 217 pixels
- 224 bands
- 4 m resolution
- 15 classes
- Several crops
- Some classes very similar
 - Broccoli 1 & 2
 - Grapes & Vineyard
 - Lettuces





Institut für Methodik der Fernerkundung

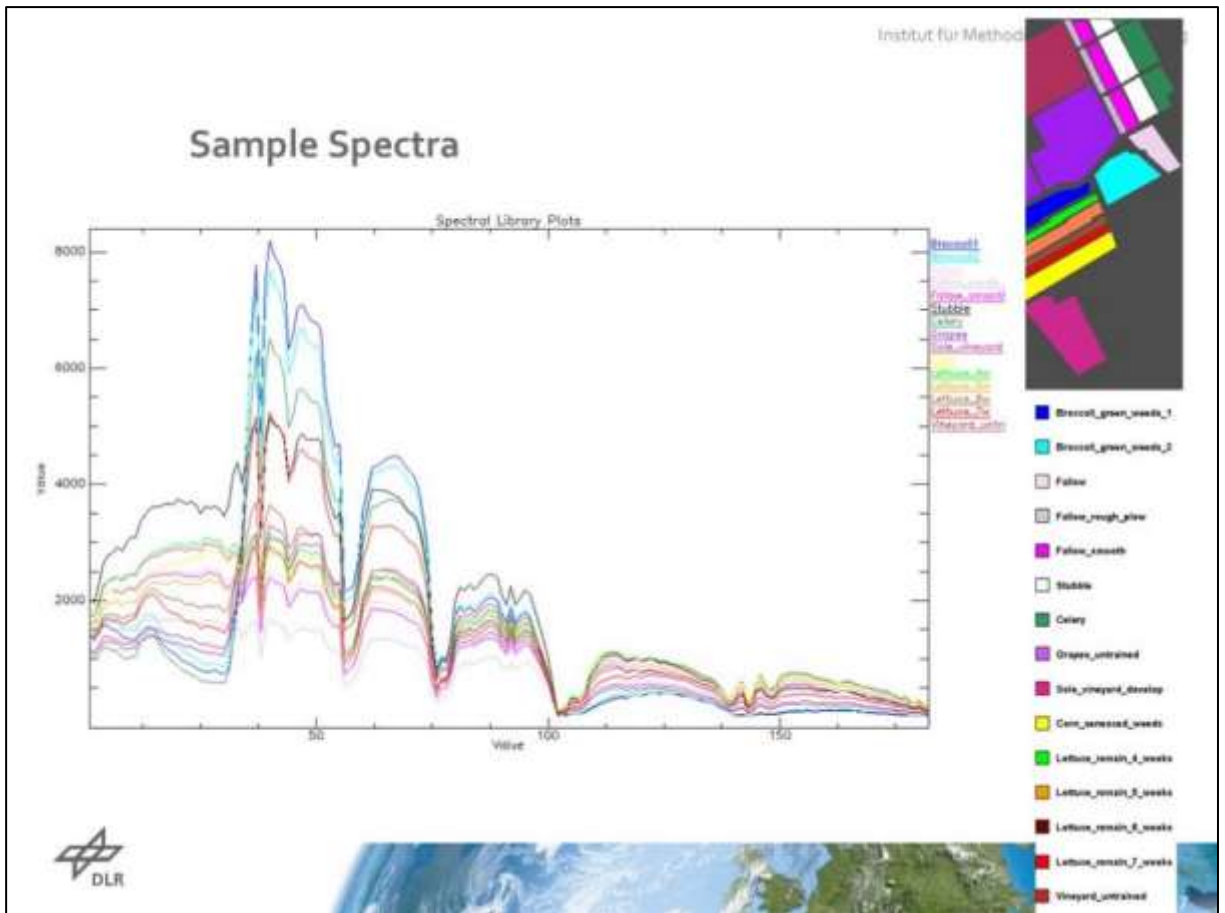
Salinas Dataset

				
Broccoli_green_weeds_1	Broccoli_green_weeds_2	Fallow	Fallow_rough_smooth	Stubble
				
Celery	Sole_vineyard_develop	Corn_senesced_green_weeds	Lettuce_remain_4_weeks	Lettuce_remain_3_weeks
				
Lettuce_remain_6_weeks	Lettuce_remain_7_weeks	Vineyard_untrained		



- Broccoli_green_weeds_1
- Broccoli_green_weeds_2
- Fallow
- Fallow_rough_plow
- Fallow_smooth
- Stubble
- Celery
- Grapes_untrained
- Sole_vineyard_develop
- Corn_senesced_weeds
- Lettuce_remain_4_weeks
- Lettuce_remain_6_weeks
- Lettuce_remain_7_weeks
- Vineyard_untrained



Institut für Methodik der Fernerkundung

Problem

- How to select the "best" bands?
- For example, we want to select 10 bands
- Let's see how...

DLR

How to select these 10 bands?

- Several methods of band selection
- Let's do a small „journey“ into statistics up to the concept of mutual information
- What is the relationship between pixel values in a band and the amount of information they contain?



Solution 1

- Bands 1-10

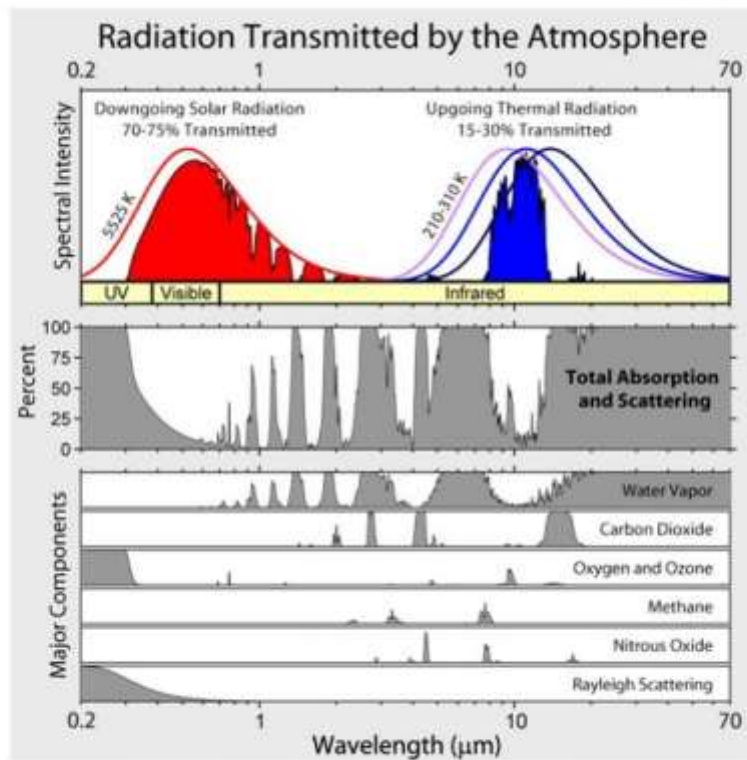


Really?



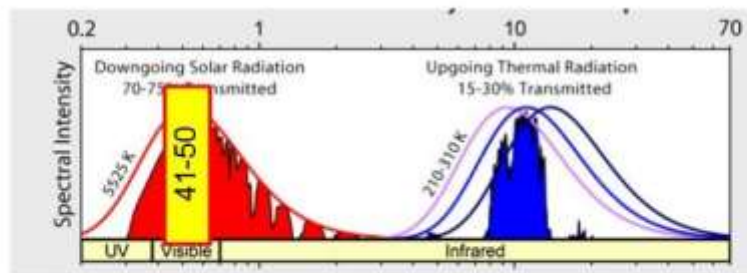
Band 1

- Noisy bands are not used in the analysis
- Why are there noisy bands?

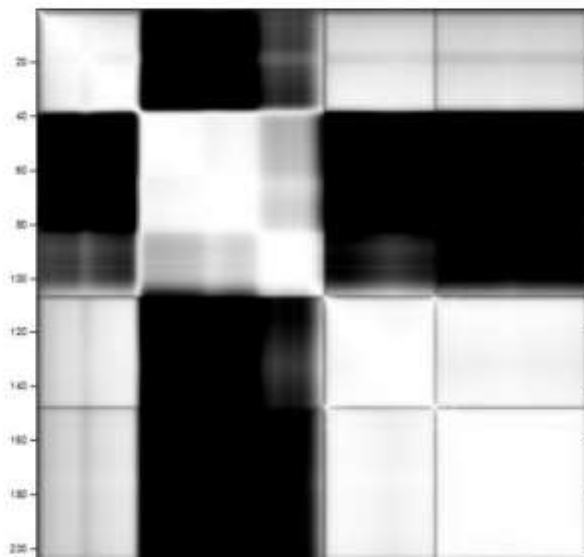


Solution 2

- Bands 41-50
 - In the range with the best Signal-to-Noise Ratio (SNR)



Correlation between bands



Mean

	Score X	$X - \bar{X}$	$(X - \bar{X})^2$
1	3		
2	5		
3	7		
4	10		
5	10		
Totals	35		

•The mean is $35/5=7$.



Standard Deviation

	Score X	$X - \bar{X}$	$(X - \bar{X})^2$
1	3	$3-7=-4$	
2	5	$5-7=-2$	
3	7	$7-7=0$	
4	10	$10-7=3$	
5	10	$10-7=3$	
Totals	35	12	

•The (population) SD is the square root of the squared mean value of the difference from the mean:

$$\bullet \text{Sdev}(X) = \sqrt{\frac{4^2 + 2^2 + 0^2 + 3^2 + 3^2}{5}} = 2.76$$



Variance

	Score X	$x - \bar{x}$	$(x - \bar{x})^2$
1	3	$3-7=-4$	16
2	5	$5-7=-2$	4
3	7	$7-7=0$	0
4	10	$10-7=3$	9
5	10	$10-7=3$	9
Totals	35		38



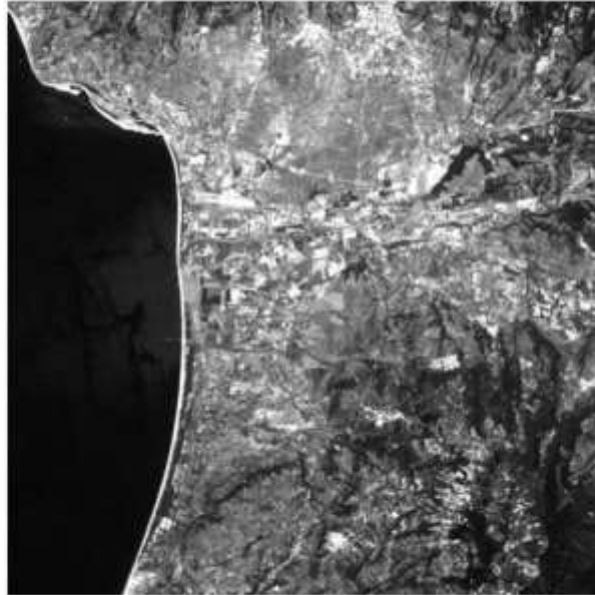
Variance

	Score X	$x - \bar{x}$	$(x - \bar{x})^2$
1	3	$3-7=-4$	16
2	5	$5-7=-2$	4
3	7	$7-7=0$	0
4	10	$10-7=3$	9
5	10	$10-7=3$	9
Totals	35		38

$$s^2 = \frac{\sum (x - \bar{X})^2}{n} = \frac{38}{5} = 7.6$$



Example



Local Variance in a 7x7 Sliding Window

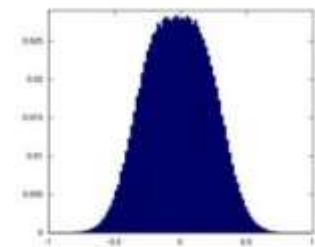


•Exercise 18

Institut für Methodik der Fernerkundung

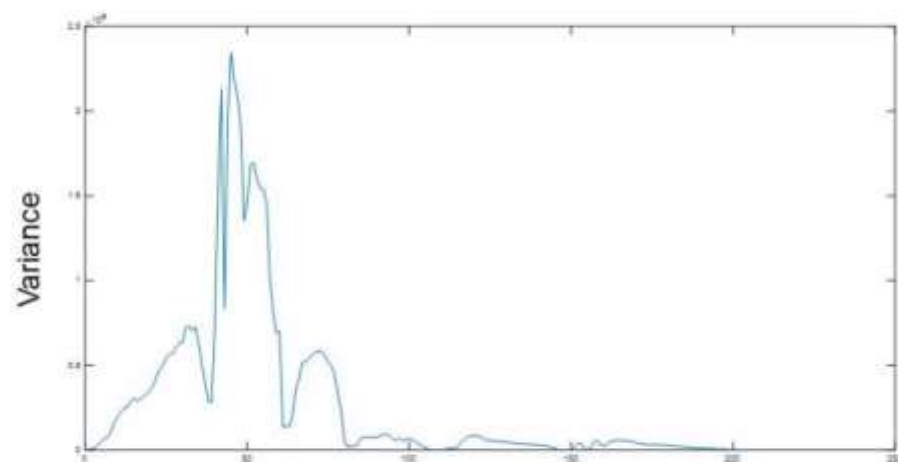
What about hyperspectral data?

- Each image has hundreds of bands
- Each band has a histogram
- We can compute the variance of each histogram!
- Higher variance -> higher information
 - Neglecting Noise Influences

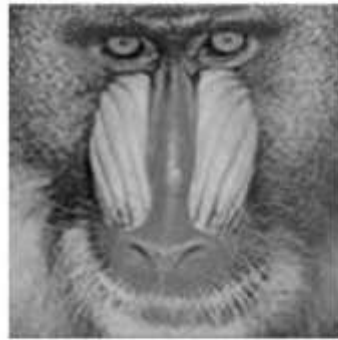
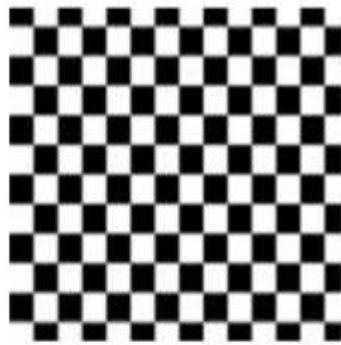


Institut für Methodik der Fernerkundung

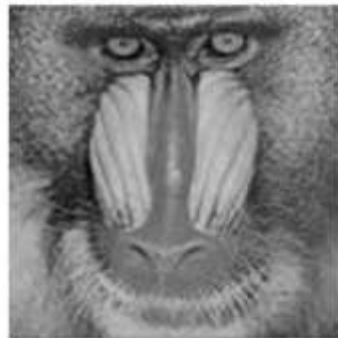
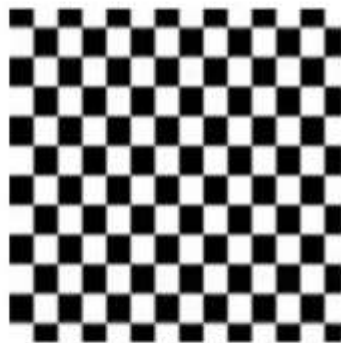
Really + Variance → + information?



Which image has a higher variance?



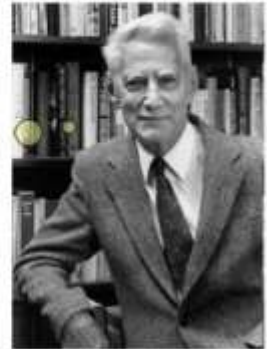
And which image contains more information?



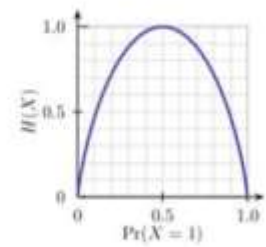
Shannon Entropy



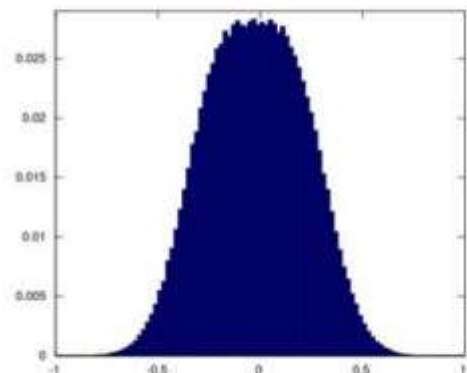
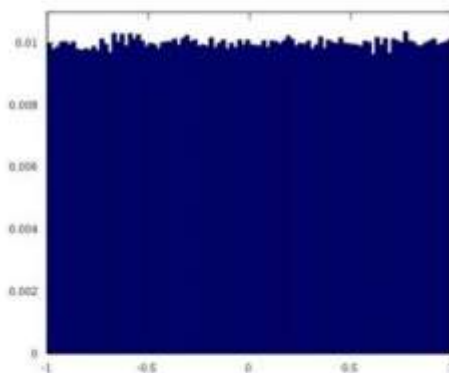
$$H(X) = - \sum_x p(x) \log p(x)$$



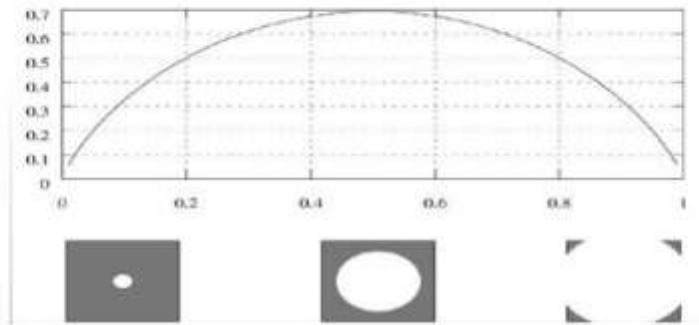
- Information content of the output of a random variable X
- Example: Entropy of the outcomes of the toss of a biased/unbiased coin
 - Max $H(X)$ -> Coin not biased
 - Every toss carries a full bit of information!
 - Note: $H(X)$ can be (much) greater than 1 if the values that X can take are more than two!



Test: Which distribution has higher entropy?



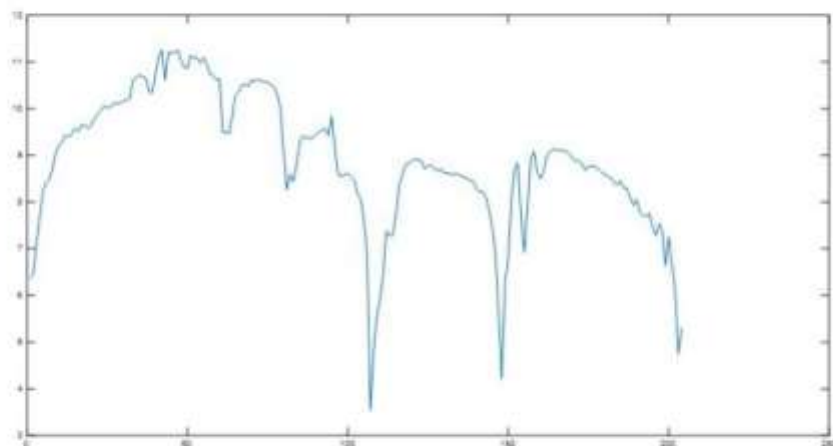
Entropy: A Binary Image Example



How many bits of information is conveyed by each pixel?



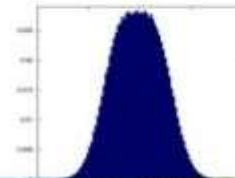
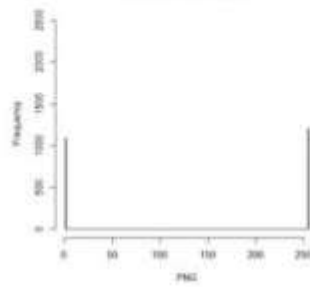
Entropy in the bands of the Salinas dataset



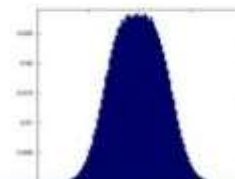
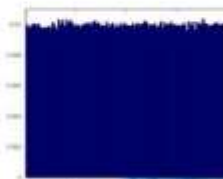
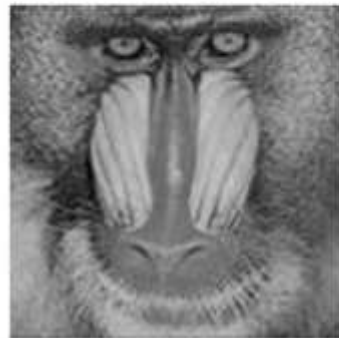
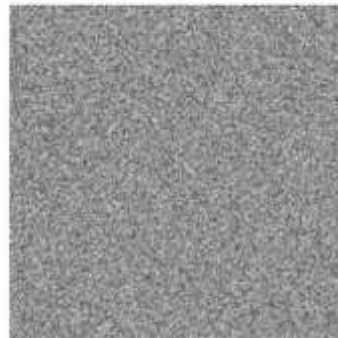
Which image has higher entropy?



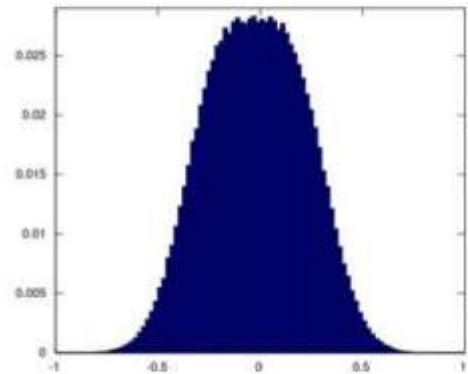
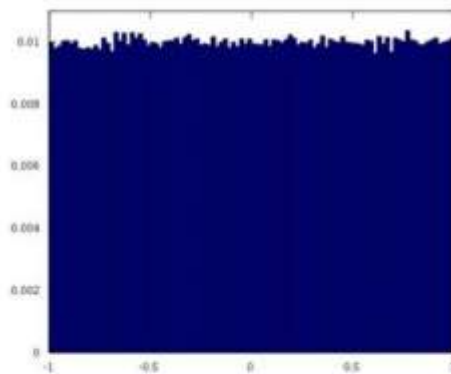
Binary Image Histogram



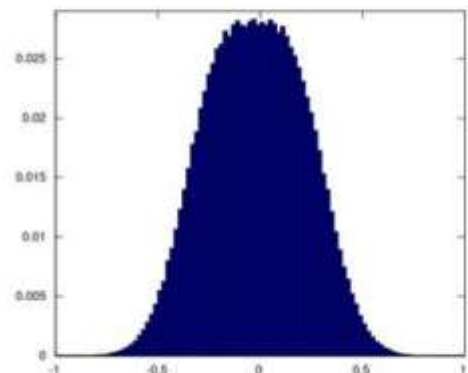
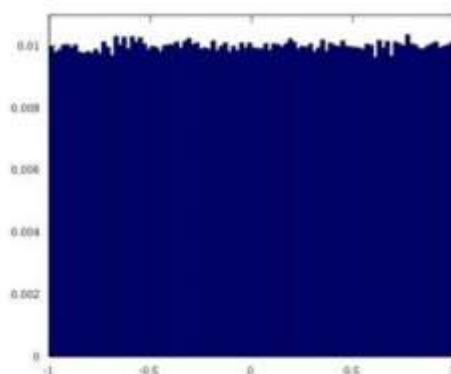
..and now?



Test: Which distribution has higher entropy?



Histogram of noise is flat! Noise has maximum entropy/information!



To use this concept in the best way, we must relate it to the objective of our application, Let's see what happens when we use it to select the best parameters for a classification procedure!







Entropy \rightarrow Mutual Information

Basic Property of a signal \rightarrow Dependence on another variable

Unsupervised \rightarrow Supervised Information Quantification



Pixel	Value in band 1	Value in band 2	Value in band 3	Class
 X:100, Y:120	10	30	50	Broccoli
 X:50, Y:100	25	130	50	Fallow
 X:16, Y:12	13	12	48	Grapes
 X:200, Y:420	5	70	49	Corn

Which band is better to separate these classes? Which one will give me the maximum information gain? And which one would only make things more difficult?



•Exercise 20

Institut für Methodik der Fernerkundung

Mutual Information

(can be expressed in terms of probability)

$$I(X; Y) = \sum_{y \in Y} \sum_{x \in X} p(x, y) \log \left(\frac{p(x, y)}{p(x) p(y)} \right),$$



Institut für Methodik der Fernerkundung

Small Test

– Suppose we have the following random variables

- x = "is the temperature below 0 degrees?" → (0 = no, 1 = yes)
- y = "do I have ice or water?" → (0 = ice, 1 = water)
- z = "is it snowing outside?" → (0 = no, 1 = yes)
- w = „Are the Simpsons today on Pro7?" → (0 = no, 1 = yes)

– How do you expect the mutual information to be between:

- X and Y
- X and Z
- Y and Z
- X and W

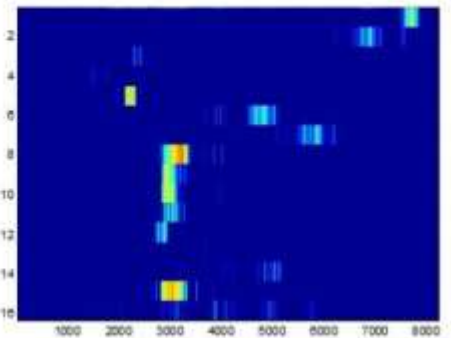
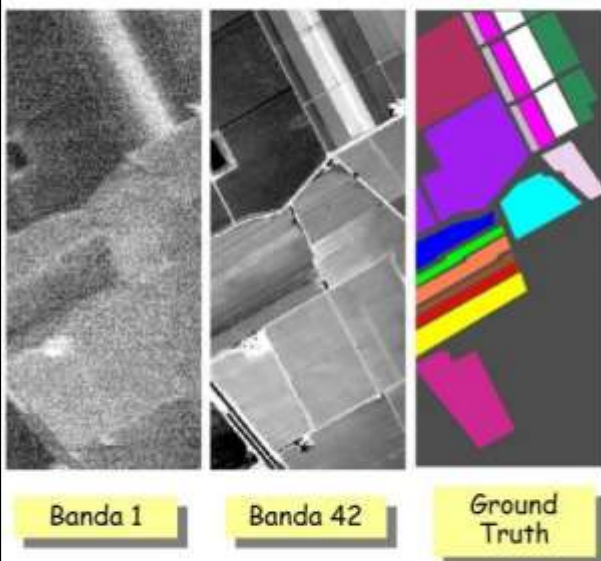


Mutual Information for HS data analysis

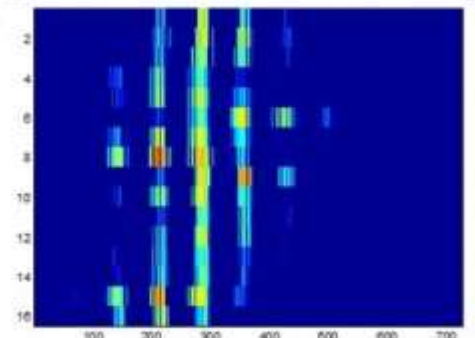
- What we REALLY want is how to select bands which are good to classify a specific dataset.
- The MI is great at finding correspondences between variables, even if their values are very different!
- For example it has been used in our department to improve coregistration between radar and optical data, which are completely different!!



The mutual information between any two bands in the Salinas dataset and the ground truth are based on these joint distributions...

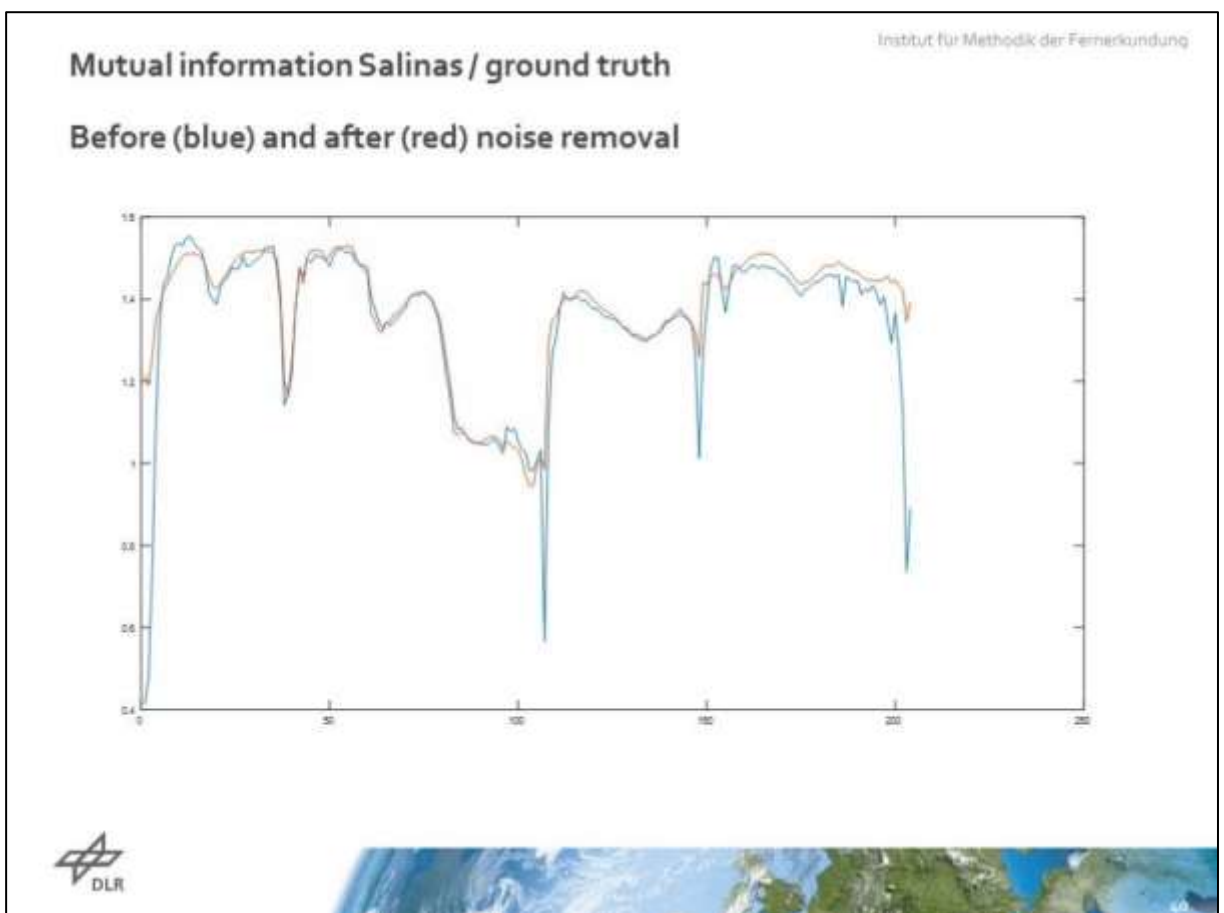
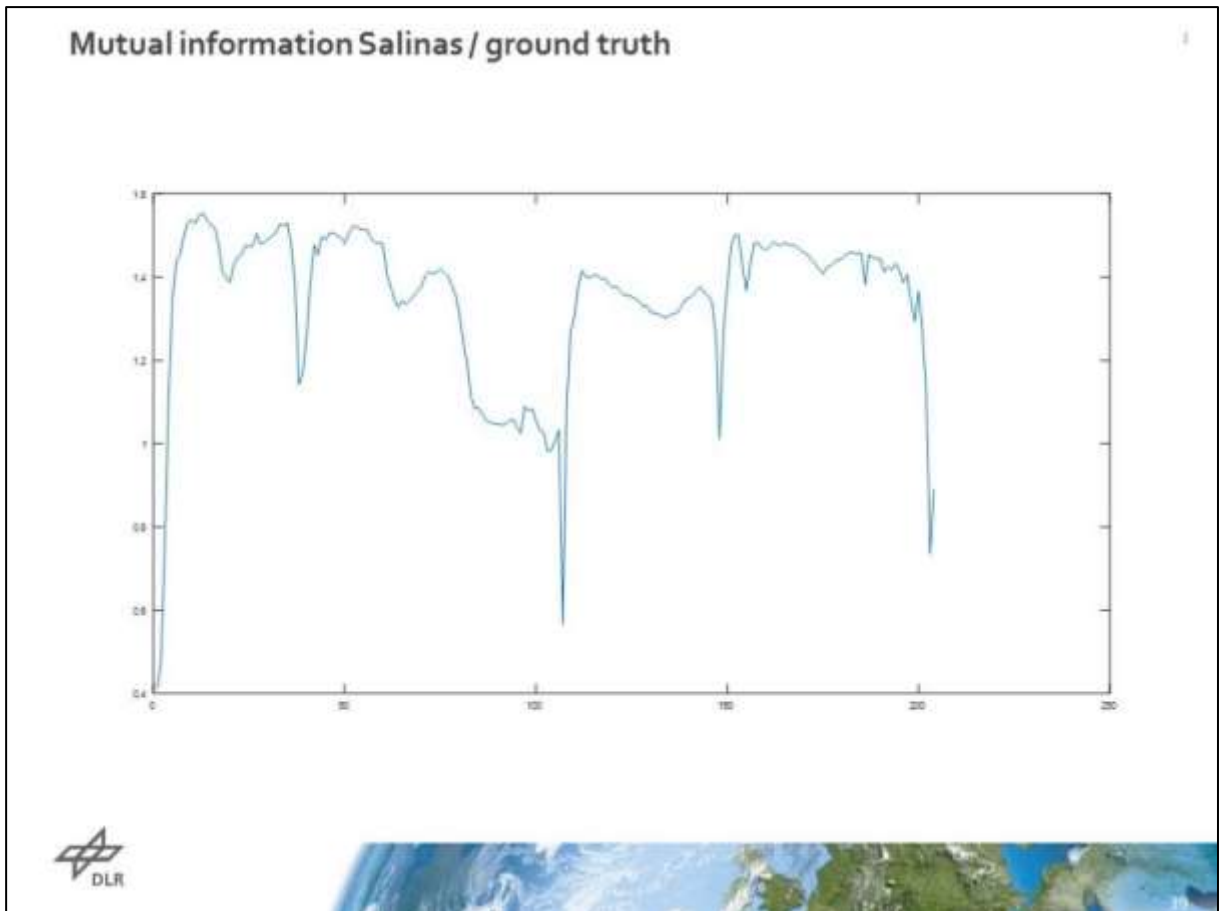


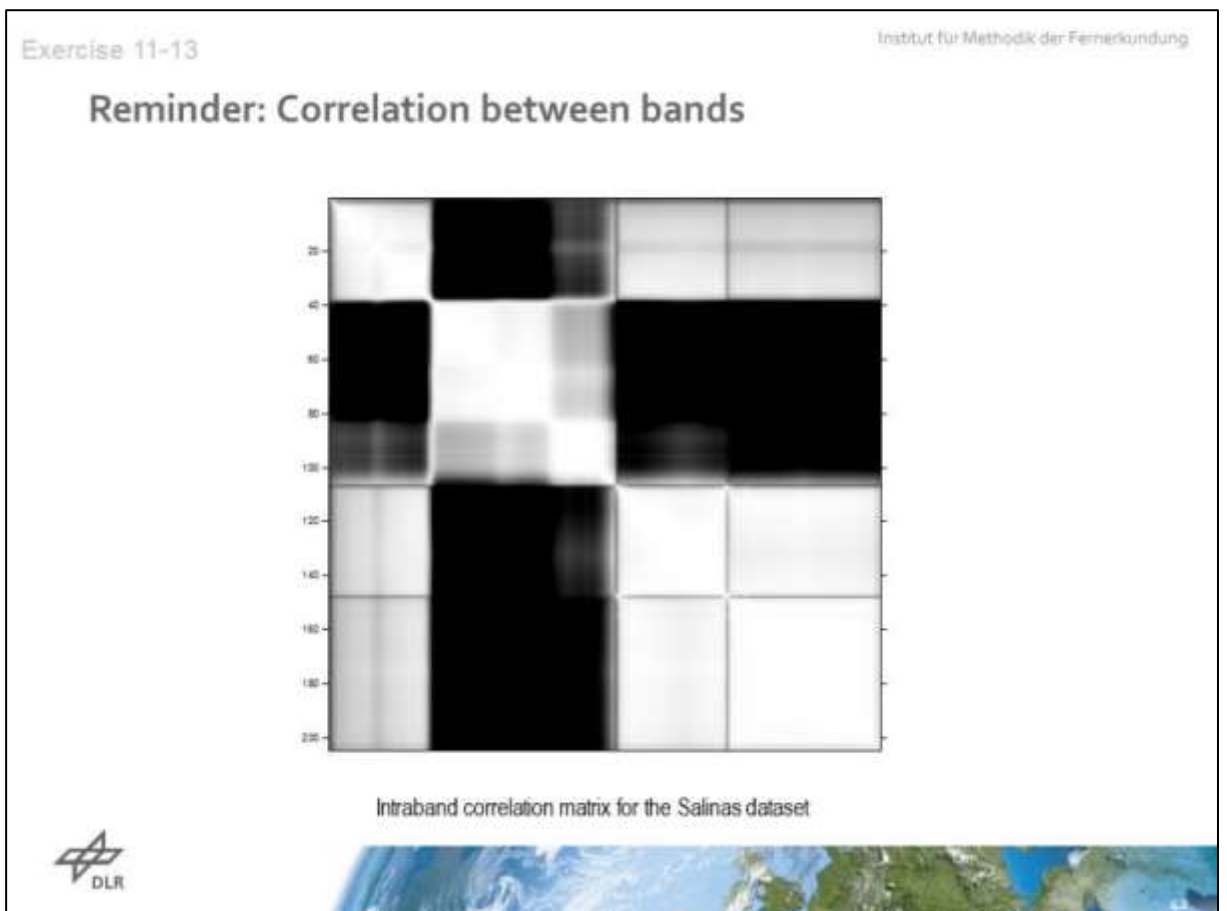
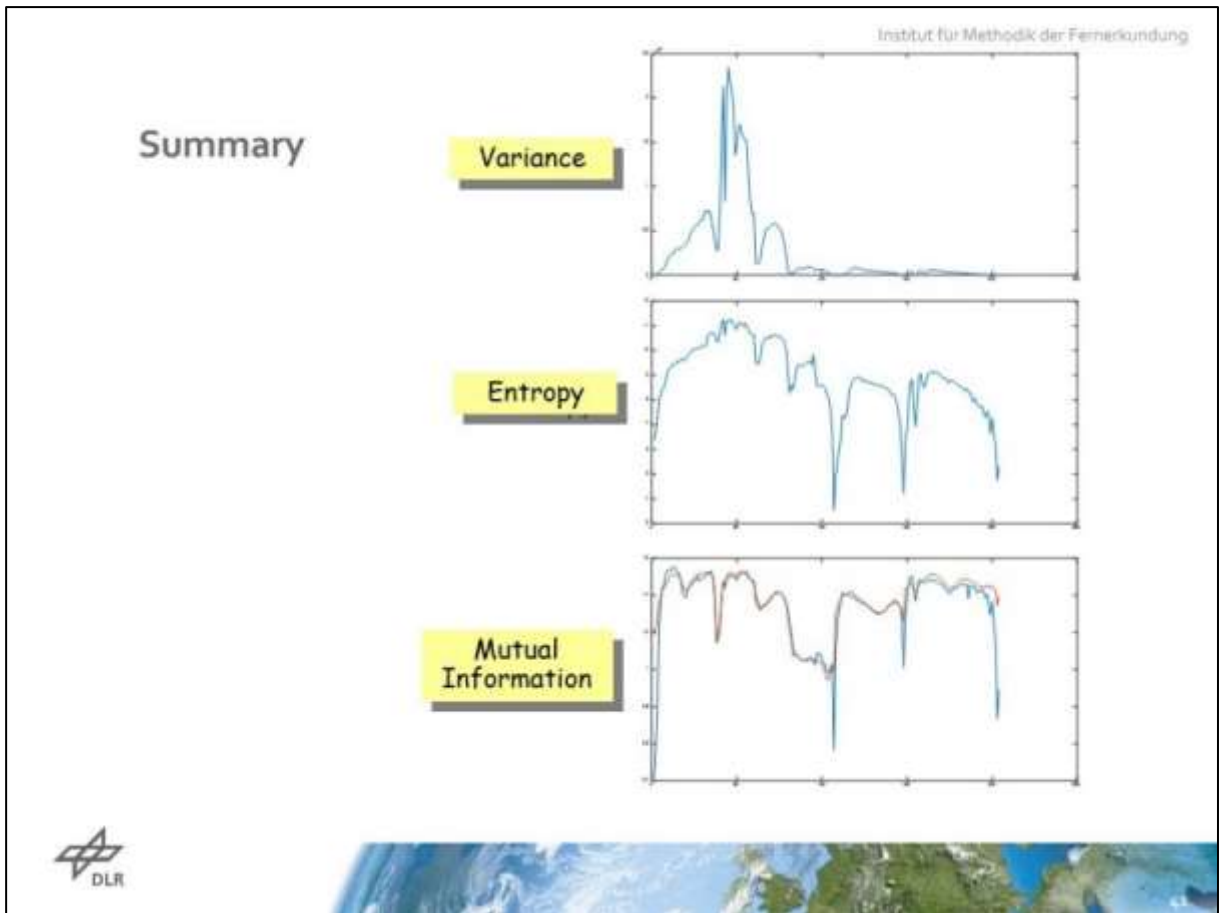
Mutual info band 42 / ground truth



Mutual info band 1 / ground truth





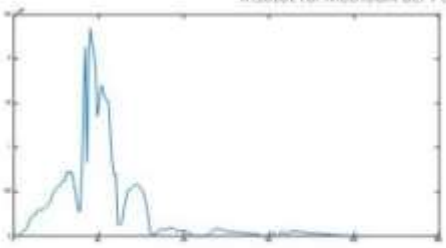


Institut für Methodik der Fernerkundung

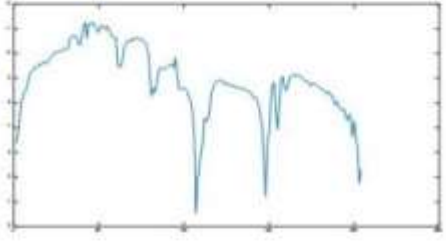
Summary

- How to select our 10 bands now?
- Use the information derived so far and the intraband correlation to select them
- For example:
 - Cluster bands
 - Select the best band in each cluster

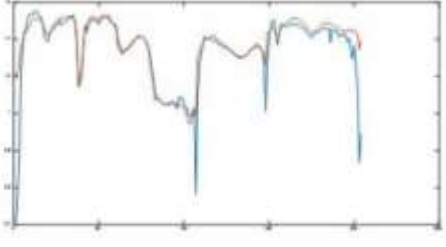
Variance





Entropy



Mutual Information







Institut für Methodik der Fernerkundung

An interesting application

- Dataset: Carnuntum
 - Capital of the former Roman province *Pannonia superior*
 - Centuries IV BC – I AD
- Airborne HS campaign
- AisaEAGLE
 - 65 bands
 - 400-1000 nm
 - 0.4 m GSD
 - Courtesy of prof. Michael Donus

Michael Doneus et al., „New ways to extract archaeological information from hyperspectral pixels“, *Journal of Archaeological Science*, Volume 52, December 2014

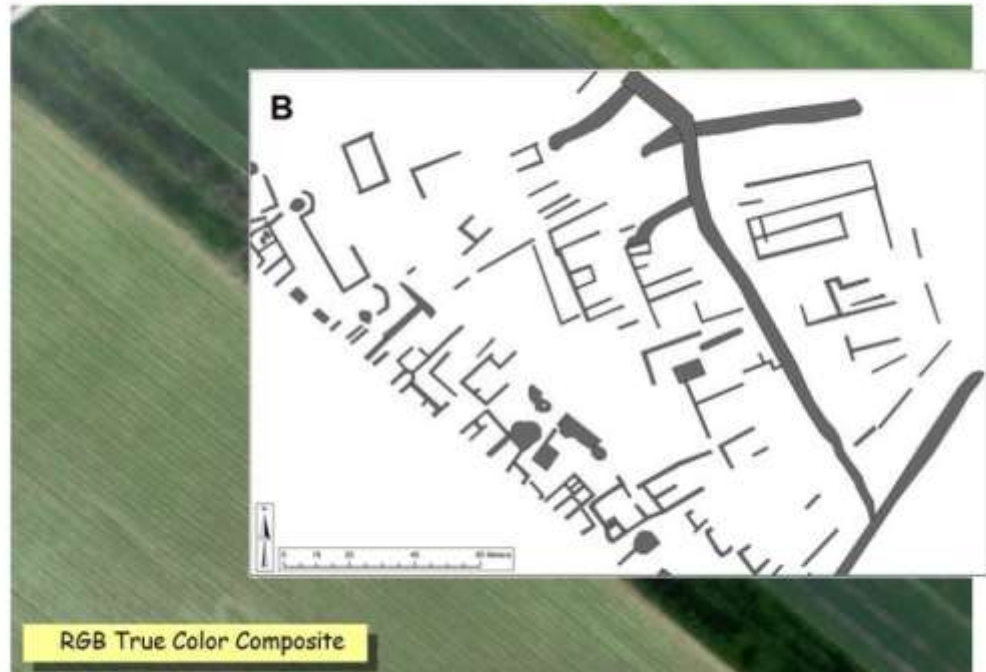
How to highlight crop marks?



Not always that easy...

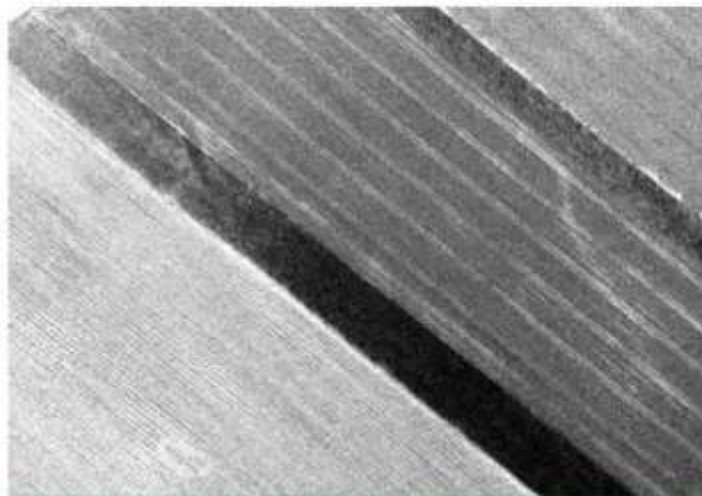


Not always that easy...



Which band is better?

– Let's have a look at all available bands...



Which band is better?

- The transition between red and NIR and the whole NIR spectral range looks good..
- If we find which band is best, we can apply it to other images to look for crop marks
- How to quantify the performance of each band?



First Step: Entropy

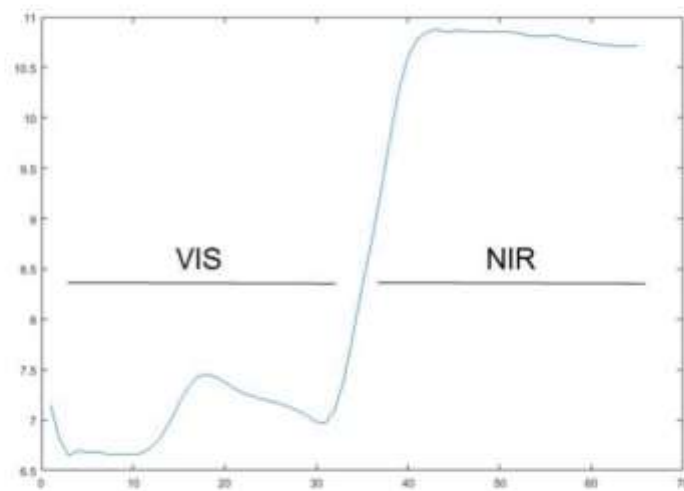
- We can compute it directly for each band
 - We get a score for each band
- How much „information“ do we have in each portion of the spectrum?
- It works better if we select only the area of interest
 - We are not interested in variations throughout the whole image



First Step: Entropy

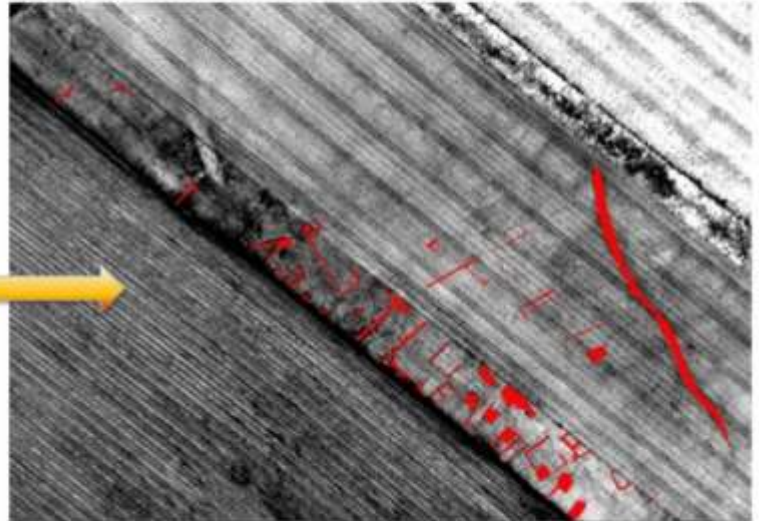
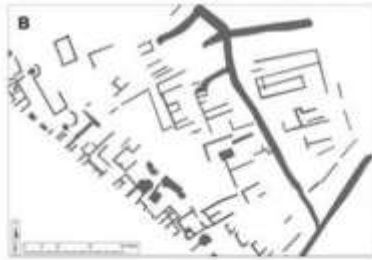


Entropies for each band



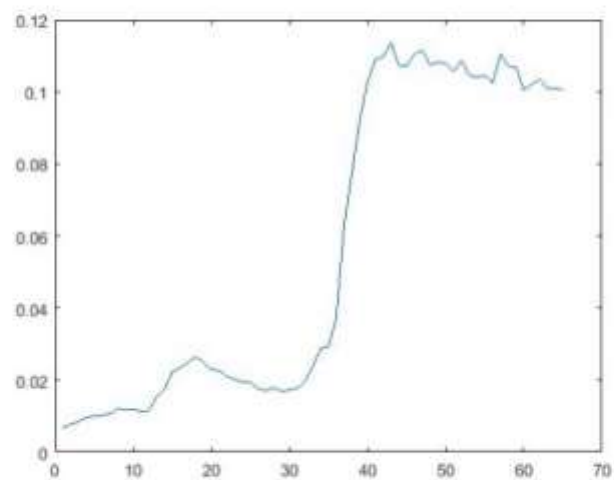
Let's take a step forward and compute Mutual Information

- Let's derive a reference image (manually)

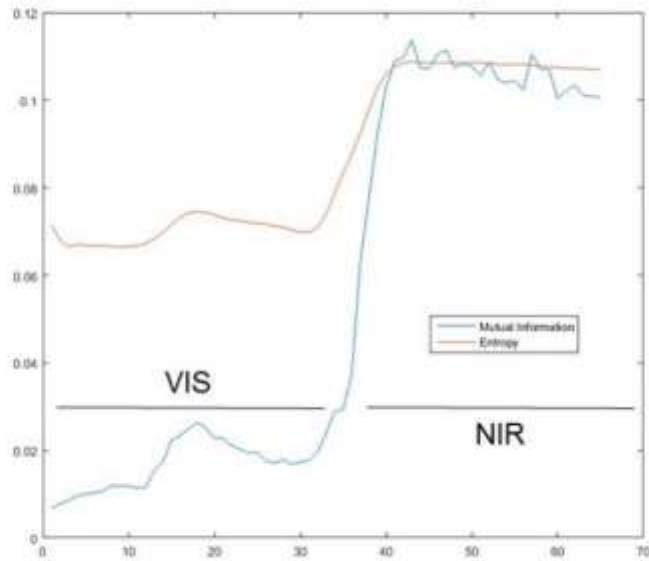


Mutual Information

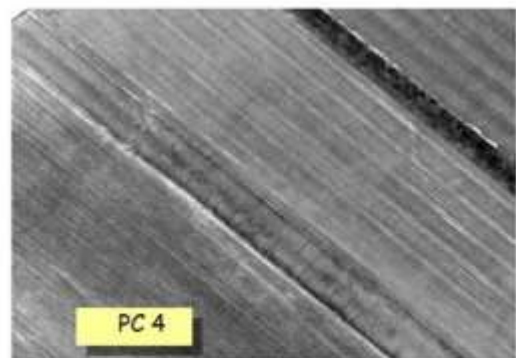
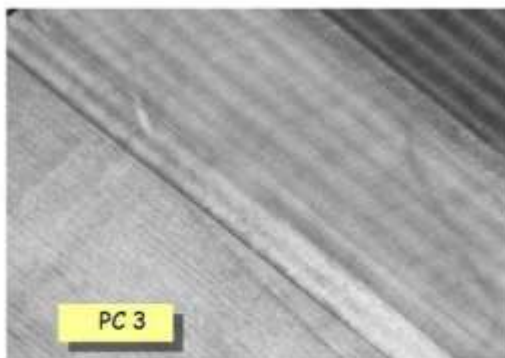
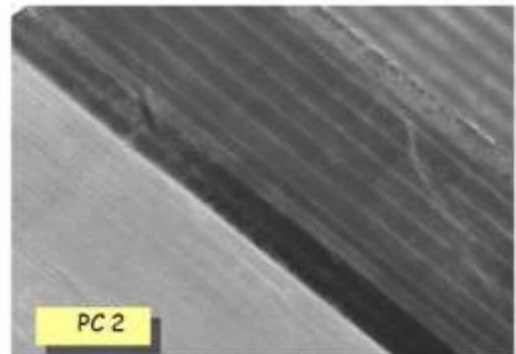
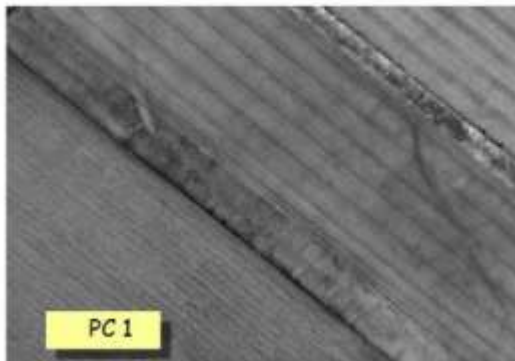
- Between each band and the reference data in the area of interest



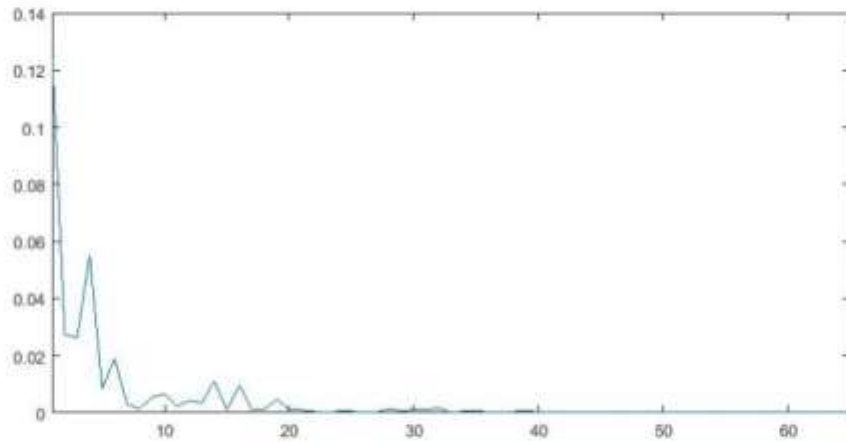
What does the mutual information tell us respect to entropy?



Next step: let's analyse the Principal Components



Principal Components: Mutual Information



Hyper- vs. Multispectral: Vegetation Analysis



NDVI, Landsat Example



True Color

Bands 3 2 1

False Color

Bands 4 3 2

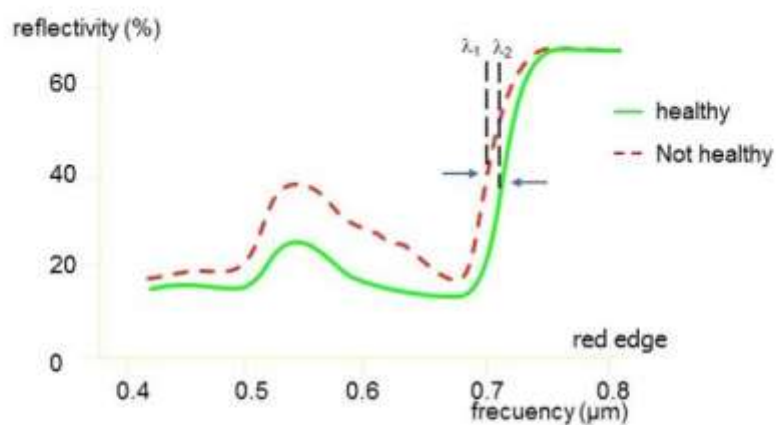
NDVI

Bands 3-4

Bands 3+4

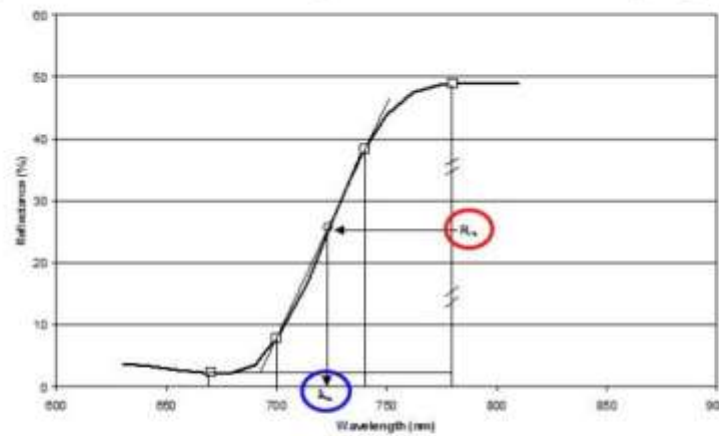


Near Infrared: the Red Edge



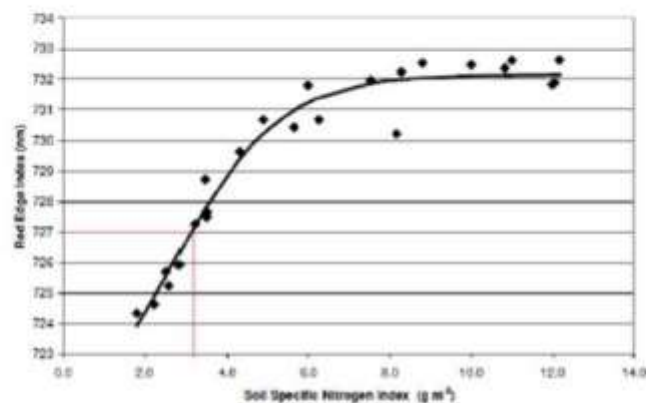
- Transition between absorption into red and high reflectance in the near infrared portions of the spectrum
- The **red edge** is the spectral range in which this change is observable (flexion point in the curve)
- It depends on the amount of chlorophyll in the plant and nitrogen in the soil
- A displacement to the left of the red edge characterizes ill vegetation
 - Scarce chlorophyll in leaves
 - "Breathing" problems of the plant

Example: How to compute the red edge position?



- Compute reflectivity in the inflection point in the spectrum x
 - $RE(x) = (R1(x) + R2(x)) / 2$
 - $R1(x)$ and $R2(x)$ are the reflectances at 670 and 780 nm
- Compute the red edge frequency position by the following equation:
 - $\lambda = 700 + 40 ((RE(x) - R3(x)) / (R4(x) - R3(x)))$
 - $R3(x)$ and $R4(x)$ are reflectances at 700 and 740 nm

For which red edge values is the vegetation not in good health?

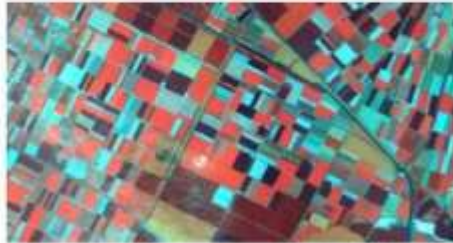


Red edge index as function of the Soil Specific Nitrogen Index for a potato crop

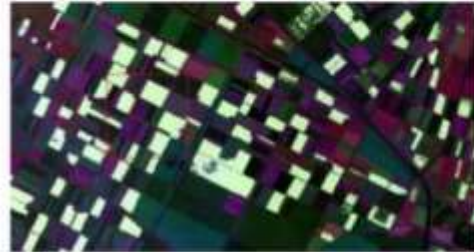
- Lack of nitrogen in the soil indicates respiratory problems of the plants
 - For potato fields this happens for values < 3.5
 - We have these values for red edge values < 727



Vegetation Health



Crops

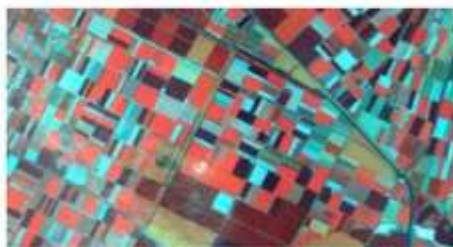


Detection of potato fields

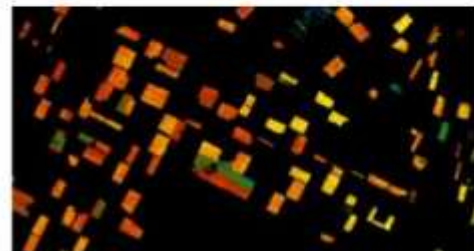
- We want to see which potato fields are in good health
- Let's compute the red edge position in these fields and check where these values are < 727



Vegetation Health



Crops

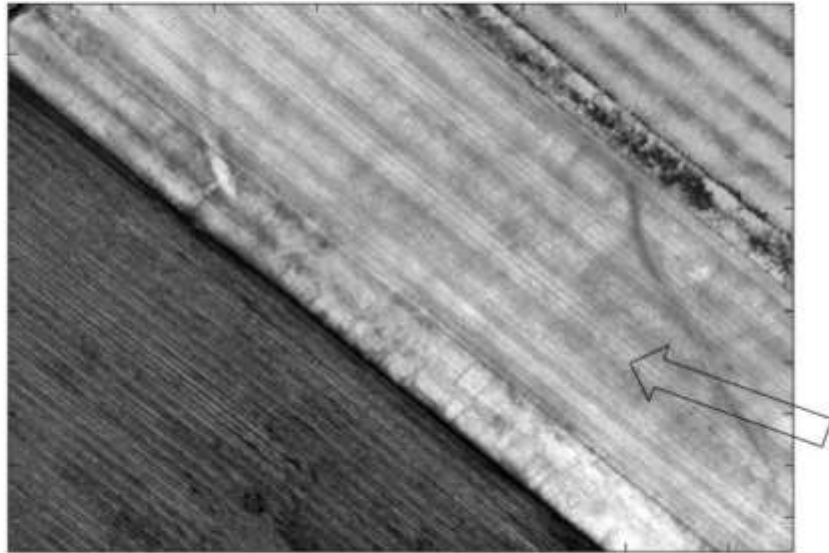


Red edge values in potato fields

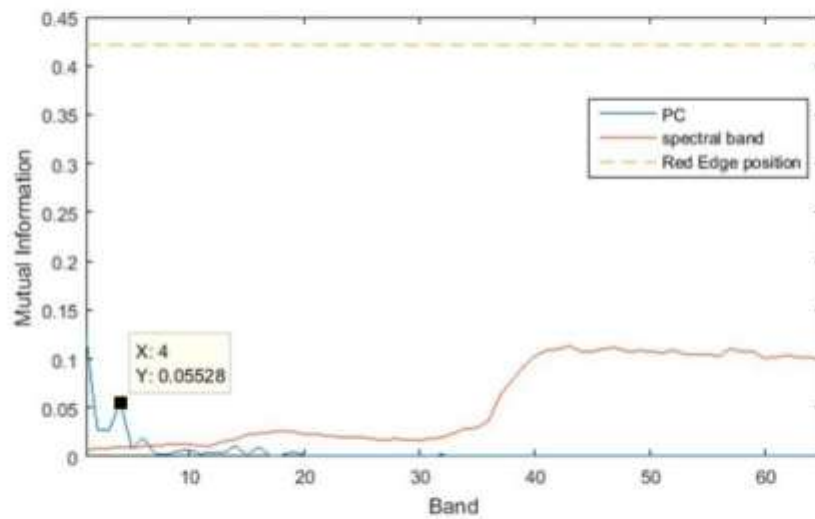
- The fields in blue/green are not healthy
 - Red edge position < 727 nm
- Fields in orange/yellow are very healthy



Back to our example: Red Edge image



Summary (Mutual Information)



Institut für Methodik der Fernerkundung

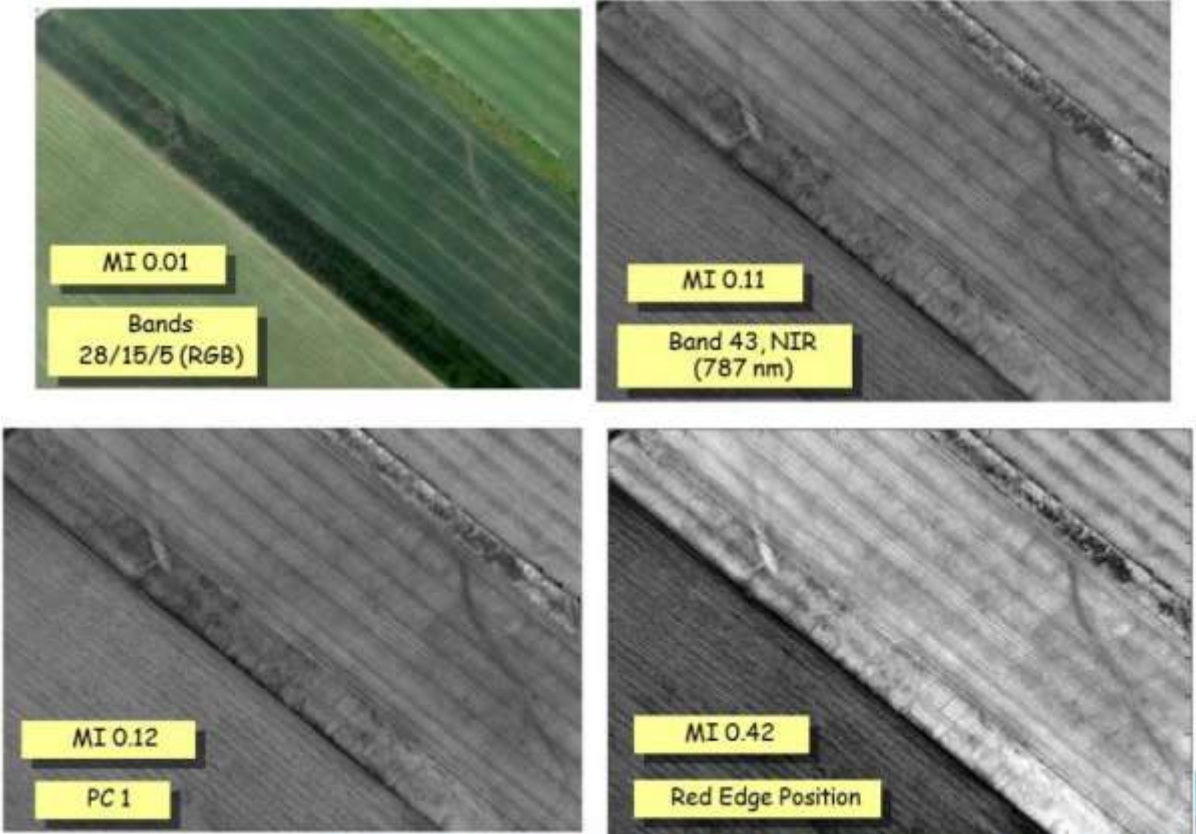
Mutual Info with what..?



DLR



Institut für Methodik der Fernerkundung



MI 0.01
Bands 28/15/5 (RGB)

MI 0.11
Band 43, NIR (787 nm)

MI 0.12
PC 1

MI 0.42
Red Edge Position

Thanks a lot for your attention!

For any question / help:

Daniele.cerra@dlr.de

Questions?



2.2 VIRTUAL TRAINING 2: “MULTI-TEMPORAL REMOTE SENSING ANALYSES”

2.2.1 Description

The second virtual training was a two-day training event and it was performed physically, at the premises of the Cyprus University of Technology, in the Remote Sensing and Geo-Environment Laboratory. The training was carried out on the 6th and 7th of October 2016. The DLR trainer Dr. Ursula Gessner has developed and explained with case studies of performed or on going research, issues related to the multi-temporal remote sensing analysis, demonstrating the potential use of optical datasets for large scale applications and phenological studies.

The two-day training was followed by researches of the ATHENA project, as well as from PhD students of the Department of Civil Engineering and Geomatics of the CUT.

2.2.2 Agenda and participants

Agenda


ATHENA-Training “Multi-Temporal Remote Sensing Analyses”

6-7 October 2016, CUT, Limassol, Cyprus


Conducted by Ursula Gessner, DLR

NAW


Thursday, October 6	
12:30-13:30 Lunch	
13:30-14:45	<ul style="list-style-type: none"> - Introduction - Time series in earth observation: <ul style="list-style-type: none"> o Suitable sensors and missions o Types of EO time series & variables o Data access
14:45-15:15 Coffee Break	
15:15-16:30	<ul style="list-style-type: none"> - Time series processing – theoretical background and methods <ul style="list-style-type: none"> o Time series components and characteristics o Handling of outliers/noise incl. smoothing /filtering methods o Analysis of multi-year developments (trends, complex developments) o Analysis of seasonality I (seasonal statistics, number of seasons)
Friday, October 7	
9:30-10:45	<ul style="list-style-type: none"> - Time series processing – theoretical background and methods <ul style="list-style-type: none"> o Analysis of seasonality II (land surface phenology) o Data fusion
10:45-11:15 Coffee Break	
11:15-12:30	<ul style="list-style-type: none"> - Examples for EO applications based on time series (examples from DLR research and activities)
12:30-13:30 Lunch	
13:30-14:45	<ul style="list-style-type: none"> - Use Cases: <ul style="list-style-type: none"> o Inundation/flood dynamics: theoretical background and practical exercise with SAR data o Land surface phenology: theoretical background and practical exercise with optical data
14:45-15:15 Coffee Break	
15:15-16:30	<ul style="list-style-type: none"> - Discussion of presented aspects - Identification of interesting aspects for joint studies - Ideas for joint journal paper /conference presentation




ATHENA
Advanced Training in Heritage
Virtuality




CYPRUS UNIVERSITY OF TECHNOLOGY
2004



DLR













European
Commission



H2020-TWINN-2015 - Remote Sensing Science Center for Cultural Heritage - ATHENA
2nd Virtual Training
Topic: Multi-Temporal Remote Sensing Analyses - Trainer: Ursula Gessner (DLR)
Friday, 7th October, 2016
Cyprus University of Technology, Limassol - Cyprus



List of participants

A/A	NAME	INSTITUTION	CONTACT DETAILS	SIGNATURE
1	Alex Agona	Cyprus University of Technology	ath.agona@cut.ac.cy	
2	KYRIAKOS THEMISTOCLEOUS	CYPRUS UNIVERSITY OF TECHNOLOGY	kt133@cut.ac.cy	
3	DIMITRIS KAVARTSIOS	CYPRUS UNIVERSITY OF TECHNOLOGY	d.kavartsiou@cut.ac.cy	
4	Christiane Papoutsis	Cyprus University of Technology	christiana.papoutsis@cut.ac.cy	

5	BRANVA LUCA	CAPS UNIVERSITY OF TECHNOLOGY	bravlu.luca@lut.se.cy	
6	Ursula Gessner	DLR	ursula.gessner@dlr.de	
7	Vasiliki Hatzandrou	CUT	vasiliki.hatzandrou@cut.ac.cy	
8	Marios Tzavaras	CUT	marios.tzavaras@cut.ac.cy	
9	Fidelise Manour	CUT	fidelise.manour@cut.ac.cy	
10	Argyri Usaba	CUT	argyri.usaba@cut.ac.cy	
11				
12				
13				
14				
15				











H2020-TWINN-2015 - Remote Sensing Science Center for Cultural Heritage - ATHENA
2nd Virtual Training
Topic: Multi-Temporal Remote Sensing Analyses - Trainer: Ursula Gessner (DLR)
Wednesday, 6th October, 2016
Cyprus University of Technology, Limassol - Cyprus

List of participants

A/A	NAME	INSTITUTION	CONTACT DETAILS	SIGNATURE
1	ATHOS AGAION	CYPRUS UNIVERSITY OF TECHNOLOGY	athos.cyprius@cut.ac.cy	
2	VASILIKI LEONARDOU	CYPRUS UNIVERSITY OF TECHNOLOGY	vasiliki.leonardou@cut.ac.cy	
3	DIMITRIS KOUTARTSIOS	CYPRUS UNIVERSITY OF TECHNOLOGY	d.koutartsiou@cut.ac.cy	
4	George Mallin	CYPRUS UNIVERSITY OF TECHNOLOGY	george.mallin@gmail.com	

5	 ATHENA European Training to Athena Centre for Enterprise Innovation	BLANKA OJCA KYRKOS KHRISTOCELOUS	CYPRIUS UNIVERSITY TECHNOLOGY CYPRIUS UNIVERSITY TECHNOLOGY CYPRIUS UNIVERSITY of TECHNOLOGY Cyprus University of Technology Cyprus University of Technology	branka.ojca@cut.ac.cy kt33@cyfountechnology.ac.cy argyro.nisantzis@cut.ac.cy christiana.papoutsis@cut.ac.cy marios.tzouvaras@cut.ac.cy ursula.gressner@dlr.de rodolise.mamouri@cut.ac.cy	
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					



Group photo during and after the 2nd virtual training

2.2.3 Presentations on “Multitemporal analyses in Earth Observation”

The sum up, the presentations made by Dr. Ursula Gessner regarding multitemporal analyses in Earth Observation contained the following:

- Time series in earth observation:
 - Suitable sensors and missions
 - Types of EO time series & variables
 - Data access
- Time series processing – theoretical background and methods
 - Time series components and characteristics
 - Handling of outliers/noise incl. smoothing /filtering methods
 - Analysis of multi-year developments (trends, complex developments)
 - Analysis of seasonality I (seasonal statistics, number of seasons)
 - Analysis of seasonality II (land surface phenology)
 - Data fusion
 - Examples for EO applications based on time series

Hereunder the presentation slights are provided, including theoretical concepts, examples of applications, literature etc.

Multitemporal Analyses in Earth Observation

ATHENA Training, 6-7 October 2016, Limassol, Cyprus

Dr. Ursula Gessner

German Aerospace Center (DLR)

German remote Sensing Data Center (DFD)

Department „Land Surface“



Earth Observation Center

Contents I

Thursday, October 6	
12:30-13:30 Lunch	
13:30-14:45	<ul style="list-style-type: none"> - Introduction - Time series in earth observation: <ul style="list-style-type: none"> o Suitable sensors and missions o Types of EO time series & variables o Data access
14:45-15:15 Coffee Break	
15:15-16:30	<ul style="list-style-type: none"> - Time series processing – theoretical background and methods <ul style="list-style-type: none"> o Time series components and characteristics o Handling of outliers/noise incl. smoothing /filtering methods o Analysis of multi-year developments (trends, complex developments) o Analysis of seasonality I (seasonal statistics, number of seasons)



Contents II

Friday, October 7	
9:30-10:45	- Time series processing – theoretical background and methods <ul style="list-style-type: none"> o Analysis of seasonality II (land surface phenology) o Data fusion
10:45-11:15 Coffee Break	
11:15-12:30	- Examples for EO applications based on time series (examples from DLR research and activities)
12:30-13:30 Lunch	
13:30-14:45	- Use Cases: <ul style="list-style-type: none"> o Inundation/flood dynamics: theoretical background and practical exercise with SAR data o Land surface phenology: theoretical background and practical exercise with optical data
14:45-15:15 Coffee Break	
15:15-16:30	- Discussion of presented aspects - Identification of interesting aspects for joint studies - Ideas for joint journal paper /conference presentation



TIME SERIES IN EARTH OBSERVATION

EO TIME SERIES PRODUCTS – EXAMPLES

-> demonstration of animations of EO-based time series



Earth Observation Time Series

A time series...


- ...is "a sequence of values collected over time on a particular variable" (Haan, 1977).
- ...can consist of the values of a variable observed at:
 - discrete times
(e.g. spectral information recorded at overpass of EO-sensor)
 - averaged over a given time interval
(e.g. vegetation index value averaged over the period of 8-days as done for MODIS products)
 - recorded continuously with time (not common for EO, e.g. hygrographs in museums)



Earth Observation Center

Earth Observation Time Series Variables

Categories of EO time series variables:	Examples
Spectral variables	<ul style="list-style-type: none"> • Top of atmosphere reflectance • Bottom of atmosphere reflectance • Albedo • ...
Indices	<ul style="list-style-type: none"> • Vegetation indices (NDVI, EVI, (M)SAVI, etc.) • Soil water indices (SWI, etc.) • Wetness indices (Tasseled Cap Wetness, NDWI, etc.) • Snow indices (NDSI, etc.) • ...
Biogeophysical variables	<ul style="list-style-type: none"> • Land/sea surface temperature (LST/SST) • Leaf Area Index (LAI) • Chlorophyll content • Atmospheric SO₂ content • Phenological dates • ...
Thematic information	<ul style="list-style-type: none"> • Presence/absence of single land use/cover classes (e.g. water, urban, forest, etc.) • Sub-pixel fraction of cover type (e.g. tree cover) • ...
Spatial pattern information	<ul style="list-style-type: none"> • Pixel based texture measures (variance, entropy, contrast, mean etc. in a filter window) • Spatial features of objects (size, compactness, contour length etc.) • Relational features (e.g. neighbourhood, isolation/fragmentation, connectivity etc.) • ...



Earth Observation Center

Major EO Satellites with Optical/Multispectral Sensors

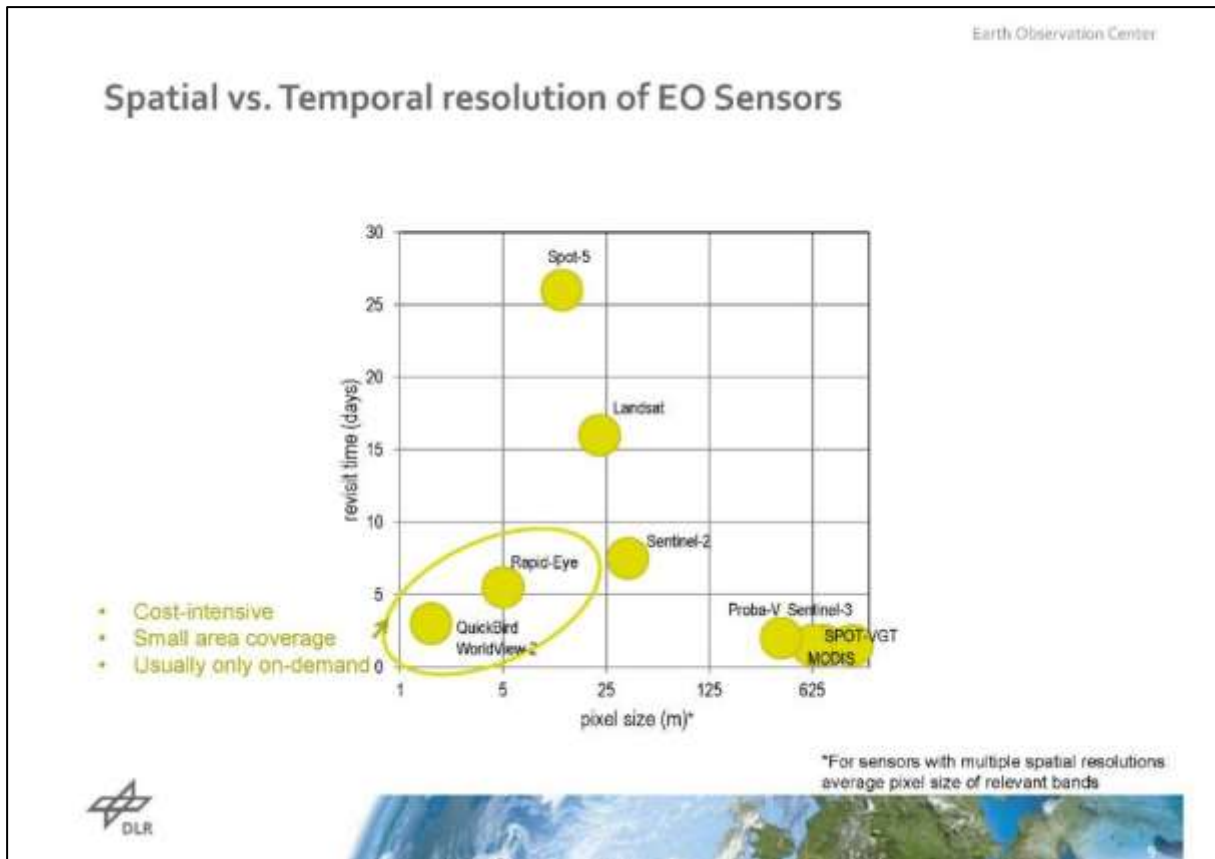
Major EO Satellites with Radar and Passive Microwave sensing instruments

Major EO Satellites with Thermal Sensors

Figures from Kuenzer et al. (2014) Earth observation satellite sensors for biodiversity monitoring: potentials and bottlenecks, IJRS, 8599-8647.

-> Removed for copyright reasons



Data Access - USGS/NASA

Earth Observation Center

– Earth Explorer
<http://earthexplorer.usgs.gov/>

or

– Reverb
https://lpdaac.usgs.gov/data_access/reverb


- Landsat data
- MODIS data and products
- and many more

Earth Observation Center

Data Access Sentinel Data - Examples

- Sentinel Data Hub:
<https://scihub.copernicus.eu>
- Collaborative Data Hubs (National Data Hubs) currently under development for multiple countries, e.g.:
 - DLR in Germany (CODE-DE)
 - NOA in Greece
- Amazon Web Services
<http://sentinel-pds.s3-website.eu-central-1.amazonaws.com/>
- USGS Earth Explorer
<http://earthexplorer.usgs.gov/>






Earth Observation Center

EXEMPLARY WORKFLOWS FOR DATA DOWNLOAD

- I. Sentinel Data Access – Sentinel Scientific Data Hub
- II. Download of MODIS data via Reverb
- III. Download Landsat data via EarthExplorer

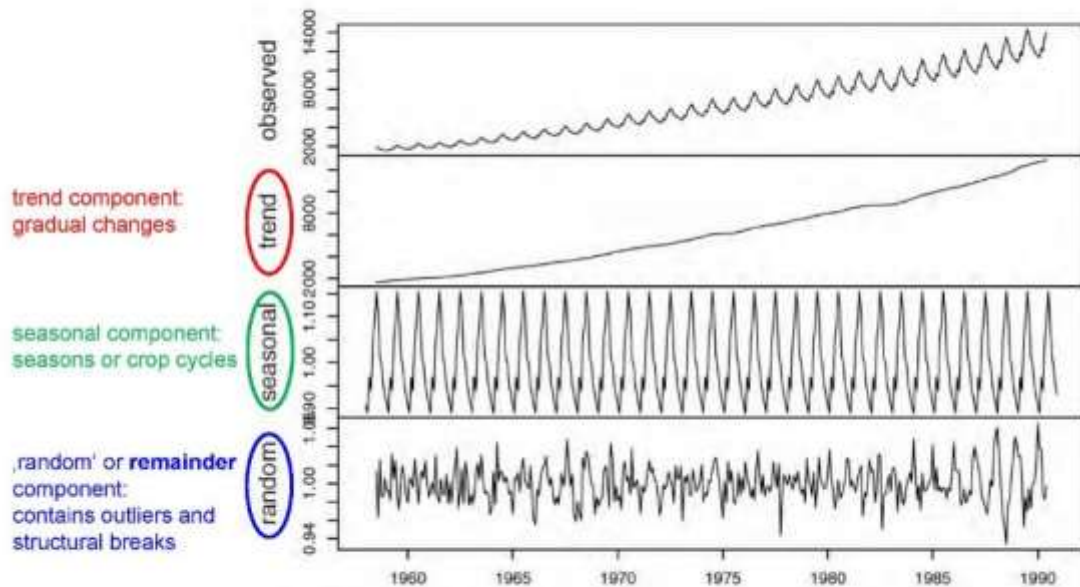
 see supplementary material for participants




TIME SERIES PROCESSING – THEORETICAL BACKGROUND & METHODS

Time Series Components & Decomposition

Earth Observation Center



trend component:
gradual changes

seasonal component:
seasons or crop cycles

'random' or remainder
component:
contains outliers and
structural breaks

adapted after: Cowpertwaite & Metcalfe (2009)



EO time series – handling of outliers & ,noise`

Reasons for outliers / noise in optical EO time series

- Atmospheric effects
 - Clouds / haze
 - Sun-sensor-geometry
 - Sensor failures
 - (pre-)processing errors
- } Not related to
land surface characteristics
- > shall be removed
by noise removal procedures
- Floods
 - Bushfires
 - (short) snow cover
- } Related to actual
land surface characteristics. No noise!
- > can nevertheless be removed
by noise removal procedures!



EO time series – handling of outliers & ,noise`

1. Outlier identification and respective weighting of time series values
2. Temporal filtering /smoothing (with outliers removed or weighted according to 1.)



EO time series – handling of outliers & ,noise`

1. Outlier identification and respective weighting of time series values

- based on **quality information layers**
-> available for some time series products, e.g. for most MODIS products

Bit No.	Parameter Name	Bit Counts	Bit Value, Bit Values
31	adjacency correction performed	1	yes
		0	no
30	atmospheric correction performed	1	yes
		0	no
25-29	band 7 data quality four bit range	0000	highest quality
		1000	dead detector, data interpolated in L1B
		1101	solar zenith >= 90 degrees
		1010	solar zenith >= 90 and < 90 degrees
		1011	missing input
		1100	internal constant used in place of climatological data for at least one atmospheric constant
		1101	correction out of bounds pixel constrained to extreme allowable value
		1110	L1B data faulty
		1111	not processed due to deep ocean or clouds
22-25	band 9 data quality four bit range		SAME AS BAND ABOVE



EO time series – handling of outliers & ,noise`

1. Outlier identification and respective weighting of time series values

- based on **quality information layers**
-> available for some time series products, e.g. for most MODIS products
- Based on **statistics / rulesets**, e.g.:
 - a value is classified /weighted as an outlier if
 - it deviates more than a deviation threshold from the median in a moving window and/or
 - it is lower (higher) than the mean value of its immediate neighbors minus (plus) a threshold value
 - or similar...
 - Weights are assigned based on an STL decomposition (Cleveland et al. 1990).



EO time series – handling of outliers & ,noise`

1. Outlier identification and respective weighting of time series values
2. **Temporal filtering /smoothing (with outliers removed or weighted according to 1)**



EO time series – handling of outliers & ,noise`

1. Outlier identification and respective weighting of time series values
2. **Temporal filtering /smoothing (with outliers removed or weighted according to 1)**
 - **Moving average:**
replace each data value by a linear combination /mean of nearby values in a window
 - **Savitzky-Golay filter:**
Least squares fit to a quadratic polynomial of the form:
$$f(t) = c_1 + c_2t + c_3t^2$$

Polynomial is fit to values in a moving window and central value is replaced by fitted value
 - Fit to **asymmetric Gaussian** and **double logistic** functions
 - General option when fitting smoothing functions to EO data:
Fitting to the upper envelope



TIMESAT - Smoothing

- Adaptive Savitzky-Golay filtering: Replacement of each data value by a linear combination of nearby values in a window

For each data value $y_i, i = 1, \dots, N$ they fit a quadratic polynomial $f(t) = c_1 + c_2t + c_3t^2$ to all $2n + 1$ points in the moving window and replace values

- Fits to asymmetric Gaussians and double logistic functions: Local model functions are fit to data in intervals around maxima and minima in the time-series

General form of local model functions: $f(t) \equiv f(t; \mathbf{c}, \mathbf{x}) = c_1 + c_2 g(t; \mathbf{x})$

Where linear parameters c_n determine base level and amplitude and non-linear parameters x_n determine shape of basis function $g(t; \mathbf{x})$



EO time series – handling of outliers & 'noise'

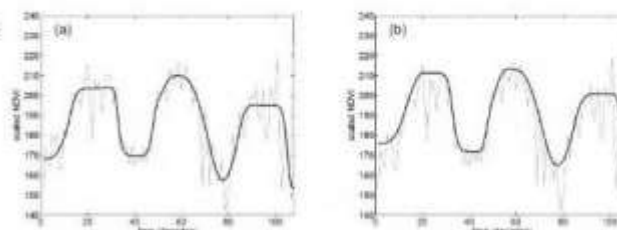
Fitting to the upper envelope

- Background:

- Outliers / noise in vegetation index time series are usually associated with a decrease in the index value (e.g. cloud effects, atmospheric influence)
- Therefore, an adaption of the smoothed / filtered time series to the higher rather than the lower values of a time series is oftentimes favoured.

- Method:

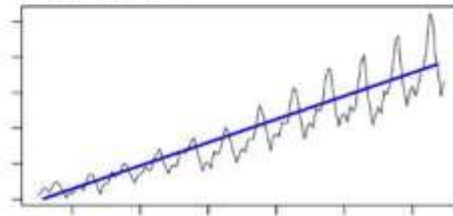
- 1) A function (e.g. Gaussian, quadratic polynomial) is fitted to a time series
- 2) Data values of the original time series that are below the respective fitted function are given a lower weight
- 3) Function is fitted a second time, with values weighted according to step 2)
- 4) 2-3 can be repeated



Eklundh & Jönsson (2015)

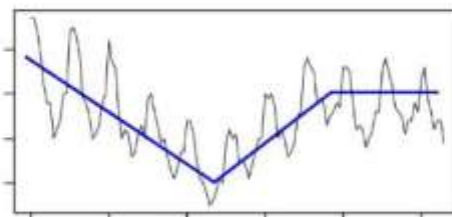
Analysis of multi-year temporal development (Trends)

– Linear trend analyses



– Methods accounting for discontinuous development / breaks, e.g.:

- BFAST
- LandTrendR



Figures adapted after:
Cowpertwaite & Metcalfe (2009)



Trend Analysis of EO data

– When analysing EO time series for trends, several particularities of these datasets have to be considered:

- Usually short time series
- Sometimes high level of noise
- Overlay of multiple noise effects and actual land surface dynamics / characteristics
- Autocorrelation
- Etc.

– Good overview on statistical particularities for EO time series analyses in:

De Beurs, K. M., & Henebry, G. M. (2005). A statistical framework for the analysis of long image time series. *International Journal of Remote Sensing*, 26, 1551–1573.



Earth Observation Center

BFAST - Breaks For Additive Season and Trend

BFAST iteratively estimates the time and number of abrupt changes within time series, and characterizes change by its magnitude and direction. The algorithm can be extended to label detected changes with information on the parameters of the fitted piecewise linear models.

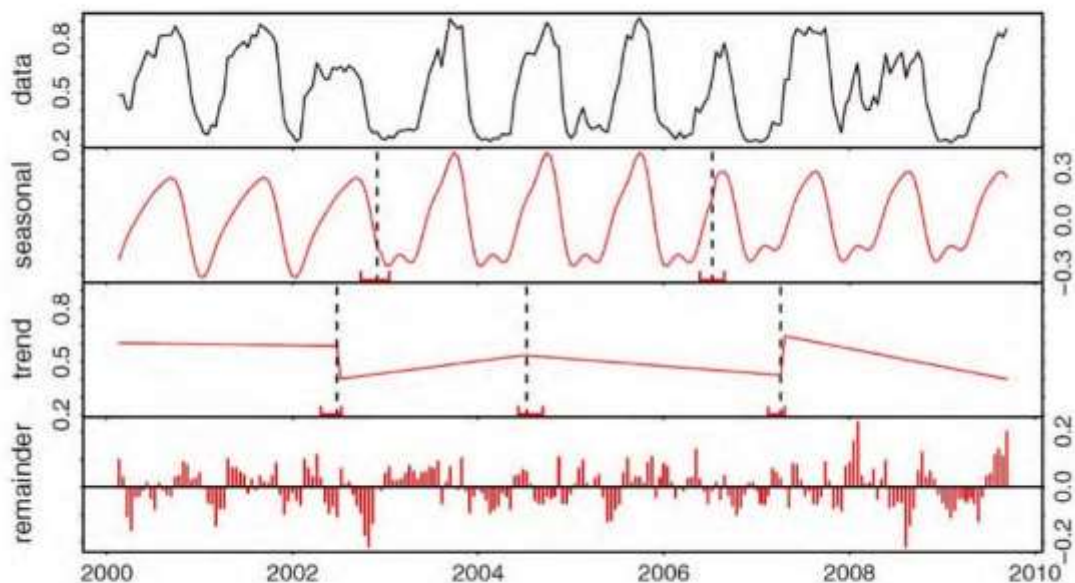
- An additive decomposition model is used to iteratively fit a piecewise linear trend and a seasonal model.
- Similarly, the seasonal component is fixed between breakpoints, but can vary across breakpoints.
- > m breakpoints in the trend component AND p seasonal breakpoints are identified
- seasonal breakpoints may occur at different times from the breakpoints in the trend component

Verbesselt et al. 2010



Earth Observation Center

BFAST - Breaks For Additive Season and Trend



Verbesselt et al. 2010



Earth Observation Center

LandTrendR - identify periods of stability and change in Landsat data

- Pre-processing of multitemporal Landsat data
-> Landsat data stack
- Identify straightline segments in a single pixel's trajectory:
 - Elimination of spikes
 - Identification of potential transition points (vertices)
 - Removal of extraneous vertices
 - Identification of best path through the vertices
 - Sequential simplification of the time series
 - Selection of the best model fit using a simple fitting statistic

Details: Kennedy et al. (2010)

Earth Observation Center

LandTrendR - identify periods of stability and change in Landsat data

- Pre-processing of multitemporal Landsat data
-> Landsat data stack
- Identify straightline segments in a single pixel's trajectory
- Segments and their fitted functions can be summarized in maps in different ways.

Example: label change that has occurred in each pixel according to characteristic sequences of change over time

Details: Kennedy et al. (2010)

Earth Observation Center

Temporary tree cover loss Mekong Basin 2001-2011, MODIS 500m

Figures from Leinenkugel, P., Wolters, M., Oppelt, N. & Kuenzer, C. (2015). Tree cover and forest cover dynamics in the Mekong Basin from 2001 to 2011. *Remote Sensing of Environment*, 158, pp 376-392

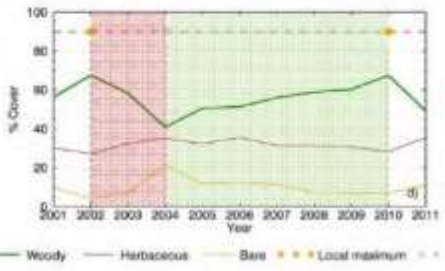
-> Removed for copyright reasons




Earth Observation Center

Temporal patterns of tree cover changes

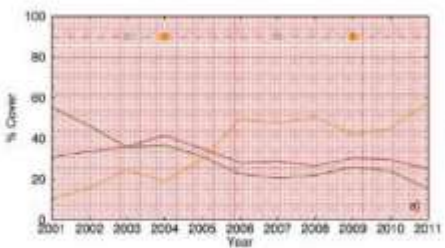
Leinenkugel, P., Kuenzer, C., Wolters, M., & Oppelt, N. (2014). Sensitivity analysis for predicting continuous fields of tree cover and fractional land cover distributions in cloud prone areas. *International Journal of Remote Sensing*, 35, 1-23.



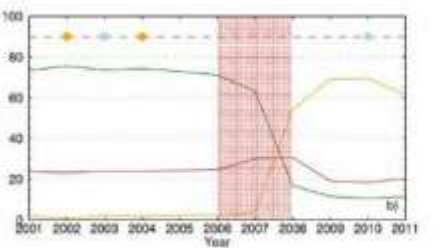
— Woody — Herbaceous — Bare — ● — Local maximum — ○ — Local minimum

Temporal trajectory between 2001-2011 for one single pixel: (e.g. shifting cultivation, plantations)

Characterisation of the tree cover trajectory on the basis of the Gini-Index:



Gradual decrease
Low Gini Index



Abrupt decrease
High Gini Index

Analyses of Seasonality in EO time series

- Calculation of suitable **seasonal/annual statistics** (mean, median, variance, amplitude, integrals etc.) of the values of a variable in a time series
 - basis for trend analyses
 - usage as feature e.g. for land use/cover classification

- Determination of **number of seasons** per year

- Analysis of **Land Surface Phenology**



Analyses of Seasonality in EO time series

Determination of number of seasons

Different approaches possible, e.g.:

- In Timesat Software (Eklundh & Jönsson, 2015):
 - de-trended data values $(t_i; y_i)$, $i = 1, 2, \dots, N$ for all years in the time-series are fit to a model function:

$$f(t) = c_1 + c_2 \sin(\omega t) + c_3 \cos(\omega t) + c_4 \sin(2\omega t) + c_5 \cos(2\omega t)$$
 where $\omega = 6\pi/N$.
 - fitting delivers a primary maximum, and possibly a secondary maximum.
 - amplitude ratio between the 2nd maximum and the 1st maximum > user defined threshold:
 - > 2 annual seasons, otherwise: 1 annual season

- Harmonic analysis
 - predefine one, two harmonics
 - Determine best fit



Earth Observation Center

Determination of number of seasons for rice mapping

Aqua/Terra MODIS time series 2000-2015

Figures from P. Leinenkugel, K. Clauss, C. Kuanzer (2015). Spatio-temporal analysis of cropping systems based on 15 years of MODIS data. Did rice cultivation intensified in the Mekong Delta? Remote Sensing, (submitted)

-> Removed as not yet published

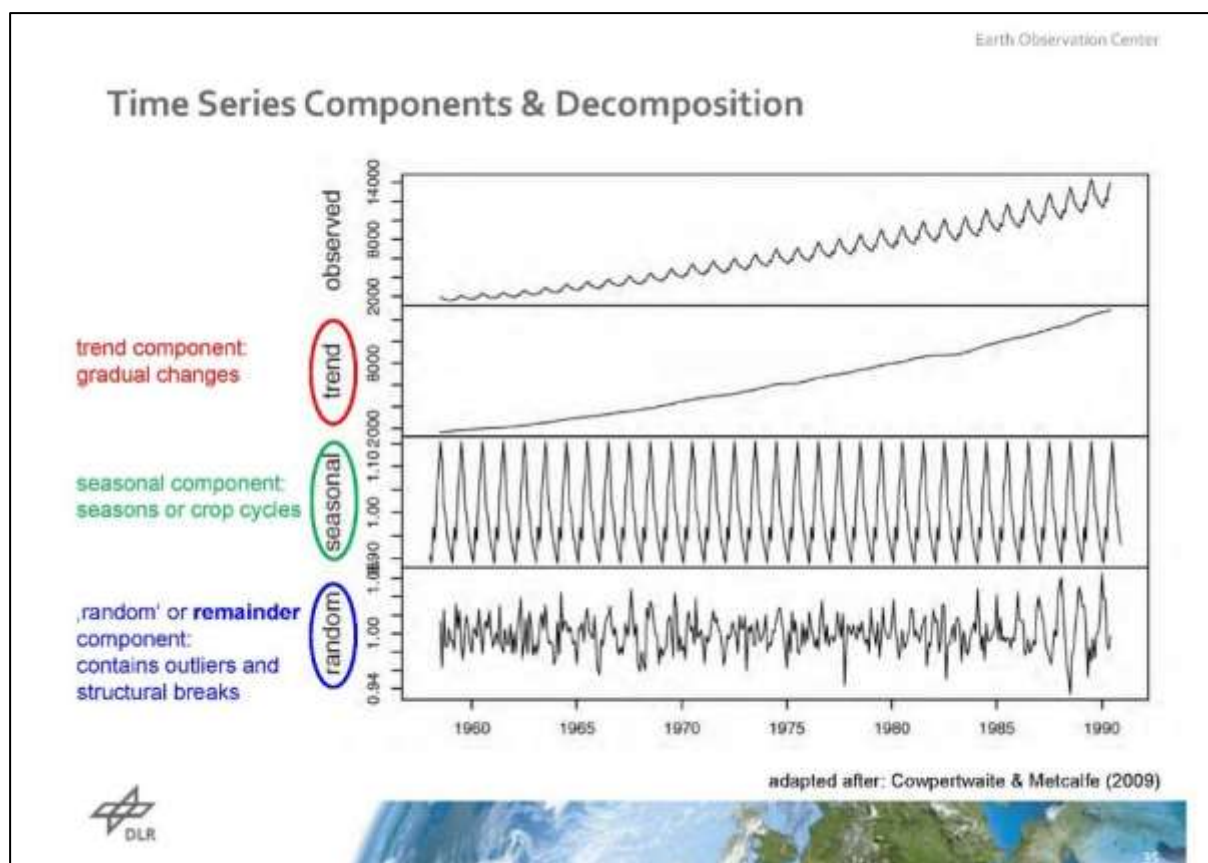


Earth Observation Center

Analyses of Seasonality in EO time series

- Calculation of suitable **seasonal/annual statistics** (mean, median, variance, amplitude, integrals etc.) of the values of a variable in a time series
 - basis for trend analyses
 - usage as feature e.g. for land use/cover classification
- Determination of **number of seasons** per year
- Analysis of **Land Surface Phenology**





Earth Observation Center

Analyses of Seasonality in EO time series

- Calculation of suitable **seasonal/annual statistics** (mean, median, variance, amplitude, integrals etc.) of the values of a variable in a time series
 - basis for trend analyses
 - usage as feature e.g. for land use/cover classification

- Determination of **number of seasons** per year

- Analysis of **Land Surface Phenology**

DLR

Earth Observation Center


Phenology





Phenology analyzes life cycle events of plants and animals.

examples:

- when do cherry trees blossom?
- when do the leaves of oak trees turn yellow?
- when is barley in grainfilling stage?
- when do storks breed?

Phenology is usually studied at plant / animal level.










Earth Observation Center

Land Surface Phenology (LSP)

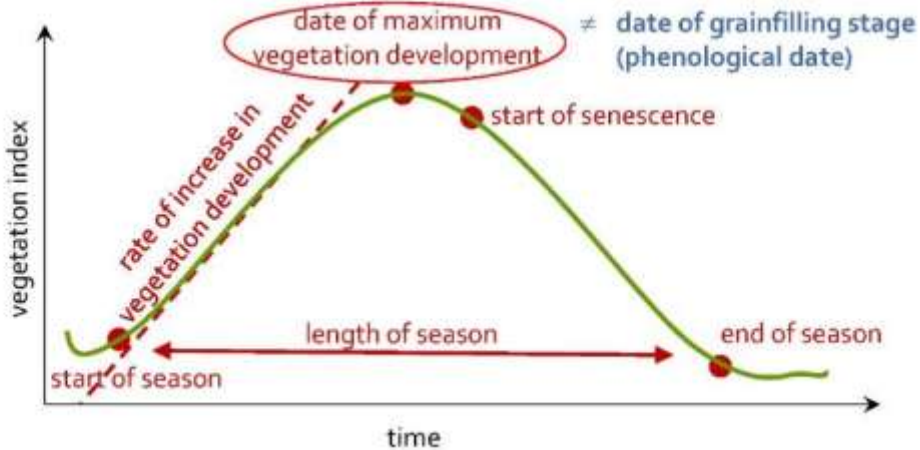
The spatio-temporal development of vegetated land surfaces as detected from remote sensors

-> reflects phenology of mixtures of land covers or plant communities





SEASONALITY PARAMETERS



vegetation index

time

Land Surface Phenology (LSP)

Relevance:

- Differentiation of **land use/cover types** (e.g. crop types, irrigation, forests, etc.)
- Detection of differences in **soil moisture** availability (e.g. due to differences in soil type/depth, differences in management practices, buried structures etc.)
- Identification of **climate change/variability** related changes in vegetation activity
- Land **degradation** assessments (shortening / failure of growing season, etc.)
- **Ecosystem services** assessment (e.g. timing of flowering vs. activity periods of pollinators, timing of fodder availability, etc.)



Delineation of seasonality parameters from EO data

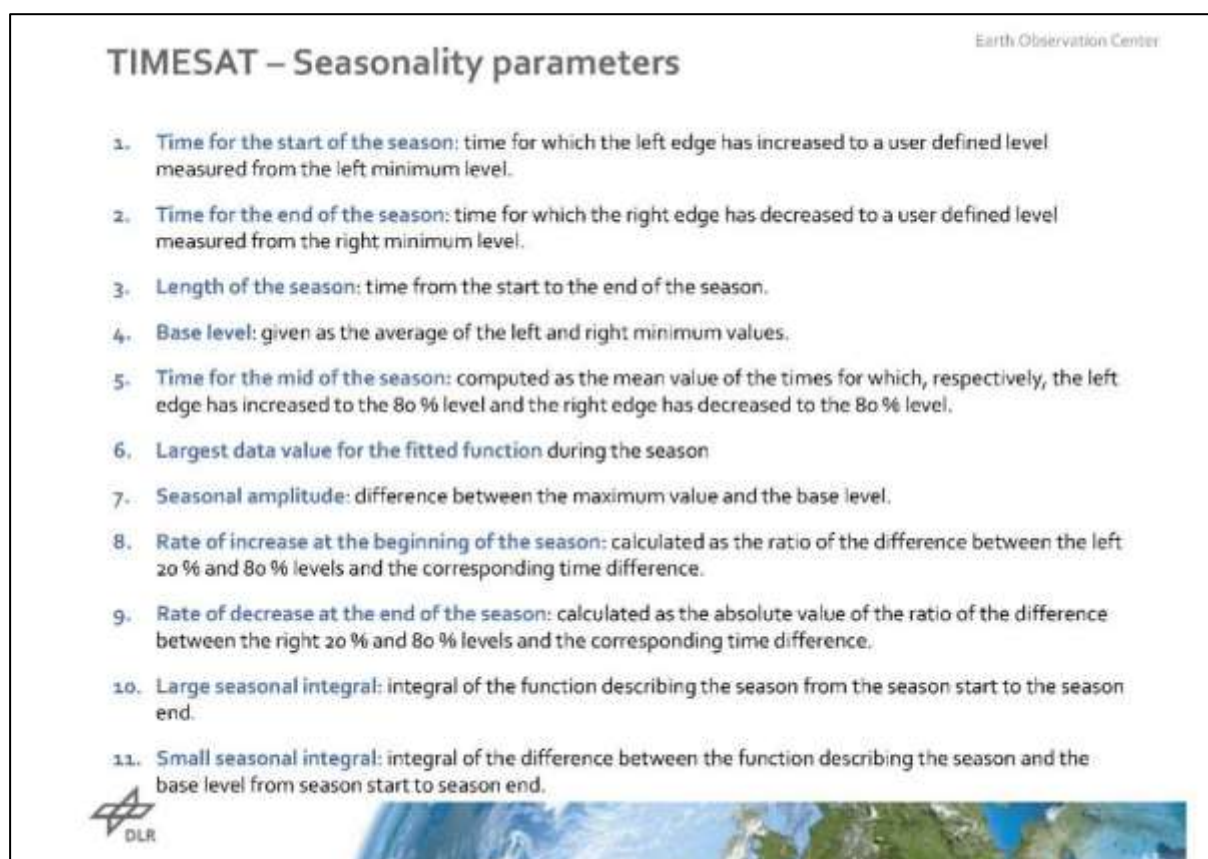
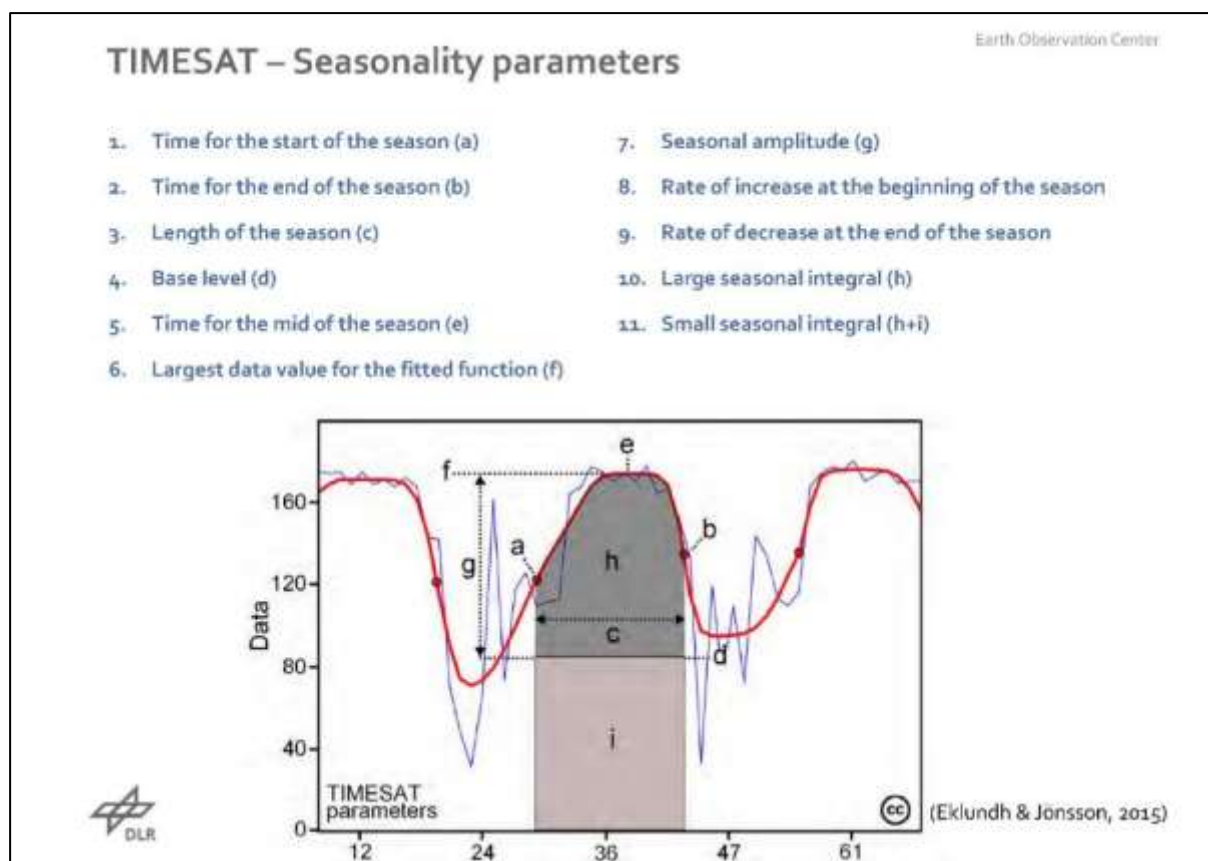
Seasonality parameters can be extracted from EO time series data for example using the **TIMESAT software** (Eklundh & Jönsson, 2015)

<http://web.nateko.lu.se/timesat/timesat.asp>

TIMESAT Workflow:

- Outlier removal / weighting
- Temporal filtering / smoothing
- Identification of number of seasons
- Identification of **11 seasonality parameters**





Earth Observation Center

Land Surface Phenology – Mekong Basin

Figures from Leinenkugel, P., Kuenzer, C., Oppelt, N., & Dech, S. (2013). Characterisation of land surface phenology and land cover based on moderate-resolution satellite data in cloud prone areas — A novel product for the Mekong Basin. Remote Sensing of Environment, 136, 180–198.

-> Removed for copyright reasons



Earth Observation Center

Start and end of season Africa based on MODIS 500m reflectances

Figures from Winkler K.

-> Removed as not yet published.



Earth Observation Center

Land Surface Phenology and Bushfires

Figures from Gessner, U.; Knauer, K.; Kuenzer, C. and Dech, S. (2015): Land surface phenology in a West African savannah: impact of land use, land cover and fire. In: C. Kuenzer & S. Dech, ed., 'Remote Sensing Time Series revealing Land Surface Dynamics'. Springer, Berlin, pp. 203-223.

-> Removed for copyright reasons

Large integral

Start of season



Large integral for different fire frequencies and land cover types

Earth Observation Center

Land cover classification of the Mekong Delta

Figures from Leinenkugel, P., Kuenzer, C., Oppelt, N., & Dech, S. (2013). Characterisation of land surface phenology and land cover based on moderate-resolution satellite data in cloud prone areas — A novel product for the Mekong Basin. *Remote Sensing of Environment*, 136, 180–198.

-> Removed for copyright reasons



Data fusion approaches

– Fusion of EO data with different spatial, temporal and spectral characteristics:

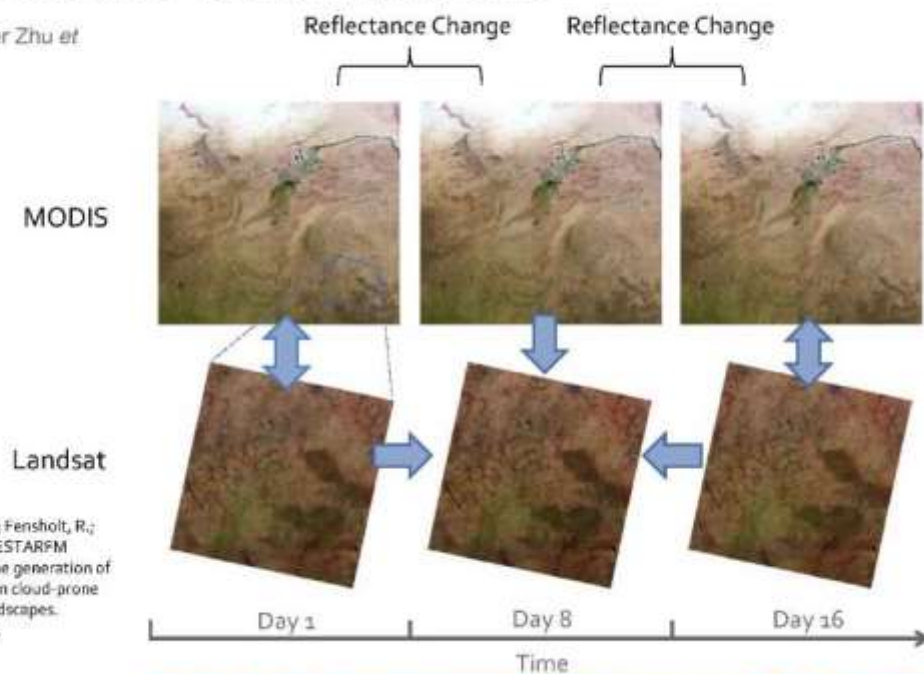
1. Fusion of spectral (index) information prior to thematic analysis

- pan-sharpening
- time series fusion



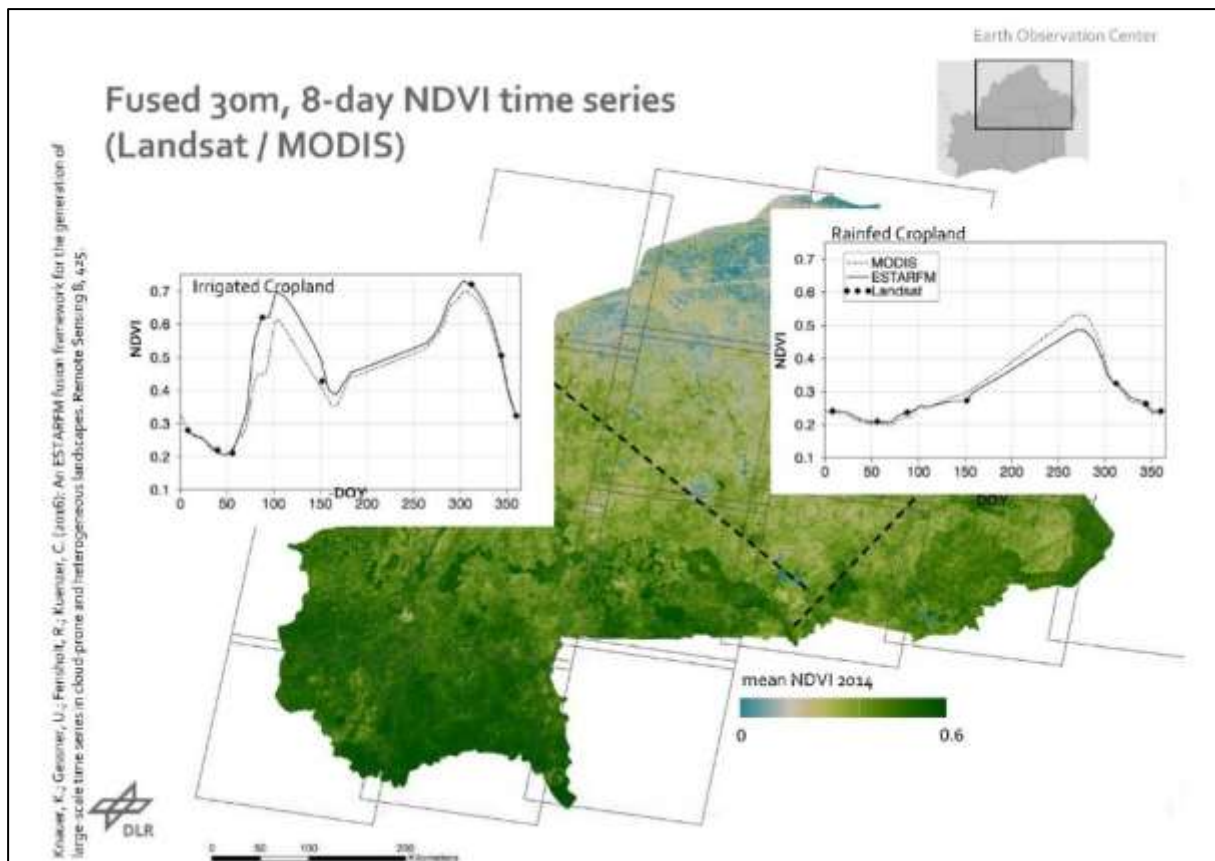
Time series fusion - ESTARFM framework

Modified after Zhu *et al.* (2010)



Knauer, K.; Gessner, U.; Fensholt, R.; Kuenzer, C. (2016): An ESTARFM fusion framework for the generation of large-scale time series in cloud-prone and heterogeneous landscapes. *Remote Sensing* 8, 425.



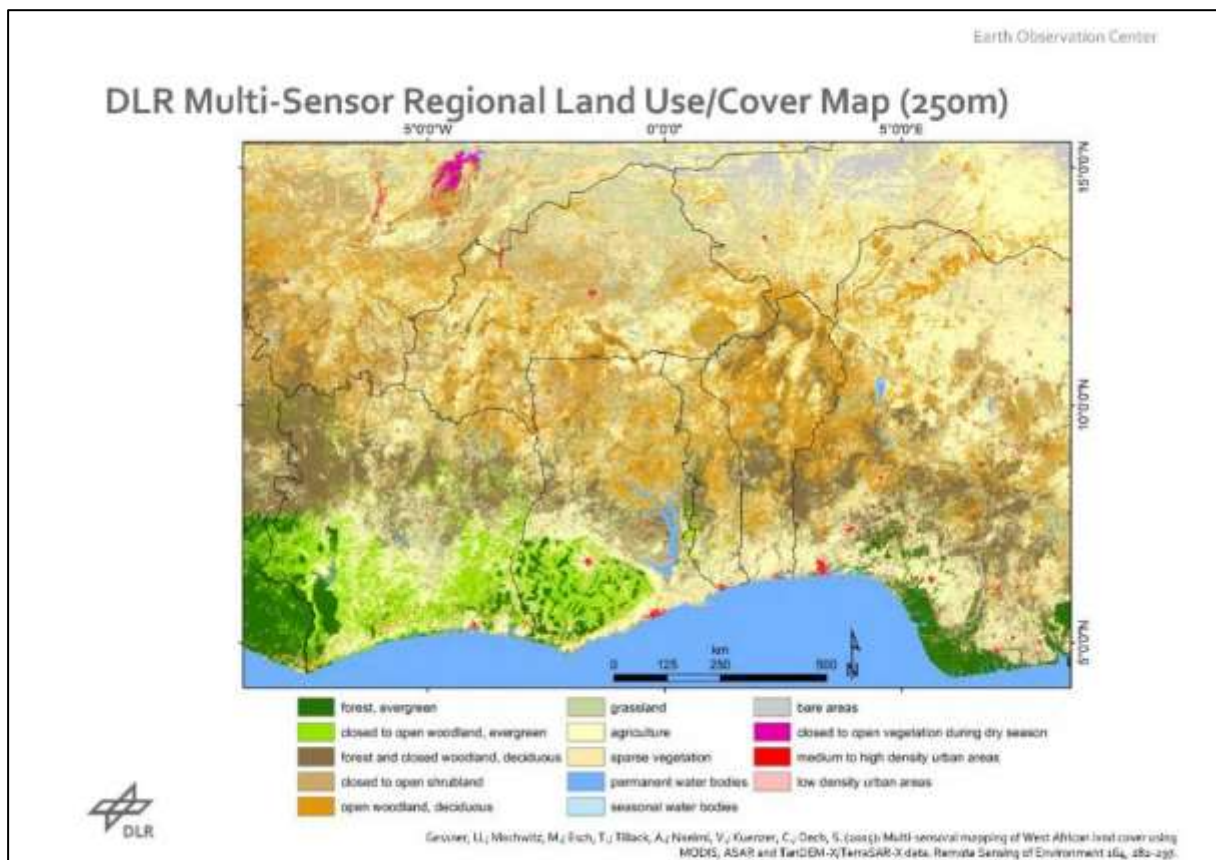
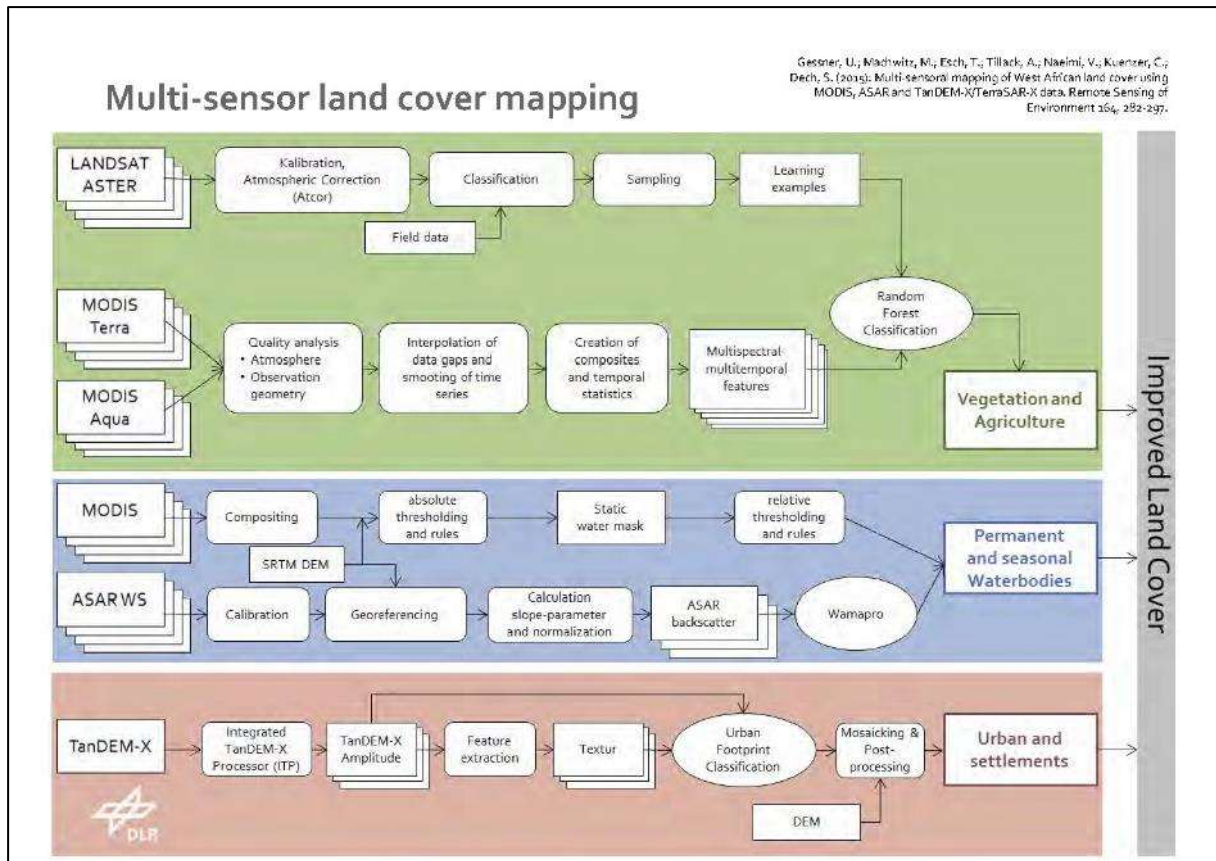


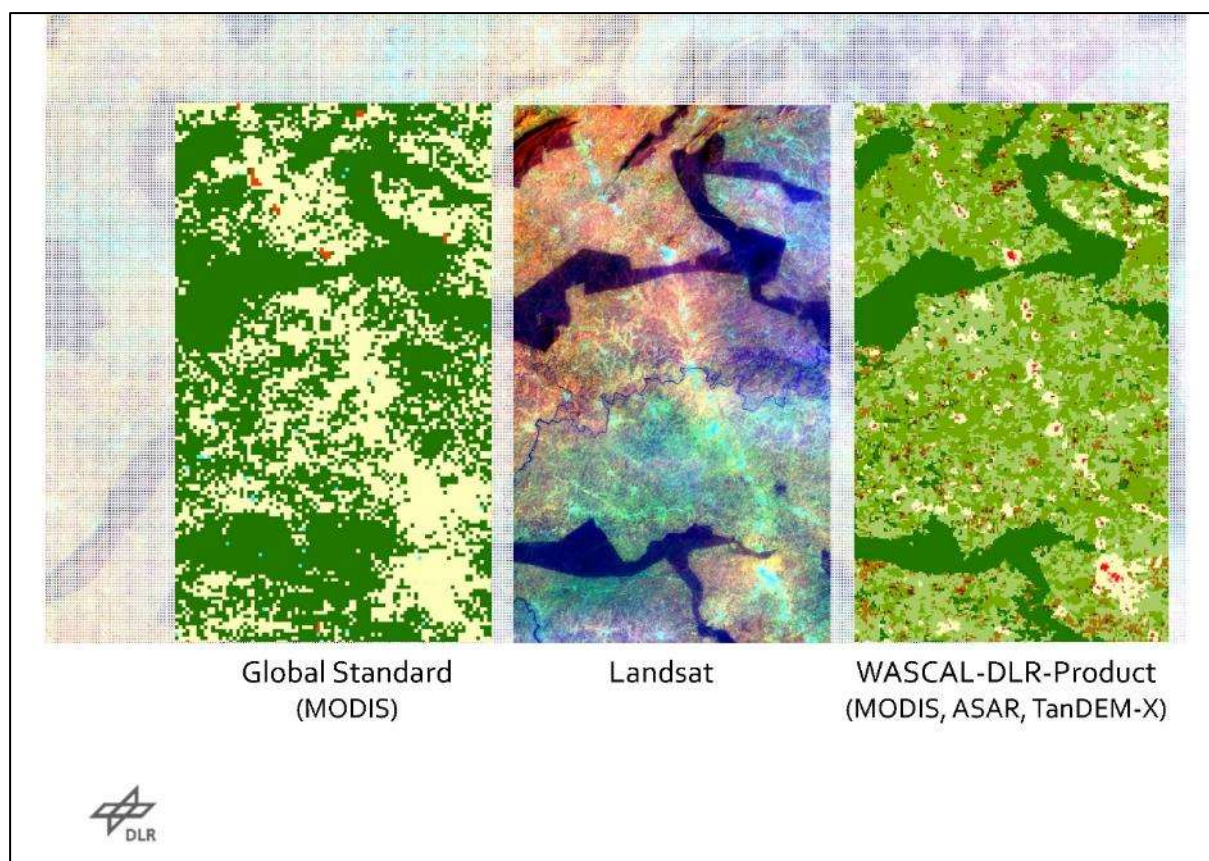
Earth Observation Center

Data fusion approaches

- Fusion of EO data with different spatial, temporal and spectral characteristics:
 1. Fusion of spectral (index) information prior to thematic analysis
 2. Fusion of thematic information derived from different sensors in one value-added product

Earth Observation Center





Earth Observation Center

Anomalies


Prerequisites:

- long time series -> for defining typical (average) conditions
- Thorough outlier removal

Options:

- Absolute anomaly
- Relative anomalies (in relation to typical variability / mean value)

DLR



Earth Observation Center

Meteorological and agricultural drought indices El Nino 2015/2016 (situation Nov. 2015)

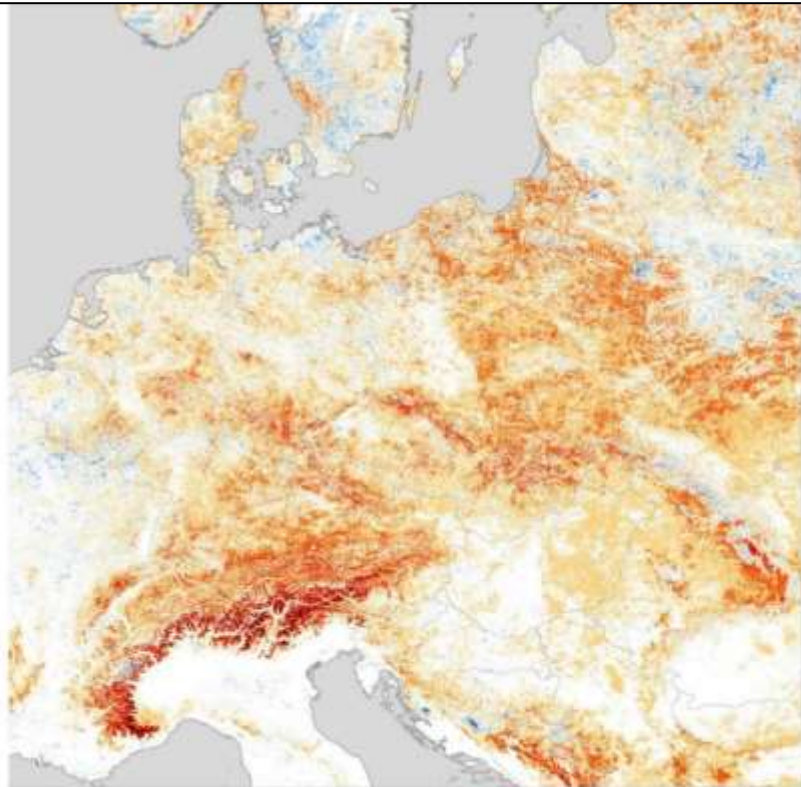
Figures from Winiger K.

-> Removed as not yet published



Anomaly in snow cover duration in winter 2015/2016

Source:
Dietz et al. (2016)
<https://www.dlr.de/fo/fo.jsp?topic=/fo/fo.html>
pa/fo/fo.html?topic=/fo/fo.html



Schneebedeckungsdauer in der Winter-, Saison 15/16
inkl. Januar im Vergleich zum langjährigen Mittelwert:
Abweichung in Tagen



Selected Literature

- Hean, C.T. (1977): *Statistical Methods in Hydrology*. Iowa State University Press, Iowa, 378 pp.
- Cowpertwaite, P.S.P. & Metcalfe, A.V. (2009): *Introductory Time Series with R*. Springer, Heidelberg/London/New York, 254pp.
- Kuenzer, C., Dech, S., Wagner, W. (2015): *Remote Sensing Time Series - Revealing Land Surface Dynamics*. Springer, Heidelberg/London/New York, 441pp.
- De Beurs, K. M., & Henebry, G. M. (2005). A statistical framework for the analysis of long image time series. *International Journal of Remote Sensing*, 26, 1551–1573.
- Donner, R. V.; Barbosa, S. M. (2008): *Nonlinear Time Series Analysis in the Geosciences*. Springer, Heidelberg/London/New York, 390pp.
- Cohen, W. B., Yang, Z., Kennedy, R. (2010): Detecting trends in forest disturbance and recovery using yearly Landsat time series: 2. TimeSync — Tools for calibration and validation. *Remote Sensing of Environment* 114, 2911–2924.
- Joansson, P. and Eklund, L. (2004): TIMESAT—a program for analyzing time-series of satellite sensor data. *Computers & Geosciences* 30, 833–845.
- Joansson, P. and Eklund, L. (2002): Seasonality Extraction by Function Fitting to Time-Series of Satellite Sensor Data. *IEEE Transactions on Geosciences and Remote Sensing*, Vol. 40, 8, 1824–1832.



Literature

- Verbeke, J.; Hyndman, R.; Zeileis, A.; Culvenor, D. (2010): Phenological change detection while accounting for abrupt and gradual trends in satellite image time series. *Remote Sensing of Environment* 114, 2970–2980.
- Zhu, X.; Chen, J.; Gao, F.; Chen, X.; Masek, J. G. (2010): An enhanced spatial and temporal adaptive reflectance fusion model for complex heterogeneous regions. *Remote Sensing of Environment* 114, 2970–2980.
- Beurs, de, K.M. and Henebry, G.M. (2004): Land surface phenology, climatic variation, and institutional change: Analyzing agricultural land cover change in Kazakhstan. *Remote Sensing of Environment* 89, 497–509.
- Duy Ba Nguyen, Kersten Clauss, Senmao Cao, Vahid Naeimi, Claudia Kuenzer and Wolfgang Wagner (2015). Mapping Rice Seasonality in the Mekong Delta with Multi-Year Envisat ASAR WSM Data. *Remote Sensing*, 7 (12), 15868–15893.
- Kennedy, R.; Yang, Z.; Cohen, W. B. (2010): Detecting trends in forest disturbance and recovery using yearly Landsat time series: 1. LandTrendr - Temporal segmentation. *Remote Sensing of Environment* 114, 2897–2910.
- Eklund, L. and Joansson, P. (2015): *TIMESAT 3.2 with parallel processing - Software Manual*. Lund and Malmö University, Sweden.



2.3 VIRTUAL TRAINING 3: “SATELLITE MONITORING FOR ARCHAEOLOGICAL LOOTING”

2.3.1 Description

The third virtual training was again performed physically instead of remotely. Dr. Nicola Masini from IBAM-CNR and Dr. Rosa Lasaponara from IMAA-CNR, were hosted by the Cyprus University of technology in Limassol, Cyprus. The training was carried out on the 1st of September 2017 and focused on the use of remote sensing for monitoring archaeological looting, displaying paradigms from countries beyond Europe.

Basic overview of Looting and its monitoring from space

Relevance of the training activities in the framework of the ATHENA project

Cyprus due to its geographical position has always been the crossroad between three continents: Europe, Africa, and Asia, the bridge between east and west. With the various wars and conflicts in the Middle east area, remote sensing techniques seem to be the most efficient, time effective way for monitoring CH's destruction even documenting CH prior its total extinction, as well as to monitor archaeological looting activities which represent one of the main risks affecting archaeological heritage throughout the world.

Actions oriented to prevent looting can be supported by satellite technologies which can provide reliable information to: (i) detect and quantify looting phenomenon even over large areas, (ii) set up tools to undertake monitoring also for remote areas or sites not accessible due to war or other limiting factors.

Recently, looting activities that have exponentially increased in the Middle East since the beginning of the conflict in Syria. in the middle east areas. To face this UNESCO and UNITED National provided additional efforts and adopted new actions to condemn and contrast looting activities The United Nations Security Council, on 12 February 2015, adopted the Resolution 2199 that condemns the destruction of cultural heritage and adopts legally binding measures to counter illicit trafficking of antiquities and cultural objects.

Basic overview of Looting and CH monitoring from space

The preservation of cultural heritage and landscape is today a strategic priority not only to assure cultural treasure and evidences of the human past to future generations, but also to exploit them as a strategic and valuable economic asset, if inspired to sustainable development strategies. This is an extremely important key factor for the countries which are owners of an extraordinary cultural legacy, which is particular fragile due to its specific characteristics and specific risks at which CH is continuously exposed. Taking advantage of large-spatial coverage, high-spectral and sensitivity satellite remote sensing can be usefully adopted for

contrasting looting. Satellite technologies offer a suitable chance to quantify and analyse this phenomenon, especially in those countries, from Southern America to Middle East, where the surveillance on site is not much effective and time consuming or non-practicable due to military or political restrictions.

The training activities organized by CNR and carried out by Rosa Lasaponara and Nicola Masini were focused on the characterization of the looting phenomenon from a multi-faced perspective (as detailed below). In particular, the training activities were focused on the use of high spatial resolution satellite and aerial optical images and Lidar acquisition to quantitatively assess looting. An overview of methodologies and data processing for the identification and quantification of looting features (using both single date and multitemporal satellite images) were discussed for several study areas.

Moreover, advanced data processing based on both autocorrelation statistics and unsupervised classification have been presented, applied and discussed for significant study areas, as Dura Europos; selected in Syria. The main topic were deeply focalized on the following:

1. Looting as a complex problem
 - ✓ black market of looted items
 - ✓ social and anthropological view of looters;

2. Looting features from above: physical and spectral characteristics
 - ✓ Looting from optical satellite data
 - ✓ Looting from SAR satellite data
 - ✓ Looting from LIDAR
 - ✓ Looting from UAV optical image

3. An overview of looting
 - ✓ Looting in the diverse continents from Middle East to Peru, from Asia to Europe:
 - ✓ Looting mapping and quantification
 - ✓ Visual inspection, Crowd sourcing and automatic data processing to map and quantify looting

4. Data processing from looting feature extraction
 - ✓ Classification to automatically detect looting in desert environment
 - ✓ LISA approach to enhance looting features

5. Practical applications

2.3.2 Agenda and Participants

Detailed training program

➤ Lectures/presentations

Lesson 1: An overview of Looting and international black market (Nicola Masini)

Lesson 2: Looting features and monitoring tools (Nicola Masini)

Lesson 3: An overview of Looting activities thought the world (Rosa Lasaponara)

Lesson 4: Looting from space: from visual inspection to automatic recognition and mapping (Rosa Lasaponara)

➤ Practical exercise

Satellite data for study areas selected in Syria-Dura Europos

Software: open (snap) and commercial ENVI



“Archaeological looting: Ancient problems and New approaches based on Remote Sensing”

1st September 2017, CUT, Limassol, Cyprus

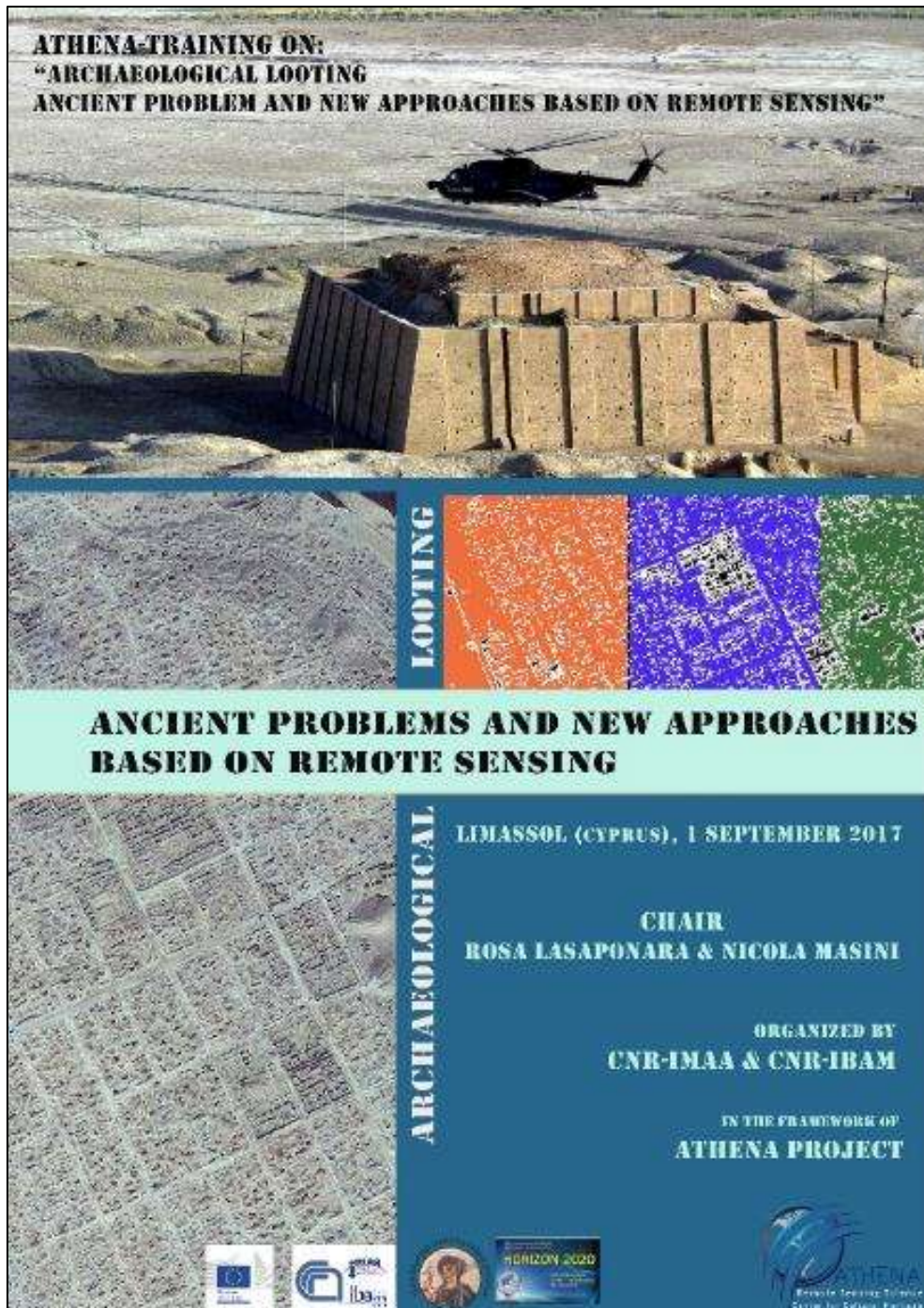
Conducted by Dr. Rosa Lasaponara and Dr. Nicola Masini



Agenda

Friday, September 1st	
9:30-10:30	- Archaeological looting disturbance : ethics and strategies for contrasting and monitoring
10:30-11:30	- EO technologies for looting observation, quantification and mapping
11.30-12.30	- State of Art of EO based approaches for looting monitoring
12:30-13:30 Lunch	
13:30-14:30	- Looting feature extraction : ALFEA method by Lasaponara & Masini
14.30-15:30	- Tutorial (study cases)
15:30-16:30	- Discussion of presented aspects - Identification of interesting aspects for joint studies - Ideas for joint journal paper /conference presentation



4th virtual training: Agenda




4th virtual training: Flyer




ATHENA
Remote Sensing Science
Center for Cultural Heritage







Cyprus University of Technology









Cyprus Research Institute




DLR

H2020-TWINN-2015 - Remote Sensing Science Center for Cultural Heritage - ATHENA
 Topic: Archaeological looting: Ancient problems and New approaches based on Remote Sensing
 Trainers: Dr. Rosa Lasaponara and Dr. Nicola Masini
 Date: Friday, 1st September, 2017
 Venue: CUT - Limassol, Cyprus



List of participants




A/A	NAME	INSTITUTION	CONTACT DETAILS	SIGNATURE
1	Argyro Nisoubzi	CUT	argyro.nisoubzi@cut.ac.cy	
2	KYRIACOS NEOCLEOUS	CUT	kyriacos.neocleous@cut.ac.cy	
3	Andreas Christofa	CUT	andreas.christofa@cut.ac.cy	
4	Georgios Leventis	CUT	georgios.leventis@cut.ac.cy	
5	Antonia Nicolaou	CUT	an.nicolaou@cut.ac.cy	
6	Vasiliki Lyssandrou	CUT	vasilikilyssandrou@cut.ac.cy	

1



ATHENA
Remote Sensing Science
Center for Cultural Heritage

7	MARIOS TZANIRAS	CUT	marios.tzaniras@cut.ac.cy	
8	DIMITRIS KOUTSISOUK	CUT	dimitris.koutsisouk@cut.ac.cy	
9	Atina Anagnostou	CUT	atina.anagnostou@cut.ac.cy	
10	Rodanthi Maniouri	CUT	rodanthi.maniouri@cut.ac.cy	
11				
12				
13				
14				
15				
16				
17				

2



Photos during the 3rd Virtual Training at the premises of the Cyprus University of Technology

2.3.3 Presentations on “Archaeological looting. Ancient problems and new approaches based on remote sensing”

ATHENA-Training n 4 : “Archaeological looting: Ancient problems and New approaches based on Remote Sensing”





**SPACE BASED
INSPECTION**

Rosa Lasaponara^a and Nicola Masini^b

^a CNR-IMAA, Istituto di Metodologie di Analisi Ambientale -
^b CNR-IBAM, Istituto per i Beni Archeologici e Monumentali -






ATHENA-Training : “Archaeological looting: Ancient problems and New approaches based on Remote Sensing” - 1.09.2017, Limassol, Cyprus







Looting: a pervasive broad based phenomenon





Actually looting remains a pervasive broad based phenomenon through the world. Important cultural property has disappeared from many countries, even in areas not involved in armed conflicts or politic unrest as revealed from the survey conducted with a 'global' perspective by [5] Proulx (2013) (see also <https://heritage.crowdmap.com/main>).

According to this study over the years the most looted countries have been Italy, Peru, despite the UNESCO recommendations (1956, 1970, 2001) [6-8] and the fact that many countries adopted repressive measures and restrictive laws to impose the returning of objects.

Nevertheless, it must be considered that even returning the re-found looted artifacts to their own countries the devastation made by looters cannot be anymore recovered as well as the loss of cultural context and landscape which makes the subsequent interpretation of archaeological remains very difficult.

ATHENA-Training : “Archaeological looting: Ancient problems and New approaches based on Remote Sensing” - 1.09.2017, Limassol, Cyprus

“Historically” and currently Looted areas



<http://savingantiquities.org/safe-resources/facts-figures/>




ATHENA-Training : “Archaeological looting: Ancient problems and New approaches based on Remote Sensing” - 1.09.2017, Limassol, Cyprus



Looting in China



<https://www.theguardian.com/world/2012/jan/01/china-tomb-raiders-destroy-relics>




ATHENA-Training : “Archaeological looting: Ancient problems and New approaches based on Remote Sensing” - 1.09.2017, Limassol, Cyprus



Looting in China

**SIR, HOW MUCH IS THAT MING VASE IN THE WINDOW?:
Protecting Cultural Relics in the People's Republic of China**

MICHAEL L. DUTRA*

- I. INTRODUCTION
- II. CULTURAL PROPERTY IN A SHRINKING WORLD
 - A. *What is Cultural Property?*
 - B. *The Global Black Market for Antiquities*
 - C. *Cultural Property in China*
 - D. *The Challenge of Protecting Chinese Cultural Property*
- III. THE INTERNATIONAL LEGAL REGIME TO PROTECT CULTURAL PROPERTY

http://blog.hawaii.edu/aplpj/files/2011/11/APLPJ_05.1_dutra.pdf

ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus

Looting in China


China's ancient treasures under siege from army of tomb-raiders



It's perhaps not surprising that grave robbing has a long tradition in China: after all, Chinese civilisation stretches back several thousand years. But a 21st century twist is turning this age-old crime into an epidemic, inspired by get-rich-quick games and a series of popular movies, young migrant workers and peasants have flocked up to the thousands through internet chat rooms to loot historic tombs in key provinces.

A band of five led by a militant ex-Marxist turned Maoist was among the more recent looters. In May the gang looted hundreds of kilnshires for the dark red townships of Hualu in southeastern Zhejiang province, and made off with a carved stone horse from a 400-year-old mausoleum.


ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus

Looting in Tiwanaku



ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Looting in Tiwanaku




<https://www.anonymouswisscollector.com/2012/11/relics-for-sale-at-tiwanaku-bolivia.html>




ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Looting in Bolivia




DAY OF ARCHAEOLOGY 2013 FIND OUT WHAT ARCHAEOLOGISTS REALLY DO.

High Crimes: Studying the Illicit Antiquities Trade in the Bolivian Andes


by Donna Yates on July 26, 2013 in Day of Archaeology 2013

Although I am a trained field archaeologist, I now work for a criminology department. I study the looting of archaeological and historic sites and the transnational trade in illicit cultural property. That is what I am doing now, in La Paz, Bolivia, 3700 feet above sea level. thanks to a Fulbright grant and a



ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus

Looting in Peru



ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus

Looting in Italy

WHY LOOT? – THE BACKDROPP OF RECENT

- The majority of the looting of the past centuries has been carried out by individuals.
- The looting of the past centuries has been carried out by individuals.
- The looting of the past centuries has been carried out by individuals.

The Italian States: Occupation

- In 1917 thousands of Spanish troops, along with their families, arrived in Sicily.
- They had been used for health and military training.
- The looting of the past centuries has been carried out by individuals.
- The looting of the past centuries has been carried out by individuals.
- The looting of the past centuries has been carried out by individuals.

ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus

Looting in Vietnam

FIGURE 1 Map of Vietnam highlighting the Thanh Hoa region (yellow of Vuon Chuoi within Hanoi). Red and orange represent recent construction of the Vuon Chuoi site complex, the white square represent red Xs where looting has occurred.

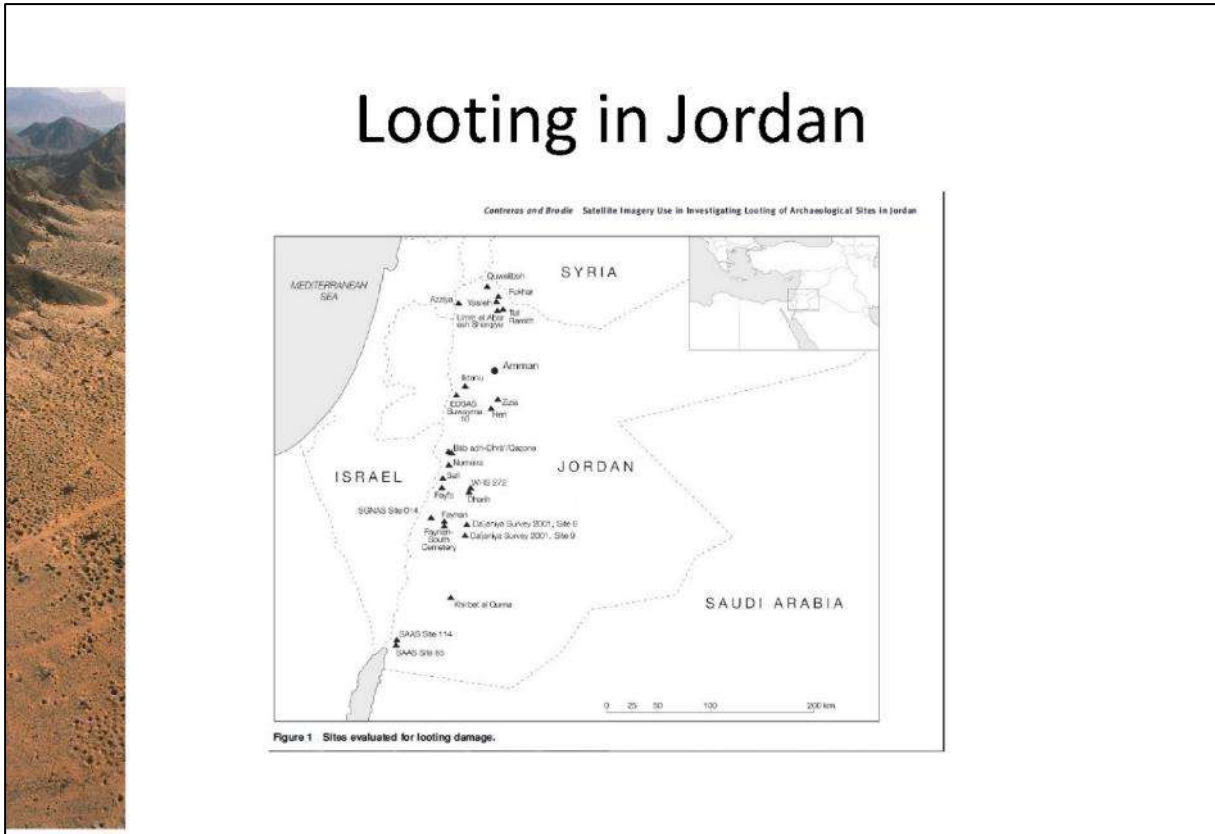
FIGURE 4 Pit remaining from previous looting activity.

From the Ground, Up: The Looting of Vưon Chuoi within the Vietnamese and Southeast Asian Antiquities Trade

Damien Huffer, Duncan Chappell, Lâm Thị Mỹ Dung & Hoàng Long Nguyễn

To cite this article: Damien Huffer, Duncan Chappell, Lâm Thị Mỹ Dung & Hoàng Long Nguyễn. From the Ground, Up: The Looting of Vưon Chuoi within the Vietnamese and Southeast Asian Antiquities Trade. *Journal of Archaeological Science*, 2017, 82, 1-12. doi:10.1016/j.jas.2017.05.012

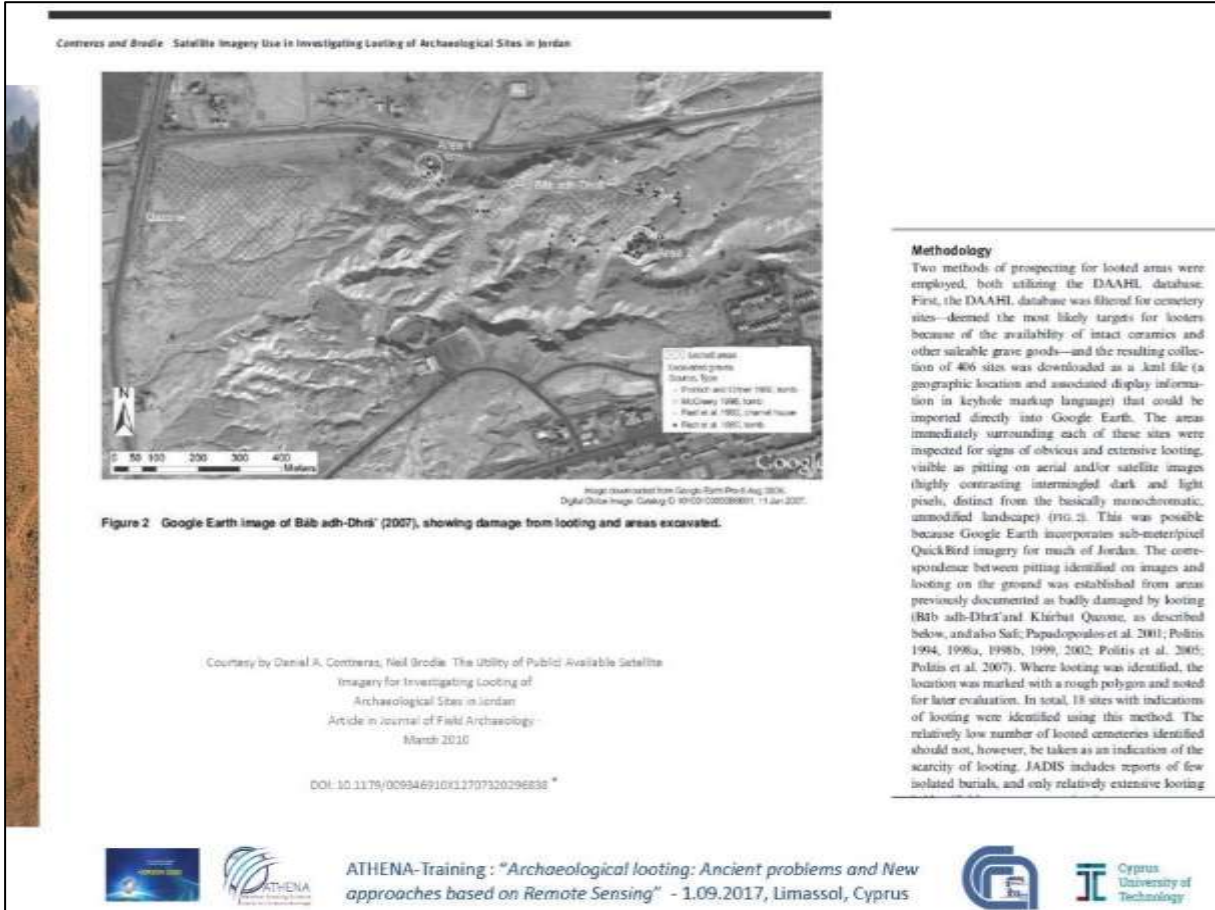
ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Cyprus University of Technology



ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus




Cyprus University of Technology


Looting in Jordan

Centenas and Breidie | Satellite Imagery Use in Investigating Looting of Archaeological Sites in Jordan

Figure 1 Sites evaluated for looting damage.




ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus




Looting underwater treasures

<http://www.haaretz.com/archaeology/1.777473>

<http://www.haaretz.com/archaeology/1.777473>



ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



War and Looting

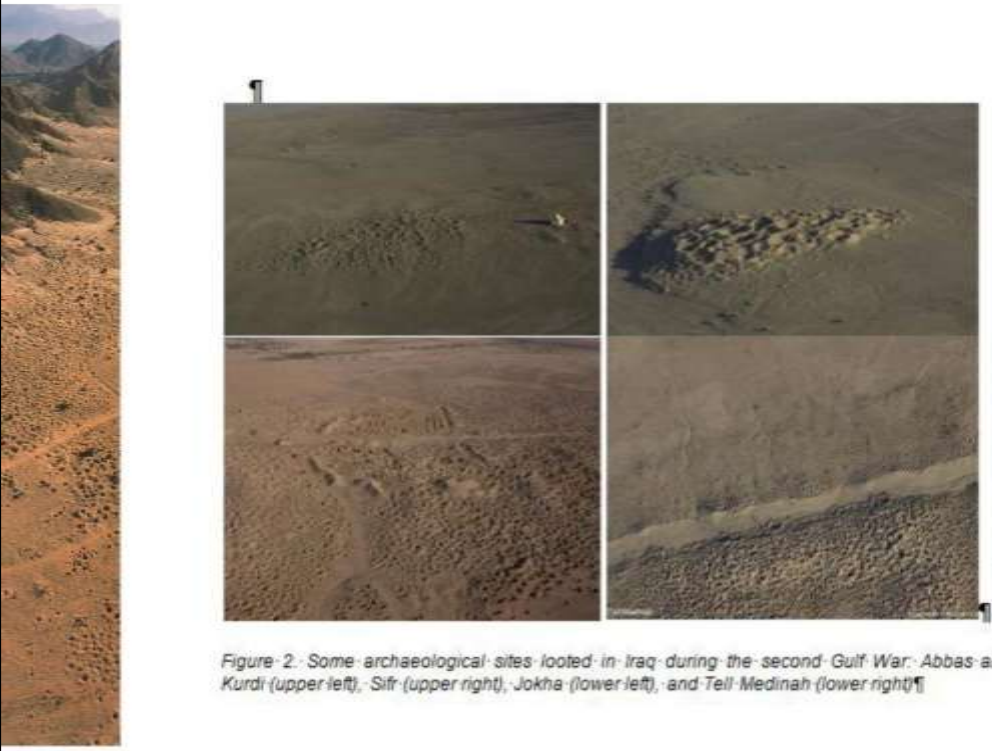






Figure 2. Some archaeological sites looted in Iraq during the second Gulf War: Abbas al-Kurdi (upper left), Sifr (upper right), Jokha (lower left), and Tell-Medinah (lower right) [1]

ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus

Looting detection by visual inspection in Iraq by Stone (2008)

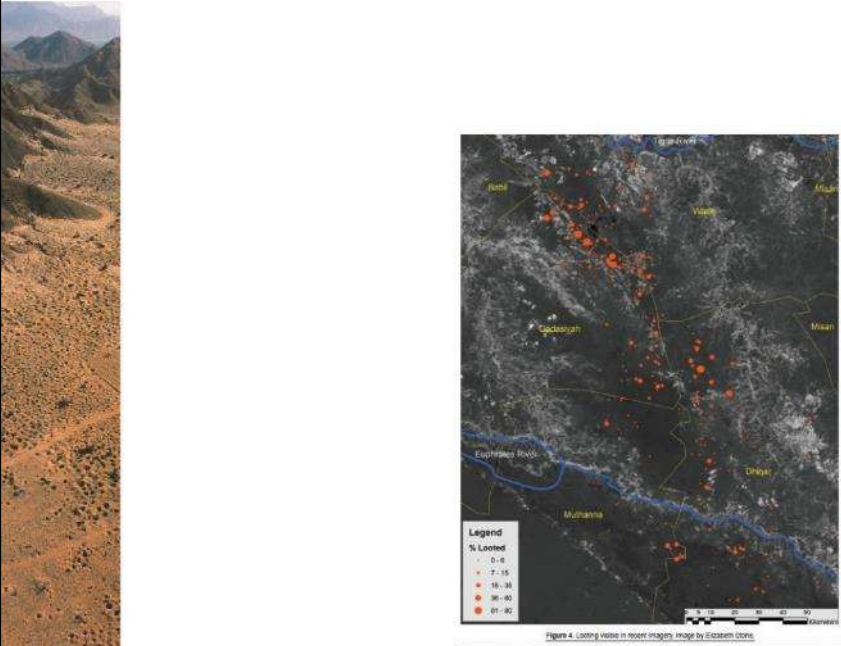







Figure 4. Looting visible in recent imagery, image by Esatberk Ozan.

Courtesy by Stone E. C. 2015. "An Update on the Looting of Archaeological Sites in Iraq." *Near Eastern Archaeology*, 78 (3): 178-186.

ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus

War and Looting







Recently released satellite imagery of archaeological sites around the northern Iraq city of Mosul has revealed extensive destruction at two capital cities of ancient Mesopotamia, according to researchers with the American Schools of Oriental Research Cultural Heritage Initiatives (ASOR CHI).

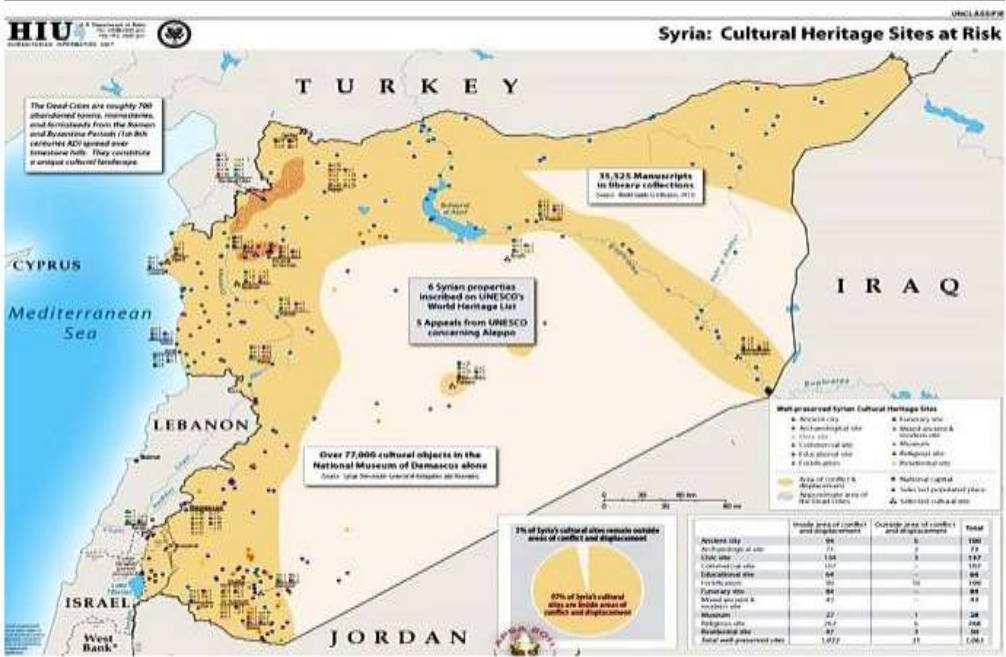


ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



War and Looting





Syria: Cultural Heritage Sites at Risk

The Dead Cities are roughly 700 abandoned towns, monasteries, and fortresses from the Roman and Byzantine Periods (1st-8th centuries AD) spread over limestone hills. They constitute a unique cultural landscape.

31,528 Manuscripts in library collections (Over 3000 lost in Lebanon, 2015)


6 Syrian properties inscribed on UNESCO's World Heritage List
3 Appeals from UNESCO concerning Aleppo

Over 77,000 cultural objects in the National Museum of Damascus alone (Data: Syria Services General Directorate Research)


7% of Syria's cultural sites remain outside areas of conflict and displacement

82% of Syria's cultural sites are under attack or conflict and displacement


Site Type	Number of Sites	Area (km²)	Total
Accidental site	66	6	1660
Archaeological site	74	2	77
Classical site	134	2	137
Cultural site	107	—	107
Educational site	66	—	66
Industrial site	66	—	66
Religious site	84	—	84
Modern site	21	—	21
Monument	27	1	28
Historical site	77	5	78
Archaeological site	87	2	90
Total well preserved sites	1,022	11	1,041



ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Looting: a pervasive broad based phenomenon



The screenshot shows the SAFE website with the following statistics:

- 97.9% SAID LOOTING EXISTS
- 89.6% SAID LOOTING EXISTS IN ALL COUNTRIES
- 78.5% PERSONALLY ENCOUNTERED LOOTING

Source: Proulx B.B. 2013. "Archaeological site looting in global perspective. Nature, scope and frequency." *American Journal of Archaeology* (117): 111-125.

ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus

On the monitoring of archaeological looting and damage

- In order to monitor archaeological looting and damage since the thirties of the 20th century aerial surveillance has been a common tool appreciated by archaeologists and conservators as it permits not only the discovery of unknown sites but also the monitoring of know sites and the estimation of risk factors, such as environmental (landslides, flooding) and man-made (i.e. the impact of urban and industrial infrastructures) issues.
- Results from some recent investigations have shown that Very High resolution (VHR) satellite imagery are of great help in the remote surveying of archaeological heritage for the identification of the areas of archaeological interest as well as for of looting and the quantification of damage
- <https://www.aas.org/page/ancient-history-modern-destruction-assessing-current-status-syria-s-world-heritage-sites-using>

ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus

On the monitoring of archaeological looting and damage



- Results from some recent investigations have shown that Very High resolution (VHR) satellite imagery are of great help in the remote surveying of archaeological heritage for the identification of areas of archaeological interest affected by looting and the quantification of damage in Syria (Casana and Panahipour 2014; AAS 2015), in Iraq (Stone 2015), in Peru (Contreras 2010; Lasaponara et al. 2014).
- Up to now, only a few contributions have been specifically focused on data processing for the extraction of looting /devastation features based on optical (Lasaponara et al. 2014; Van Ess et al. 2006; Lasaponara and Masini 2010; Cerra et al. 2016) or SAR (Tapete et al. 2016) remote sensing.
- In particular, van Hees et al. (2006) used a semiautomatic object oriented approach based on the segmentation and subsequent supervised classification, applied to the archaeological site of Uruk-Warka in Iraq (Van Ess et al. 2006).
- Lasaponara & Masini (2010) introduced for the first time the use of local spatial association indicators (LISA) for the identification of looting patterns, near Nasca in Southern Peru. This approach was later coupling LISA with unsupervised classifications for the automatic extraction of looting features in Ventarron (Northern Peru) (Lasaponara et al. 2014).
- Cerra et al. (2016) obtained change maps in two archaeological sites in Syria and in Iraq by analysing texture features, extracted through Gabor filters, and differences in brightness values.



ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Cyprus University of Technology

On the monitoring of archaeological looting and damage



- Visual inspection
- Semiautomatic Processing
- Automatic processing



ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Cyprus University of Technology

Monitoring illegal excavation based on visual inspection of VHR satellite data for UNESCO- UNOSAT


- <https://www.theatlantic.com/photo/2016/02/the-looting-of-syrias-archaeological-treasures/459996/>
- <http://www.livescience.com/56141-looting-artifacts-from-syria-to-us.html>
- <https://www.aaas.org/page/ancient-history-modern-destruction-assessing-status-syria-s-tentative-world-heritage-sites-7>
- <http://www.unesco.org/new/en/safeguarding-syrian-cultural-heritage/>
- <http://www.unesco.org/new/en/phnompenh/culture/tangible-cultural-heritage/prevention-of-looting-and-illicit-traffic-of-cultural-property>




ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus




Visual inspection of google earth TerraWatchers initiative



TerraWatchers
Copyright © 2017, Sophie H. Savage. All rights reserved.
Webmaster: s.h.savage@cyprus.ac.cy
Last modified: August 07, 2017 10:21:15



ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Looting detection by visual inspection in Iraq by Stone (2015)



- Stone E. C. 2015. "An Update on the Looting of Archaeological Sites in Iraq." *Near Eastern Archaeology*, 78 (3): 178-186.



ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Cyprus University of Technology

Looting detection by visual inspection in Iraq by Stone (2015)



"Iraq had experienced site looting in the past– indeed it is largely as a result of this activity that the world's museums have Mesopotamian collections, but in the decades before 1990 a strong system of site protection was in place and the local population was sufficiently prosperous to have little interest in acquiring antiquities for sale. Two unrelated events changed everything in 1990.

One was the Iraqi invasion of Kuwait and its aftermath—including the Shiite uprising; the second was the filling of the lake behind the Ataturk dam in Turkey which initiated a decrease in the water critical for irrigation in southern Iraq (Beaumont 1998).

The net result was an impoverishment of the population of the south due to reductions in both agricultural production and support from the central government. It is thus perhaps not surprising that the local population began to turn to an alternate source of income: the retrieval and sale of antiquities. " (Stone , 2015)

- Stone E. C. 2015. "An Update on the Looting of Archaeological Sites in Iraq," *Near Eastern Archaeology*, 78 (3): 178-186.



ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Cyprus University of Technology

Looting detection by visual inspection in Iraq by Stone (2015)



Figure 1a (top): Site 1217 on February 27, 2003. Figure 1b (middle): Site 1217 on June 10, 2003. Figure 1c (bottom): Site 1217 on January 18, 2015. Photographs courtesy of the Digital Globe Corporation.



Courtesy by Stone E. C. 2015. "An Update on the Looting of Archaeological Sites in Iraq." *Near Eastern Archaeology*, 78 (3): 178-186.



ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Cyprus University of Technology

Looting detection by visual inspection in Iraq by Stone (2015)



Stone (2015) has re-examined 1,465 of the surveyed archaeological sites that had been used in the earlier project (Stone , 2003).

For each site, the current situation was compared with that in 2003 (see Table 1).

Determining the exact location of each site, using GIS to measure their sizes based on the imagery, and using the published surface survey data to assess the date of the most accessible archaeological remains had already been accomplished for the 2003 looting survey

Courtesy by Stone E. C. 2015. "An Update on the Looting of Archaeological Sites in Iraq." *Near Eastern Archaeology*, 78 (3): 178-186.



ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Cyprus University of Technology

Looting detection by visual inspection in Iraq by Stone (2015)



Courtesy by Stone E. C. 2015. "An Update on the Looting of Archaeological Sites in Iraq." *New Eastern Archaeology*, 78 (3): 178-186.



ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Cyprus University of Technology

Looting detection by visual inspection in Iraq by Stone (2015)



Sites with Evidence for >10% Looting						
Period of Occupation	February 2003 Sites	February 2003 %	Summer 2003 Sites	Summer 2003 %	Summer 2015 Sites	Summer 2015 %
Early Ubaid	0	0.00	0	0.00	0	0.00
Late Ubaid	0	0.00	1	0.33	0	0.00
Early Uruk	3	4.35	3	1.00	2	3.23
Middle Uruk	0	0.00	1	0.33	0	0.00
Late Uruk	9	13.04	19	6.36	4	6.45
Jemdet-Nasr	2	2.90	14	4.68	2	3.23
Early Dynastic I	0	0.00	14	4.58	3	4.84
Early Dynastic II	0	0.00	7	2.34	2	3.23
Early Dynastic III	0	0.00	0	0.00	0	0.00
Akkadian	0	0.00	3	1.00	3	4.84
Ur III/Isin-Larsa	6	8.70	27	9.03	9	14.52
Old Babylonian	6	8.70	24	8.03	5	8.06
Kassite	3	4.35	25	8.36	3	4.84
Middle Babylonian	3	4.35	17	5.69	3	4.84
Neo-Babylonian	1	1.45	5	1.67	1	1.61
Achaemenid	3	4.35	24	8.03	4	6.45
Parthian	11	15.94	56	18.73	11	17.74
Sassanian	8	11.59	34	11.37	6	9.68

Courtesy by Stone E. C. 2015. "An Update on the Looting of Archaeological Sites in Iraq." *New Eastern Archaeology*, 78 (3): 178-186.



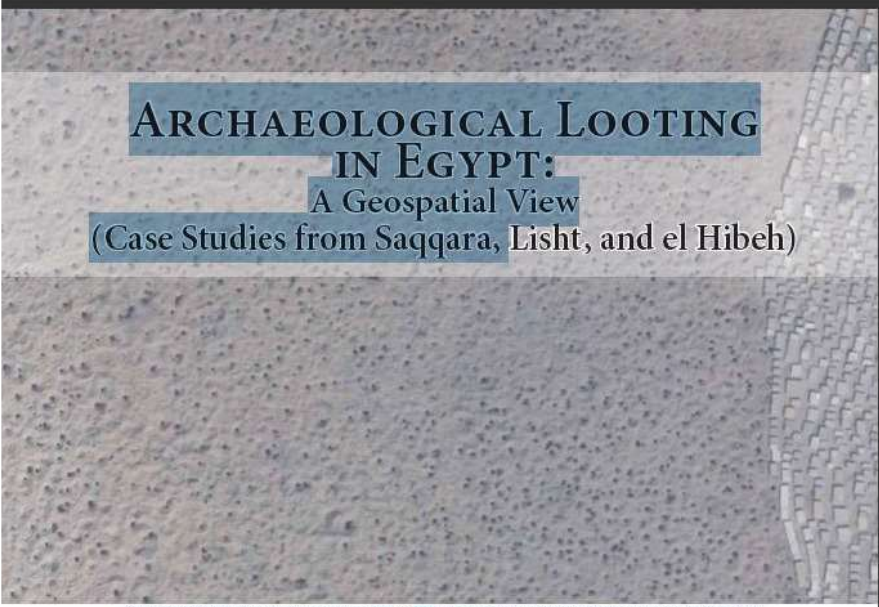
ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Cyprus University of Technology

Looting detection by visual inspection in Egypt by Parcak (2015)

This content downloaded from 93.109.83.99 on Wed, 30 Aug 2017 05:01:04 UTC
All use subject to <http://about.jstor.org/terms>



**ARCHAEOLOGICAL LOOTING
IN EGYPT:
A Geospatial View
(Case Studies from Saqqara, Lisht, and el Hibeh)**

Thousands of looting pits visible from space at the site of Abusir El Malek, a Late Period–Ptolemaic Period cemetery (near Fayoum, Egypt). Imagery courtesy of Google Earth.

Sarah Parcak

Sarah Parcak 2015 Archaeological Looting in Egypt: A Geospatial View (Case Studies from Saqqara, Lisht, and el Hibeh) Near Eastern Archaeology, Vol. 78, No. 3, Special Issue: The Cultural Heritage Crisis in the Middle East (September 2015), pp. 196-203



ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Looting detection by visual inspection in Egypt by Parcak (2015)



Sarah Parcak 2015 Archaeological Looting in Egypt: A Geospatial View (Case Studies from Saqqara, Lisht, and el Hibeh) Near Eastern Archaeology, Vol. 78, No. 3, Special Issue: The Cultural Heritage Crisis in the Middle East (September 2015), pp. 196-203



ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Looting identification by Visual Inspection in Syria by Casana J. 2015

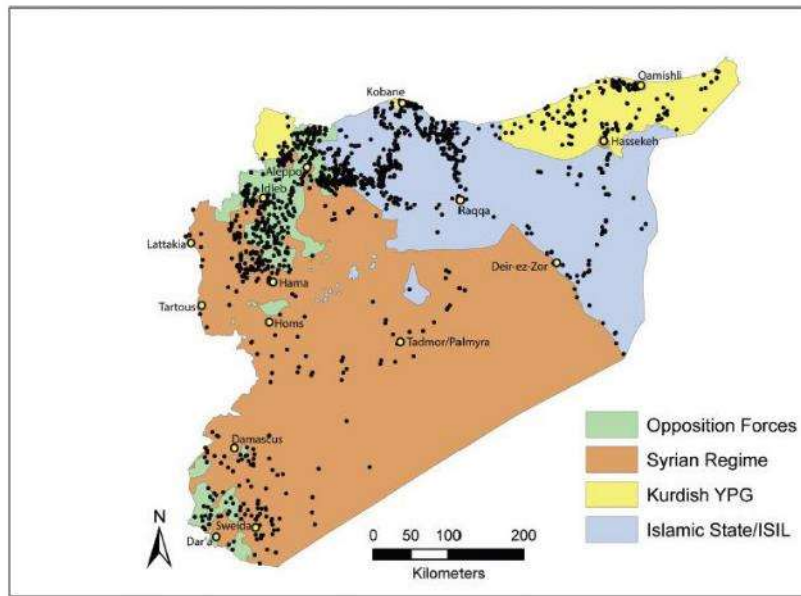
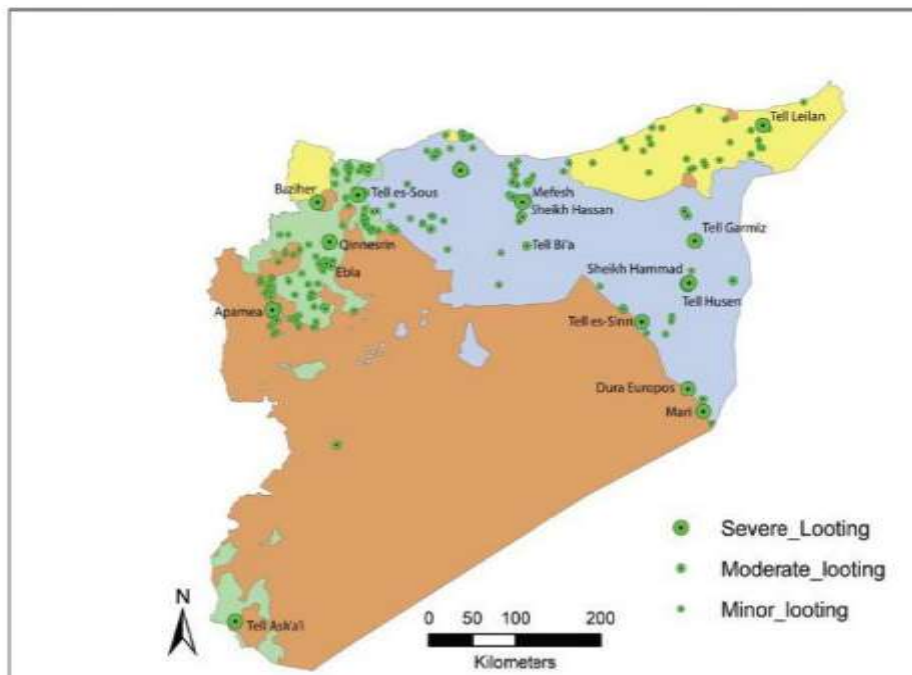


Figure 5a (above). Map illustrating all 1,289 sites included in the current analysis overlaid on areas of factional control within Syria as of early 2015, as mapped by the Strategic Needs Analysis Project (SNAP 2015).

Courtesy by Casana J. 2015. "Satellite Imagery-Based Analysis of Archaeological Looting in Syria." *Near Eastern Archaeology* 8, (3): 142-152.

ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus

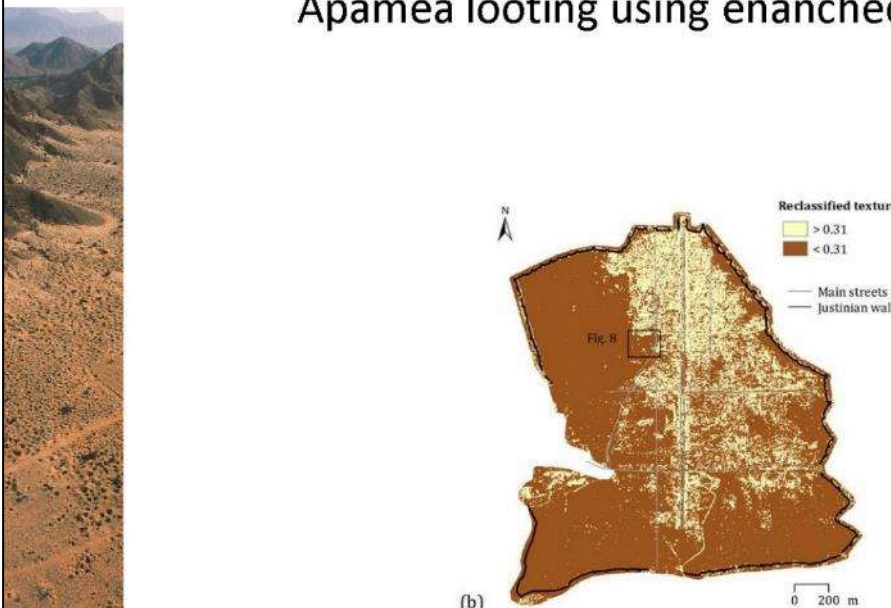
Looting identification by Visual Inspection in Syria by Casana J. 2015



Courtesy by Casana J. 2015. "Satellite Imagery-Based Analysis of Archaeological Looting in Syria." *Near Eastern Archaeology* 8, (3): 142-152.

ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus

Apamea looting using enhanced TERRA-SAR



(b)

0 200 m

Reclassified texture
 > 0.31
 < 0.31
 — Main streets
 — Justinian walls

Fig. 8

Fig. 7. (a) Texture map of the TerraSAR-X ST scene of 22nd October 2014, obtained by applying a kernel of 9×9 pixels and Gaussian weighting. The texture-based extent of looting is highlighted in yellow. Green and orange polygons show the location of the sample areas used to extract texture values in un-looted and looted areas. (b) Reclassified texture map, with location of the area zoomed in Fig. 8.

Courtesy by Tapete D., F. Cigna, D.N.M. Donoghue 2016. "Looting marks" in space-borne SAR imagery: Measuring rates of archaeological looting in Apamea (Syria) with TerraSAR-X Staring Spotlight." *Remote Sensing of Environment* (178): 42–58.




ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Cyprus University of Technology

Visual Inspection based on enhanced VHR satellite data in Peru (Lasaponara & Masini 2012, Lasaponara et al 2012)



- Lasaponara & Masini (2010) introduced for the first time the use of local spatial association indicators (LISA) for the identification of looting patterns, near Nasca in Southern Peru.
- This approach was later improved coupling LISA with unsupervised classifications for the automatic extraction of looting features in Ventarron (Northern Peru) (Lasaponara et al. 2014).

Lasaponara R. and N. Masini 2010. "Fading the archaeological looting in Peru by local spatial autocorrelation statistics of Very high resolution satellite imagery." In Tanjar D. et al (Eds). *Proceedings of ICSSA, The 2010 International Conference on Computational Science and its Application* (Fukuoka-Japan, March 23 – 26, 2010), Springer, Berlin, 261-269.
 Lasaponara R., M. Daniele, N. Masini 2012. "Satellite-based Monitoring of Archaeological Looting in Peru." In: Lasaponara R., Masini N. (Eds). *Satellite Remote Sensing: a new tool for Archaeology*, Springer, Verlag Berlin Heidelberg, ISBN 978-90-481-8800-0, 177-193, doi: 10.1007/978-90-481-8801-7_8.
 Lasaponara R., G. Leucci, N. Masini, R. Peresca 2014. "Investigating archaeological looting using satellite images and GPRADAR: the experience in Lombayague in North Peru" *Journal of Archaeological Science* 47: 216-230 <https://doi.org/10.1016/j.jas.2013.10.012>

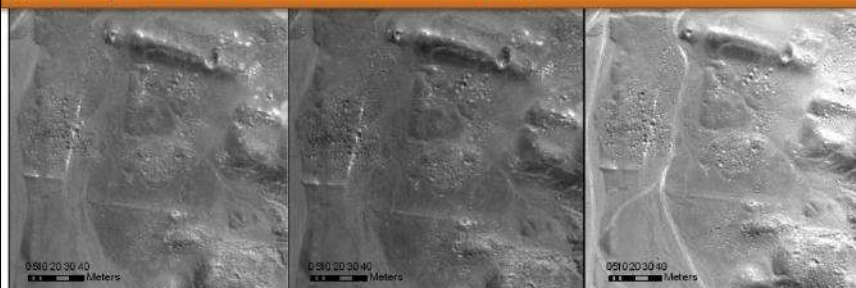


ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Cyprus University of Technology

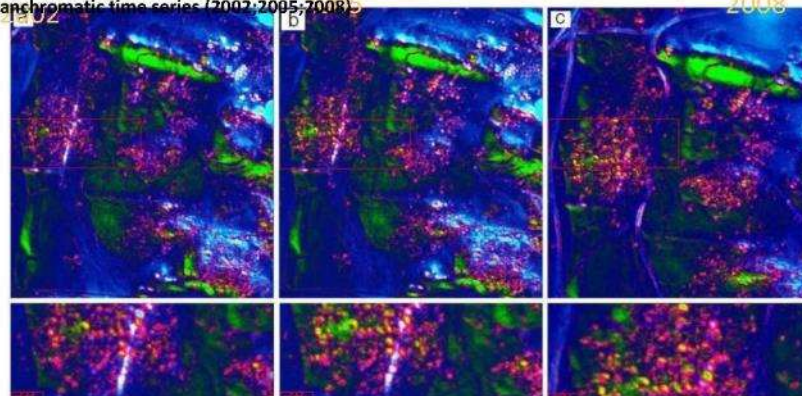
Geospatial analyses for Monitoring of illegal excavation in Peru (Lasaponara and Masini 2012)



The improvement obtained by LISA application is still more evident if we compare the panchromatic satellite time series with the correspondent time series processed by local spatial autocorrelation statistics

CAHUACHI (NASCA)

Panchromatic time series (2002;2005;2008)



The multitemporal comparison of the three RGB images clearly shows an increasing number of pits from 2002 to 2008 and, therefore, the **intensification of the looting phenomenon over the years.**

RGB composition of LISA (R: Geary; G: Moran; B: Getis) applied to panchromatic images of 2002 QB (a), 2005 QB (b) and 2008 WW1 (c). RGB composition emphasize pits enhancing their edges (circled with magenta).

Lasaponara R. and N. Masini 2010. "Facing the archaeological looting in Peru by local spatial autocorrelation statistics of Very high resolution satellite imagery." In Tanian D. et al (Eds), *Proceedings of ICSSA, The 2010 International Conference on Computational Science and Its Application* (Fukuoka, Japan, March 23 – 26, 2010), Springer, Berlin, 261-269.
 Lasaponara R., M. Danese, N. Masini 2012. "Satellite-Based Monitoring of Archaeological Looting in Peru." In: Lasaponara R., Masini N. (Eds). *Satellite Remote Sensing: a new tool for Archaeology*, Springer, Verlag Berlin Heidelberg, ISBN 978-90-481-8800-0; 177-193, doi: 10.1007/978-90-481-8801-7_8.
 Lasaponara R., G. Leucci, N. Masini, R. Persico 2014. "Investigating archaeological looting using satellite images and GEORADAR: the experience in Lambayeque in North Peru" *Journal of Archaeological Science* 42: 216-230 <http://dx.doi.org/10.1016/j.jas.2013.10.032>

Semi automatic

Van Hees et al. (2006) used a semiautomatic object oriented approach based on the segmentation and subsequent supervised classification, applied to the archaeological site of Uruk-Warka in Iraq (Van Ess et al. 2006).

Van Ess M., H. Becker, J. Fassbinder, R. Kiefl, I. Lingenfelder, G. Schreier, A. Zevenbergen 2006. "Detection of Looting Activities at Archaeological Sites in Iraq Using Ikonos Imagery." *Angewandte Geoinformatik, Beiträge zum* (18), Heidelberg: Wiechmann-Verlag 668–678



ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



Satellite based Automatic identification of looting

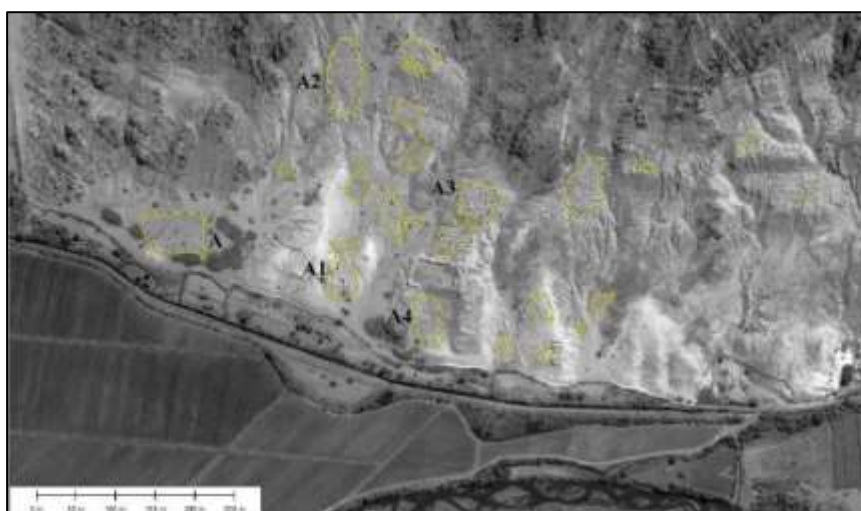


Lasaponara & Masini (2010) introduced for the first time the use of local spatial association indicators (LISA) for the identification of looting patterns, near Nasca in Southern Peru.

This approach was later coupling LISA with un-supervised classifications for the automatic extraction of looting features in Ventarron (Northern Peru) (Lasaponara et al. 2014).



ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus

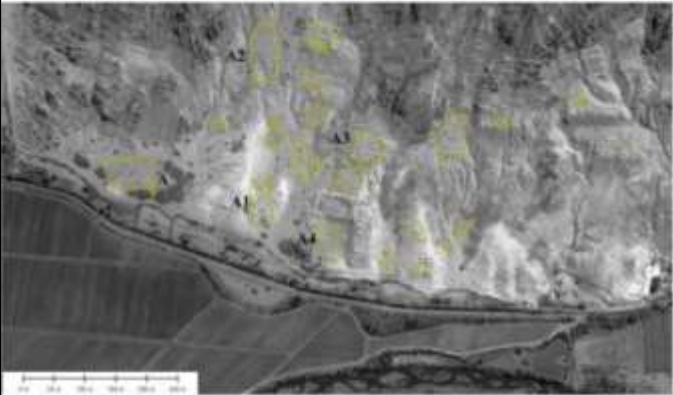
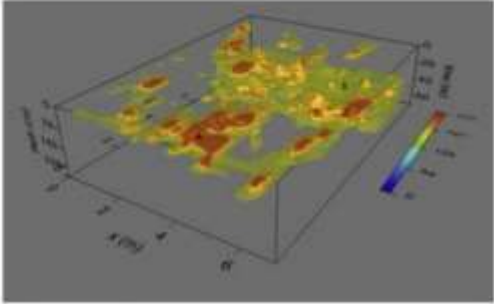


**ITACA
mission
(Peru):
Ceremonial
Centre of
Ventarron:
MONITORING**

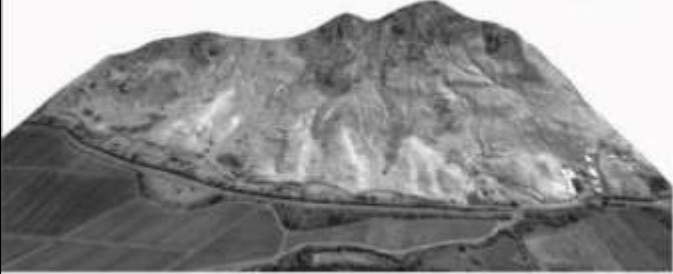
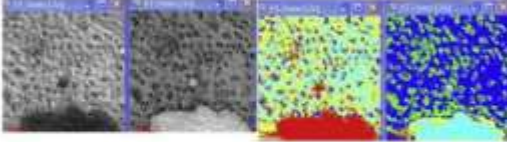


SATELLITE-BASED MONITORING OF ARCHAEOLOGICAL LOOTING IN PERU

VENTARRON (LAMBAYEQUE)

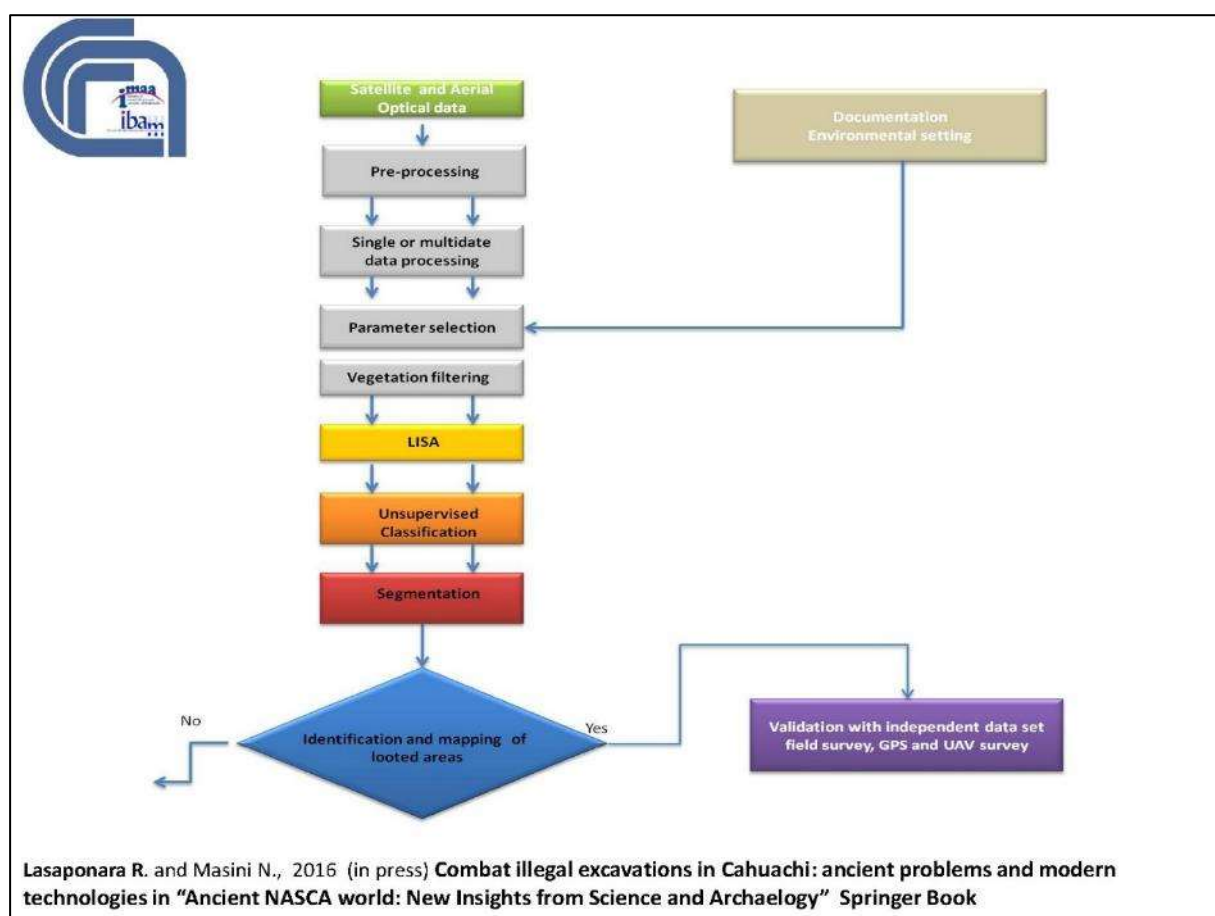



Georadar 3d map of a looted tomb

Automatic extraction of looting features using Satellite multitemporal imagery in Lasaponara et al 2014

Lasaponara R., Leucci G., Masini N., Persico R. 2014. Investigating archaeological looting using satellite images and georadar: the experience in Lambayeque in North Peru. *Journal of Archaeological Science*, 42, 216-230

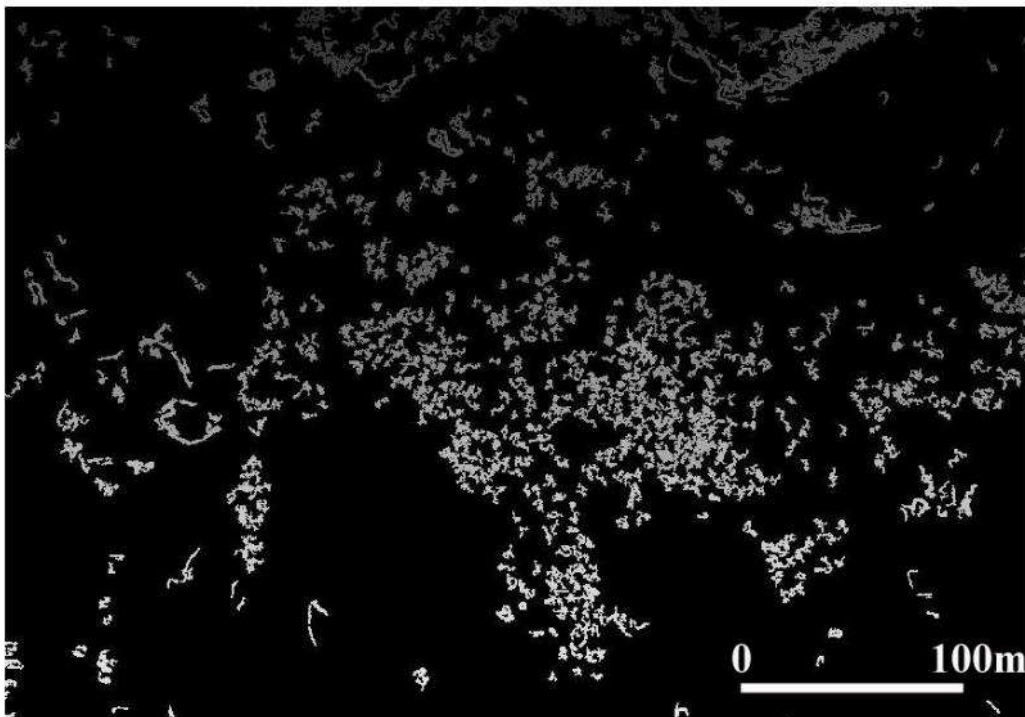


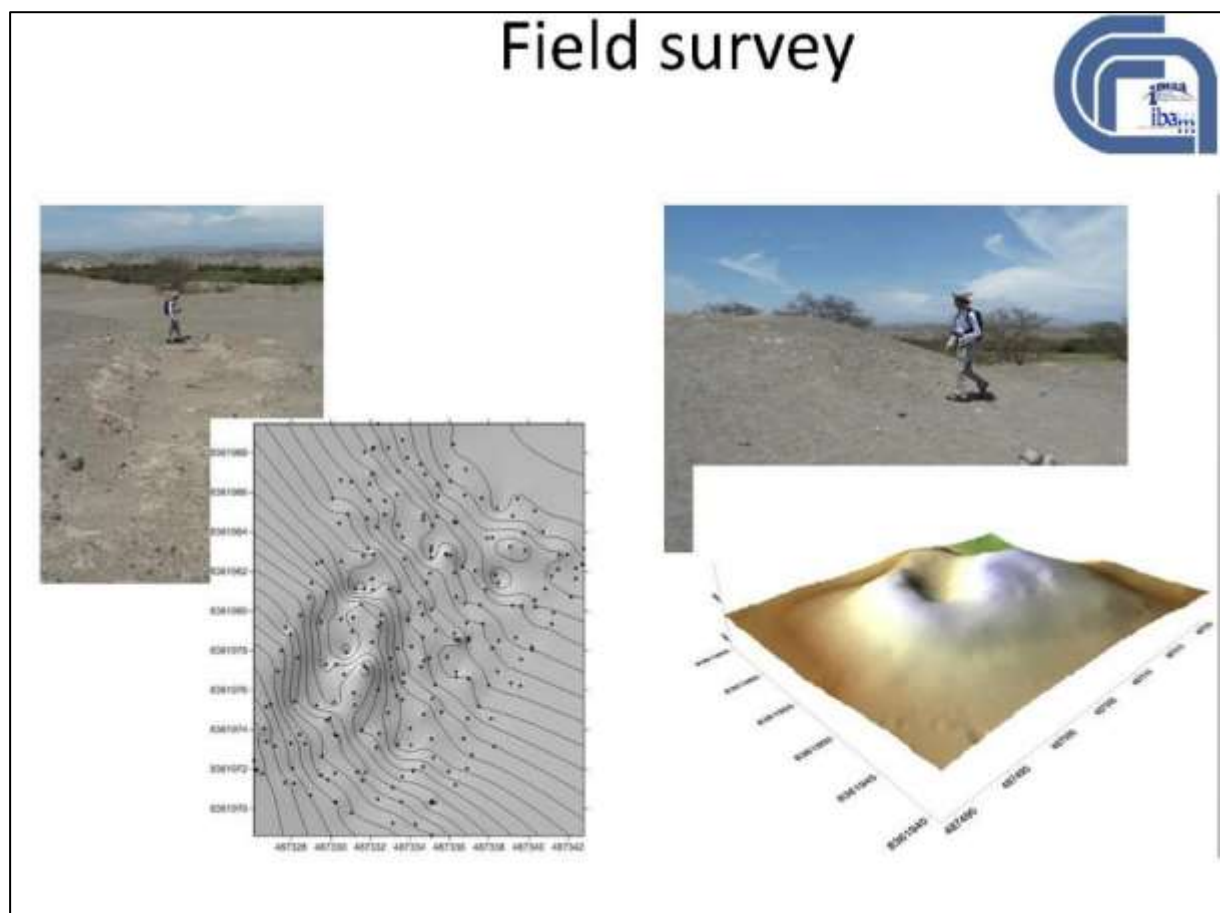
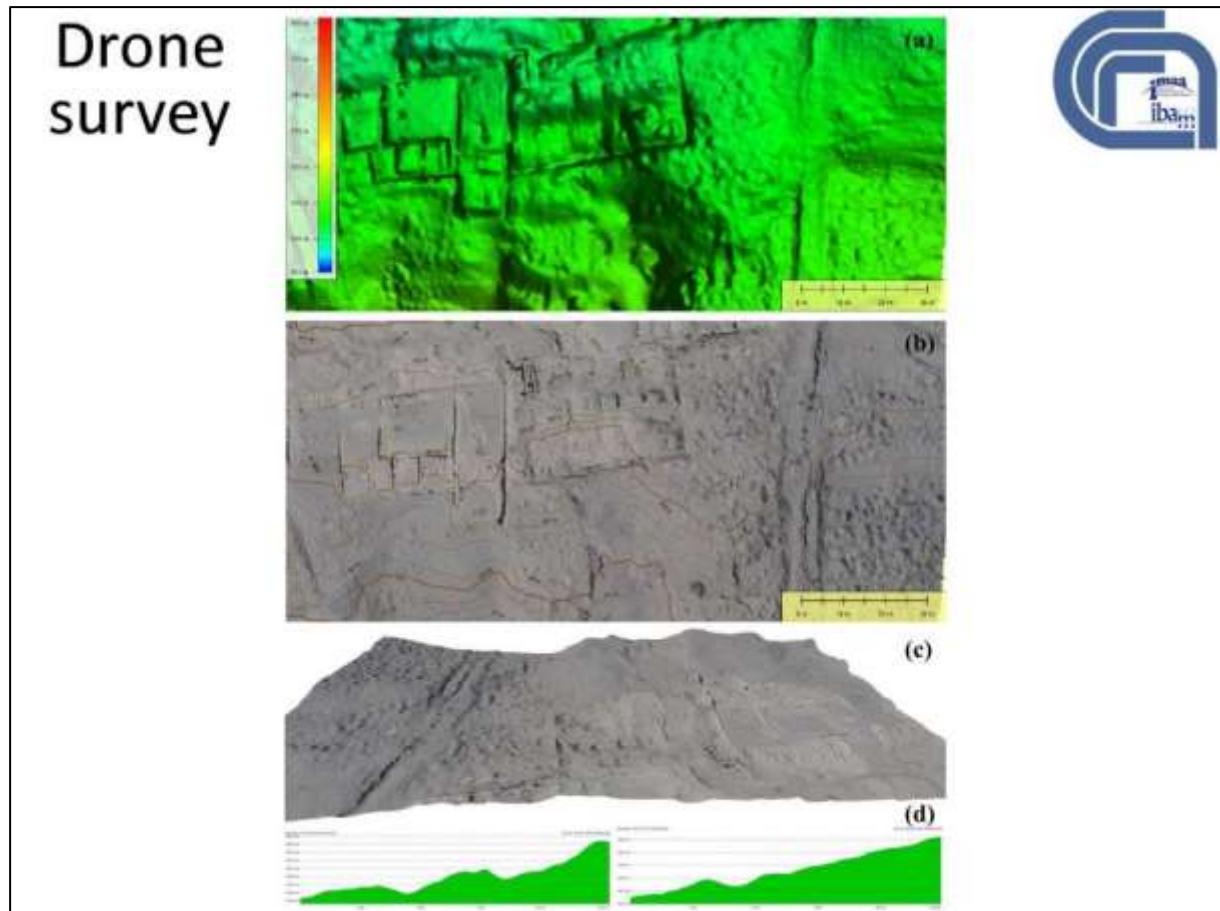


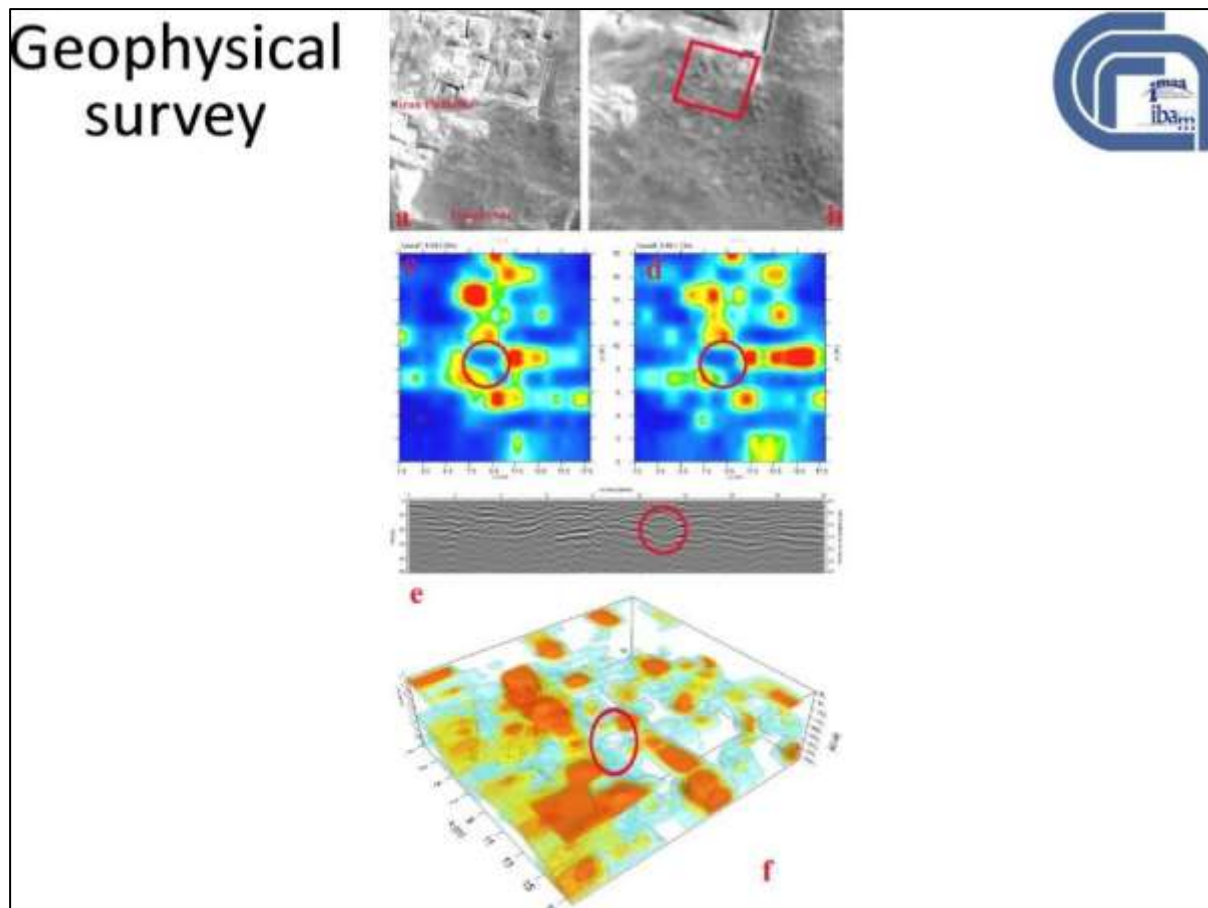
Test areas



Results from segmentation (zoom of a subset)







Archaeological looting: one of the most a serious threats



Archaeological looting is recognized as one of the most a serious threats to cultural resources throughout the world in both recorded and unrecorded sites.

Many problems are associated with illegal excavations among them: (i) damaging of archaeological sites, (ii) loss of artefacts, (iii) destruction of the context of artefacts and therefore irreplaceable loss of valuable information, (iv) and denying this cultural heritage to new generation (Atwood 2006).

To contrast illegal excavations, satellite data processing procedure can enhance and easier identify, classify and map spatial patterns linked to looting activities



ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus



DON'T POCKET THE PAST

Protect Historic and Archaeological Sites

Metal detecting, rock hunting and vandalism are illegal on Tuolumne County Park Authority property

Thank you for your attention !!

CATASTROPHE!

The Looting and Destruction of Iraq's Past

edited by Gail Embarling & Kathryn Matson

ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus

2.3.4 Presentation of “Looting from space: from visual inspection to automatic recognition and mapping”



ATHENA-Training n 4 : “Archaeological looting: Ancient problems and New approaches based on Remote Sensing”





Figure 9: Results obtained for 2008. (a) Panchromatic image, (b) RGB composition of the DEM's C, the Gelsi and Ode's G, and the Moor's I results. The figure shows also a zoom of the panchromatic image with traces (c), the Gaery's D (d), the Gelsi and Ode's G (e), the Moor's I (f), and finally the RGB (see a)§.




ATHENA-Training : “Archaeological looting: Ancient problems and New approaches based on Remote Sensing” - 1.09.2017, Limassol, Cyprus







Lesson learned


New application of RS


Needs to develop automatic classification procedure to speed up the visual analysis

Needs to use free of charge data as Google Earth






ATHENA-Training : “Archaeological looting: Ancient problems and New approaches based on Remote Sensing” - 1.09.2017, Limassol, Cyprus






ATHENA-Training : “Archaeological looting: Ancient problems and New approaches based on Remote Sensing”

- Edge enhancement
- Texture analysis
- Classification
- Change detection




 ATHENA-Training : “Archaeological looting: Ancient problems and New approaches based on Remote Sensing” - 1.09.2017, Limassol, Cyprus
 









Visual Interpretation

Advantages of Visual Interpretation:

1. Human brain is the best data processor for information extraction, thus **can do much more complex operations that computers can not match.**
2. Interpreters expertise can be part of the input


Disadvantages:



1. We can only see three bands at a time. Can not process more at a time.
2. **Time consuming and costly. Can not be automated,**
3. **thus not applicable for large projects.**
3. Requires training and experience to do a good work.




 ATHENA-Training : “Archaeological looting: Ancient problems and New approaches based on Remote Sensing” - 1.09.2017, Limassol, Cyprus
 



Advantages of Computer-Aided Classifications

1. Can be automated for large area application
2. Better consistency
3. Can process as many images with as many bands as necessary
4. Image processing experience are required for



ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus





The screenshot shows a ScienceDirect article page. The main title is "Geospatial analysis from space: Advanced approaches for data processing, information extraction and interpretation" by Rita Lasaponara. The article is published in the "International Journal of Applied Earth Observation and Geoinformation", Volume 20, February 2013, Pages 1-3. The page includes a table of contents on the left, a main text area with an introduction, and a right-hand sidebar with bibliographic information and related articles.

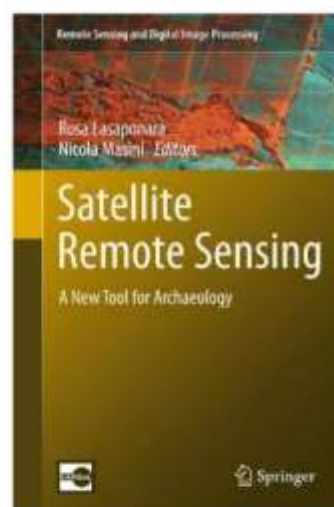
1. Introduction

EO techniques offer cost-effective means to geographers, cartographers, GIS specialists, etc. for obtaining useful data that can be easily and systematically updated for the whole globe and applied to a large variety of investigation fields. In particular, the use of satellite data along with spatial analysis techniques can be very useful for environmental monitoring, planning purposes, risk estimation, disaster management, etc. which require updated information and timely reporting at a very detailed level. Nevertheless, the exploitation of satellite Earth Observation in the field of geospatial analysis is a relatively new tool, although it can provide invaluable information for both traditional and new, strategic, challenging applications. One of the most strategic challenges to be addressed is the importance of monitoring the Earth's surface at multiple temporal and spatial scales, from global down to a local level using multiple sensors along with ancillary data source. Intensive and extensive investigations of the huge amount of EO data today available as well as the integration from multiple missions, and the exploration of long time-series and for novel solutions for the management, analysis and information retrieval. The current

Remote Sensing in Archaeology

I. OPTICAL SATELLITE REMOTE SENSING IN ARCHAEOLOGY : AN OVERVIEW



1. **Remote Sensing in Archaeology: from visual data interpretation to digital data manipulation** - *Rosa Lasaponara and Nicola Masini*
2. **Image enhancement, feature extraction and geospatial analysis in an archaeological perspective**
Rosa Lasaponara and Nicola Masini
3. **Pattern recognition and classification using VHR data for archaeological research**
Rosa Lasaponara and Nicola Masini
4. **On the enhancement of archaeological marks by Pan-sharpening techniques**
Rosa Lasaponara and Nicola Masini






NAZCA CEREMONIAL CENTRE OF CAHUACHI





Looters' holes : small and circular pits (0.7-3 m diameter) filled with sand, and by scattered remains

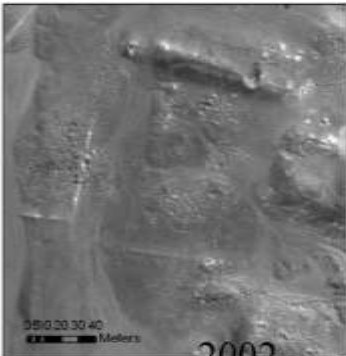
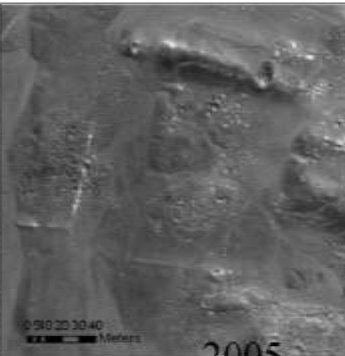
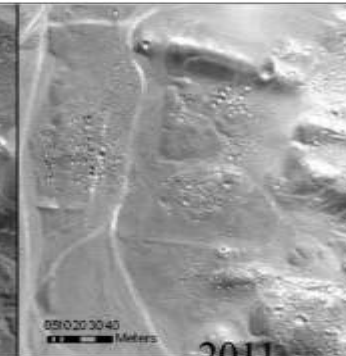



1. Lasaponara R. and N. Masini 2010. "Facing the archaeological looting in Peru by local spatial autocorrelation statistics of Very high resolution satellite imagery." In Taniar D. et al (Eds), *Proceedings of ICSSA, The 2010 International Conference on Computational Science and its Application* (Fukuoka-Japan, March 23 – 26, 2010), Springer, Berlin, 261-269.
2. Lasaponara R., M. Dnnesse, N. Masini 2012. "Satellite-Based Monitoring of Archaeological Looting in Peru." In: Lasaponara R., Masini N. (Eds). *Satellite Remote Sensing: a new tool for Archaeology*, Springer, Verlag Berlin Heidelberg, ISBN 978-90-481-8800-0: 177-193, doi: 10.1007/978-90-481-8801-7_8.
3. Lasaponara R., G. Leucci, N. Masini, R. Persico 2014. "Investigating archaeological looting using satellite images and GEORADAR: the experience in Lambayeque in North Peru" *Journal of Archaeological Science* 42: 216-230 <http://dx.doi.org/10.1016/j.jas.2013.10.017>
4. Lasaponara R., N. Masini (2017) "Integrated technology for looting Monitoring" *The Ancient Nasca World: new Insight from science and technology*, R Lasaponara, N Masini G Orcfici Springer
5. Lasaponara R., N. Masini (2017) "Space Based Monitoring of Archaeological Looting :An Overview in Peruvian Archaeological areas" *Proceedings of ICSSA, The 2017 International Conference on Computational Science and its Application Trieste*, Springer, Berlin, 713-727

ATHENA-Training : "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus

A time series of panchromatic and multispectral satellite images (2002-2005-2008-2011) allowed the mapping of looting over the years.

The reliability of the detection was evaluated by field surveys :

- Rate of success high for flat areas
- but **Unsatisfactory** for other areas (mound)

This suggested to use an approach, based on

- clustering methods such as local indices of spatial autocorrelation statistics (LISA)
- enhancement approach using Wavelet transforms

Satellite data

↓

LISA

↓

Wavelet

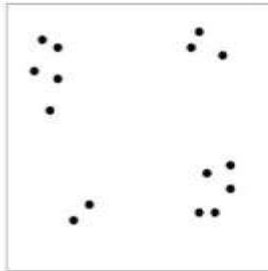
↓

LISA applied to Wavelet

Lasaponara R. and N. Masini 2010. "Facing the archaeological looting in Peru by local spatial autocorrelation statistics of Very high resolution satellite imagery." In Taniar D. et al (Eds), *Proceedings of ICSSA, The 2010 International Conference on Computational Science and its Application* (Fukuoka-Japan, March 23 – 26, 2010), Springer, Berlin, 261-269

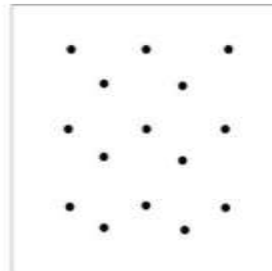
Spatial Autocorrelation

- called "event" the number of spatial occurrences in the considered variable,
- spatial autocorrelation measures the degree of dependency among events,
- considering at the same time their similarity and their distance relationships



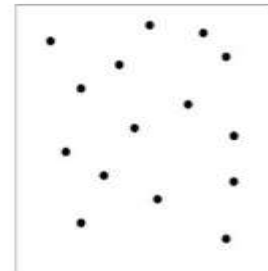
**Positive
Autocorrelation**
(or attraction)

Events : near and similar
(clustered distribution)



**Negative
Autocorrelation**
(or repulsion)

between events when,
even if they are near, they
are not similar (uniform
distribution)



**No
Autocorrelation**
(or random)

no spatial effects, neither about
the position of events, neither
their properties

Laspanara R. and N. Masini 2019. "Facing the archaeological looting in Peru by local spatial autocorrelation statistics of Very high resolution satellite imagery." In Tamir D. et al (Eds), *Proceedings of ICSSA, The 2019 International Conference on Computational Science and its Application* (Fukuoka-Japan, March 23 - 26, 2019), Springer, Berlin, 261-269

KDE: intensity and its measures

Properties of a spatial distribution*

First order effects
(Absolute location)

Second order effects
(Relative location)

$$\hat{\lambda}_\tau(s) = \lim_{ds \rightarrow 0} \left\{ \frac{E(Y(ds))}{ds} \right\}$$

Large scale variation in the mean
value of a spatial process (global
trend)

Local Indicators of Spatial Autocorrelation

$$\gamma(s_i, s_j) = \lim_{ds_i, ds_j \rightarrow 0} \left\{ \frac{E(Y(ds_i)Y(ds_j))}{ds_i ds_j} \right\}$$

Small-scale variation around the gradient or Local
dependence of a spatial process (local clustering)

Laspanara R. and N. Masini 2019. "Facing the archaeological looting in Peru by local spatial autocorrelation statistics of Very high resolution satellite imagery." In Tamir D. et al (Eds), *Proceedings of ICSSA, The 2019 International Conference on Computational Science and its Application* (Fukuoka-Japan, March 23 - 26, 2019), Springer, Berlin, 261-269

Local Indicators of Spatial Autocorrelation (LISA)

LISA allow us to understand **where clustered pixels are**, by measuring **how much are homogeneous** features inside the fixed neighbourhood

Local Moran's index

(Anselin, 1995).

$$I_i = \frac{(X_i - \bar{X})}{S_X^2} \sum_{j=1}^N (w_{ij} (X_j - \bar{X}))$$

Clustering: Positive values indicate a cluster of similar values, while negative values imply no clustering (that is, high variability between neighboring pixels)

Local Geary's C index

(Cliff & Ord, 1981)

$$C = \frac{n-1}{\sum_{i=1}^n (X_i - \bar{X})^2} \frac{\sum_{i=1}^n \sum_{j=1}^n w_{ij} (X_i - X_j)^2}{2 \sum_{i=1}^n \sum_{j=1}^n w_{ij}}$$

Detection of areas of **dissimilarity** of reflectance value, that is, It is useful for detecting **edge areas** between clusters and other areas with dissimilar neighboring values

Getis and Ord's Gi index

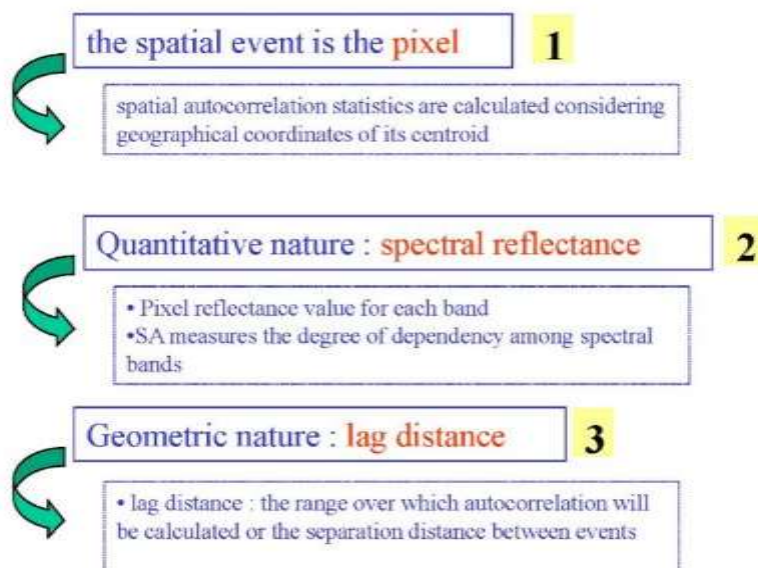
(Getis and Ord, 1992; Illian et al., 2008)

$$G_i^*(d) = \frac{\sum_{d=1}^n w_i(d) x_i - x_i \sum_{d=1}^n w_i(d)}{S(i) \sqrt{\left[(N-1) \sum_{d=1}^n w_i(d) - \left(\sum_{d=1}^n w_i(d) \right)^2 \right] / (N-2)}}$$

Hot spot: determination of concentrations of low values and high values

- N is the events number
- X_i ed X_j are the intensity values in the point i and j (with $i \neq j$)
- \bar{X} is the intensity mean
- w_{ij} is an element of the weights matrix

Spatial Autocorrelation (SA) in the context of **image processing**



Calculation of LISA

Summary of analysis procedure

- 1. Once lag distance is found and
- 2. Assumed the queen's contiguity.
- 3. Local indicators of spatial autocorrelation were calculated

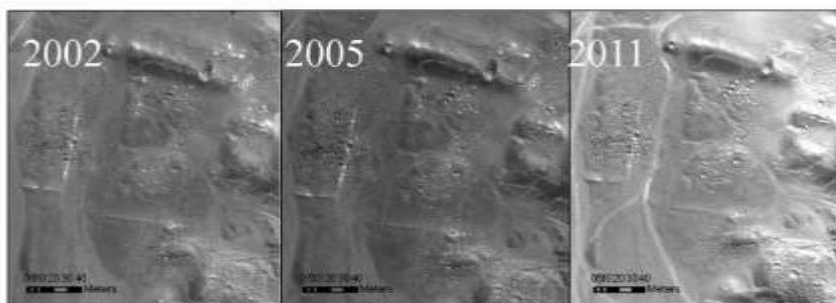
Results :

- Geary index (d), allows to best represent the rough surface, so the pitting holes due to its capability to detect dissimilarity
- Getis and Ord Gi (e) needs a classification, before to be interpreted

Lasaponara R., M. Danese, N. Masini 2012. "Satellite-Based Monitoring of Archaeological Looting in Peru" In: Lasaponara R., Masini N. (Eds). *Satellite Remote Sensing: a new tool for Archaeology*, Springer, Verlag Berlin Heidelberg, ISBN 978-90-481-8800-0. 177-193, doi: 10.1007/978-90-481-8801-7_8

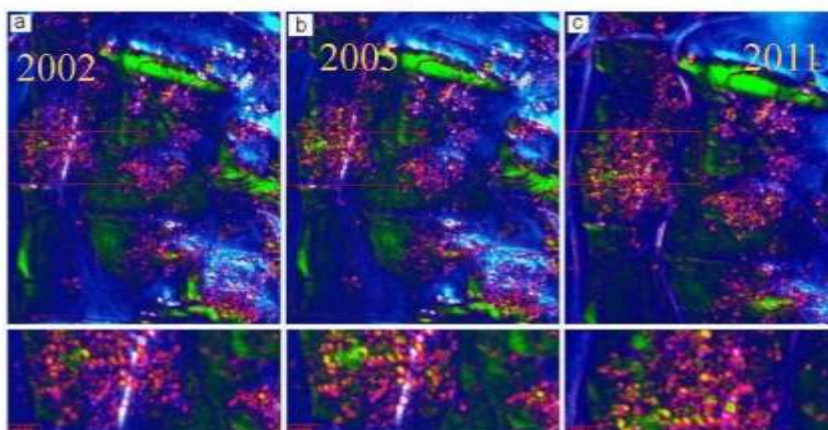
Figure 3 RGB composition of Moran, Geary and Geary indices (R: Moran; G: Geary; B: Geary) applied to panchromatic images of 2002 QB (a), 2005 QB (b) and 2008 WW1 (c). -As in the previous figure 2 M1-M4 indicate mounds characterized by pits dug by grave looters; up of each figure a zoom of Mound M3 can be observed. RGB composition of Moran, Geary and Geary indices emphasize pits enhancing their edges (yellow coloured). The multitemporal comparison of the three RGB images clearly show an increasing number of pits from 2002 to 2008 and, therefore, the intensification of the looting phenomenon over the years. ¶

Lasaponara R., M. Danese, N. Masini 2012. "Satellite-Based Monitoring of Archaeological Looting in Peru." In: Lasaponara R., Masini N. (Eds). *Satellite Remote Sensing: a new tool for Archaeology*, Springer, Verlag Berlin Heidelberg, ISBN 978-90-481-8800-0. 177-193, doi: 10.1007/978-90-481-8801-7_8



Panchromatic time series (2002;2005;2008)

The improvement obtained by LISA application is still more evident if we compare the panchromatic satellite time series with the correspondent time series processed by local spatial autocorrelation statistics

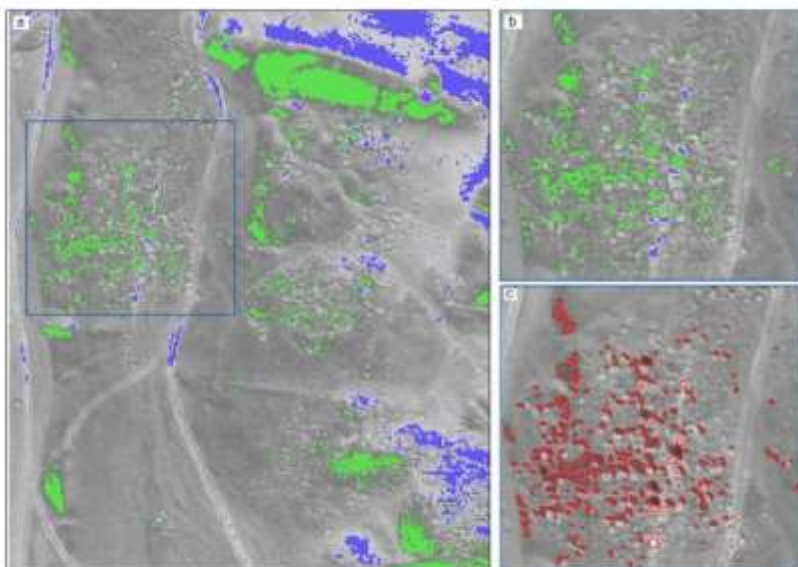


RGB composition of LISA (R:Geary; G: Moran; B: Getis) applied to panchromatic images of 2002 QB (a), 2005 QB (b) and 2011 WW1 (c). RGB composition emphasize pits enhancing their edges (circled with magenta).

The multitemporal comparison of the three RGB images clearly shows an increasing number of pits from 2002 to 2011 and, therefore, the intensification of the looting phenomenon over the years.

Lasaponara R., M. D'Amico, N. Masini 2012. "Satellite-Based Monitoring of Archaeological Looting in Peru." In: Lasaponara R., Masini N. (Eds). *Satellite Remote Sensing: a new tool for Archaeology*, Springer, Verlag Berlin Heidelberg, ISBN 978-90-481-8800-0: 177-195, doi: 10.1007/978-90-481-8801-7_8

Getis & Ord's Gi



- ☐ Clusters that show the best results are those characterized by low reflectance intensity & corresponding low Gi values or high reflectance intensity & corresponding high Gi values show positive spatial autocorrelation
- ☐ These clusters were then converted to polygons with the aim to obtain the map of the looting phenomenon

☐ in Cahuachi corresponding values were found considering equal intervals as follow

☐ where I is the intensity, G_j is the index and n is the number of classes wanted in the classification

Lasaponara R., M. D'Amico, N. Masini 2012. "Satellite-Based Monitoring of Archaeological Looting in Peru." In: Lasaponara R., Masini N. (Eds). *Satellite Remote Sensing: a new tool for Archaeology*, Springer, Verlag Berlin Heidelberg, ISBN 978-90-481-8800-0: 177-195, doi: 10.1007/978-90-481-8801-7_8

Initial image (Pan)

Geary Index

Geary's C representation and Getis & Ord's Gi (classification based on) product

Ground truth (field survey in progress)

Identification of hole pits

survey of hole pits

Computation of : i) rate of success (higher than 75% in the considered test areas), ii) false alarms (around 10%)

+ Cluster linked to looting pits
 + False alarm
 + Looting pits not detected by means of local spatial autocorrelation

In Cahuachi, the detection of looting pits on mounds has been significantly improved by applying local spatial autocorrelation statistics.

Such improvement is still more evident if we compare the panchromatic satellite time series with the correspondent time series processed by local spatial autocorrelation statistics

Laspontara R., M. Danese, N. Masini 2012. "Satellite-Based Monitoring of Archaeological Looting in Peru." In: Laspontara R., Masini N. (Eds). *Satellite Remote Sensing: a new tool for Archaeology*. Springer, Verlag Berlin Heidelberg, ISBN 978-90-481-8806-0: 177-193, doi: 10.1007/978-90-481-8801-7_8

Unsupervised Classification Algorithms

The K-means approach:

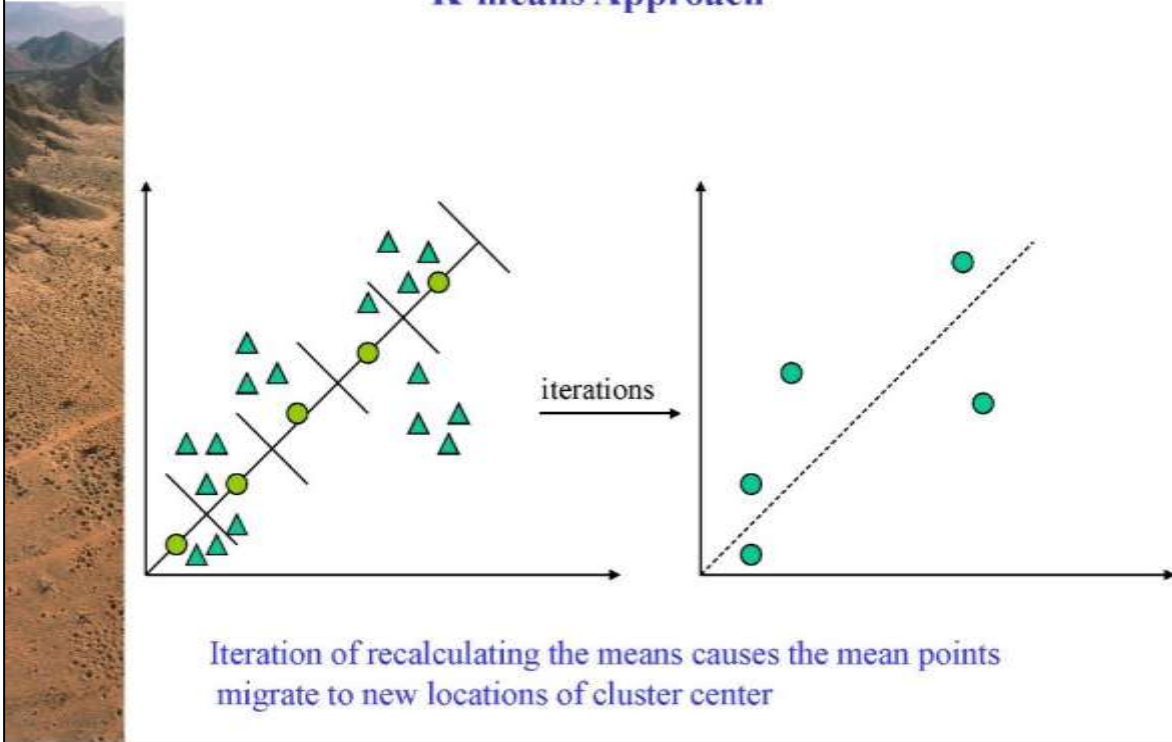
1. Draw a 45 degree line and divide into the number of classes by the user. The center of each segment is the mean for the classes
2. Assign the pixels to each of the classes based on minimum distance rule.
3. Recalculate the mean center values for each cluster and reassign the class membership for each pixel
4. Repeat step 3 until a pre-specified percentage of pixels does not change class membership.

L. Laspontara R., G. Lancci, N. Masini, R. Persico 2014. "Investigating archaeological looting using satellite images and GEORADAR: the experience in Lambayeque in North Peru" *Journal of Archaeological Science* 42: 216-230

ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus

1. Laponara R., G. Leucci, N. Masini, R. Persico 2014. "Investigating archaeological looting using satellite images and GEORADAR: the experience in Lambayaque in North Peru" *Journal of Archaeological Science* 42: 216-230

K-means Approach



ATHENA-Training: "Archaeological looting: Ancient problems and New approaches based on Remote Sensing" - 1.09.2017, Limassol, Cyprus

Area A in Cafetal. Upper: red pansharpened band, Medium, from left to right: zoomed detail of red pansharpened band, Moran, Geary and Getis applied to red band, respectively; lower, from left to right: Kmeans classification of the zoomed details

Laponara R., G. Leucci, N. Masini, R. Persico 2014. "Investigating archaeological looting using satellite images and GEORADAR: the experience in Lambayaque in North Peru" *Journal of Archaeological Science* 42: 216-230

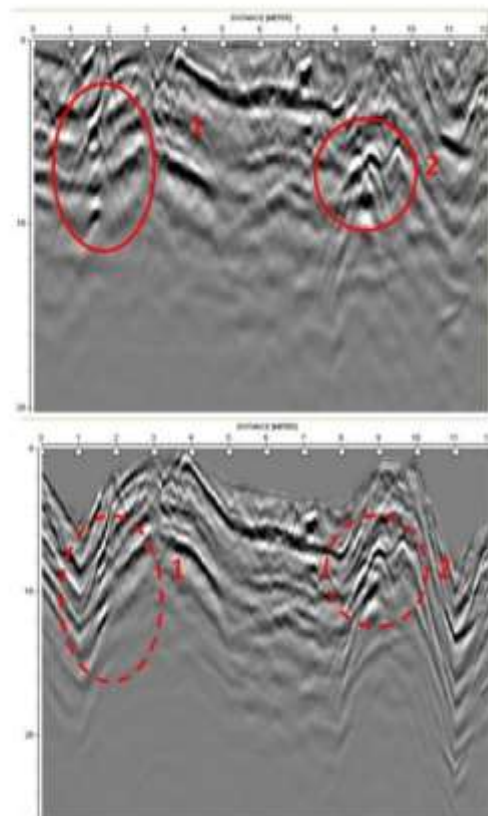


Fig. Processed GPR profile gathered in the archaeological site of Arenal. The abscissa is in meter, the ordinate in nanoseconds. Upper panel: without topographic correction. Lower panel: with topographic correction.

Lampugnani R., Gi. Leucci, N. Manzi, B. Penno 2014. "Investigating archaeological looting using satellite images and GPR/DAR: the experience in Lombardy region in North Peru" *Journal of Archaeological Science* 42: 216-230 <https://doi.org/10.1016/j.jas.2013.10.017>

2.4 VIRTUAL TRAINING 4: “INTEGRATION OF REMOTE SENSING DATA FOR PROTECTION AND PRESERVATION OF CULTURAL HERITAGE”

2.4.1 Description

The fourth virtual training, focused on the use of Integration of Remote Sensing data for Cultural Heritage management in the Copernicus Era. The virtual training was carried out physically instead, at the CUT premises in Limassol-Cyprus, on the 3rd of September 2018. The trainers from the CNR (IBAM and IMAA) that offered lectures were Dr. Rosa Lasaponara, Dr. Nicola Masini, Dr. Francesco Soldovieri and Ms Ilaria Catabano.

During this training, the integrational use of Various remote sensing techniques and data, and the fusion of the results, has been presented and analyzed. A special focus was given also to the deformation depiction of monuments and sites through persistent scattered interferometry.

2.4.2 Agenda and participants



ATHENA 4th Virtual Training Agenda

ATHENA

Remote Sensing Science Center for Cultural Heritage

4th Virtual Training Agenda

Topic: Integration of RS data for Cultural Heritage management in the Copernicus Era

Date: 3 September, 2018

Venue: Dorothea Building, 3rd floor

Hosted by: Cyprus University of Technology

Trainers: Dr. Rosa Lasaponara (CNR-IMAA), Dr. Nicola Masini (IBAM-CNR)

Project Coordination Team



This project has received funding from the *European Union's Horizon 2020 research and innovation programme* under grant agreement No 691936. Work programme H2020 under "Spreading Excellence and Widening Participation", call: H2020-TWINN-2015: **Twining** (Coordination and Support Action).



Monday 3rd September

09:10 – 09:20	Registration	
09:20 – 09:30	Welcoming	Page 2
09:30 – 10:30	Data integration and fusion: state-of-the Art and future perspectives for archaeological prospection and architectural heritage monitoring	
10:30 - 11:00	Coffee break	
11:00 – 12:00	Data integration and fusion: state-of-the Art and future perspectives for archaeological prospection and architectural heritage monitoring	
12:00 - 12:30	Coffee break	
12:30 – 14:00	Case studies and applications	

END OF MEETING





ATHENA
Remote Sensing Science Center for Cultural Heritage



Cyprus University of Technology



Εθνική Επιτροπή Έρευνας



DLR



Ευρωπαϊκή Ένωση













Horizon 2020

H2020-TWINN-2015 - Remote Sensing Science Center for Cultural Heritage - ATHENA
 Topic: 4th Virtual Training
 Date: 3 September 2018
 Venue: Dorothea Building, 3rd floor

List of participants

A/A	NAME	INSTITUTION	CONTACT DETAILS	SIGNATURE
1	Andreas Christofide	CUT	andreas.christofide@cut.ac.cy	
2	Georgios Georgiou	CUT	georgios.georgiou@cut.ac.cy	
3	Christobambis Vektes	CUT	christobambis.vektes@cut.ac.cy	
4	Marios TZOUVARAS	CUT	marios.tzouvaras@cut.ac.cy	
5	Christiana Papoutsou	CUT	christiana.papoutsou@cut.ac.cy	

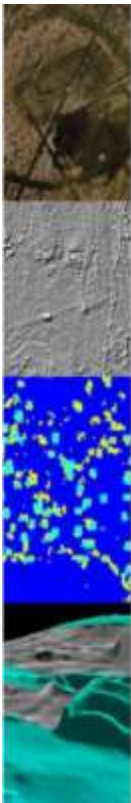
6	KYLEAS THEMISTOCLEOUS	CUT	k.themistocleous@ cut.ac.cy	
7	DIMITRIS KONHARTSIOS	GEOFEM LTD.	d.konhartsios@ geofem.com	
8	NIKOLETTA PAPAGEORGIOU	CUT	n+papageorgiou@ edu.cw.ac.cy	
9	ELENI LOULLI	CUT	eleni.loulli@cut.ac.cy	
10	Kyrilli Stavrou	CUT	km.stavrou@cut.ac.cy	
11	Marianna Petri	CUT	ms.petri@edu.cw.ac.cy	
12	Marina Antonou	CUT	maria.antonidou@edu.cw.ac.cy	
13	Irineos Tsilikalos	CUT	id.tsikalos@edu.cw.ac.cy	
14	Iliana Xyriakou	CUT	ig.xyriakou@edu.cw.ac.cy	
15	Theodoros Nicolaidou	CUT	thnicolaidou@edu.cw.ac.cy	

16	ANTHENA ATHENA ΕΡΕΥΝΑ ΚΑΙ ΠΡΟΒΛΕΨΗ	ANTHENA Panayiotis	CUT	panayiotou@cut.edu.ac.cy	
17	Antioch Kalogirou	Antioch Kalogirou	CUT	antioch.kalogirou@cut.edu.ac.cy	
18	Eleftheria Kalogirou	Eleftheria Kalogirou	CUT	ea.kalogirou@cut.edu.ac.cy	
19	Alina Asprogenas	Alina Asprogenas	CUT	al.asprogenas@cut.edu.ac.cy	
20	Aygi Nisantzis	Aygi Nisantzis	CUT	aygi.nisantzis@cut.edu.ac.cy	
21	Dimitris Kakoulis	Dimitris Kakoulis	CUT	dc.kakoulis@cut.edu.ac.cy	
22	Nicola Masini	Nicola Masini	CNR-IBAM	nicola.masini@cnr.it	
23	Costa Casarone	Costa Casarone	CNR-IMAA	costa.casarone@imaa.cnr.it	
24	Vasiliki Vassilaki	Vasiliki Vassilaki	CUT	vasiliki.vassilaki@cut.edu.ac.cy	
25	Athos Agapiou	Athos Agapiou	CUT	athos.agapiou@cut.edu.ac.cy	
26	Dimitris Vassilakis	Dimitris Vassilakis	CUT	d.vassilakis@cut.edu.ac.cy	



Photos during the 4th Virtual Training at the premises of the Cyprus University of Technology

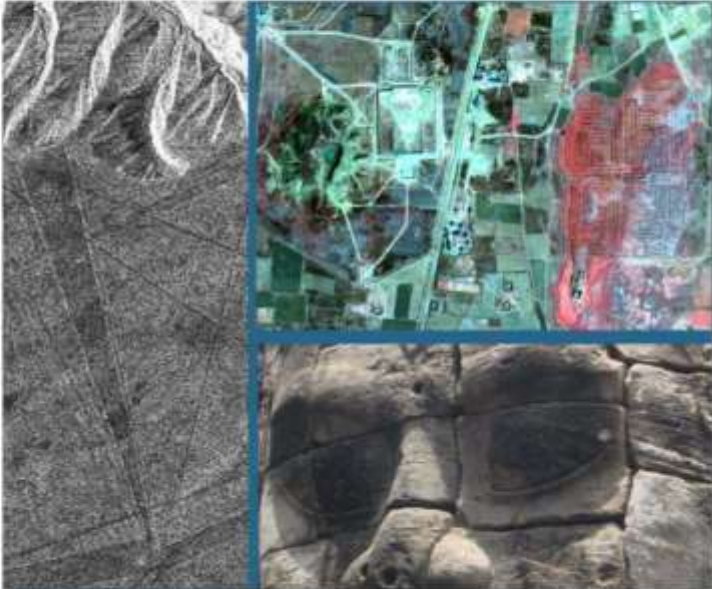
2.4.3 Presentation of “Data integration and fusion: state-of-the art” and “Future perspectives for archaeological prospection and architectural heritage monitoring”



Part II

11:00 – 12:00

DATA INTEGRATION AND FUSION: STATE-OF-THE ART AND FUTURE PERSPECTIVES FOR ARCHAEOLOGICAL PROSPECTION AND ARCHITECTURAL HERITAGE MONITORING - NICOLA MASINI (CNR/IBAM)

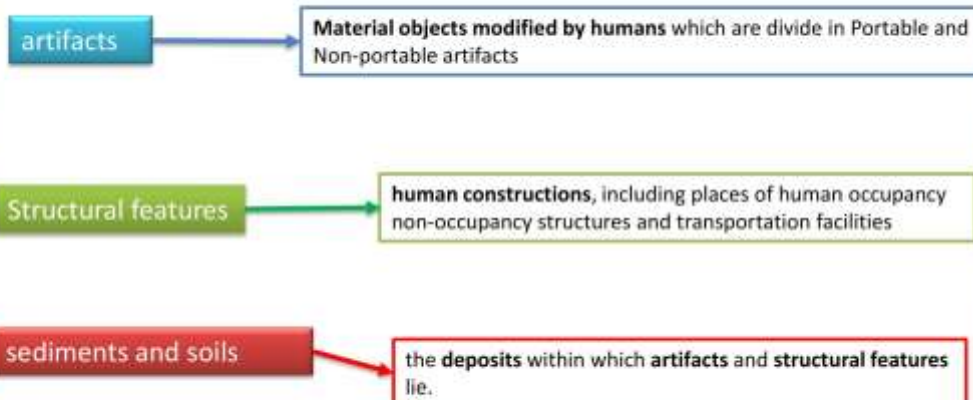


Chair: Rosa Lasaponara (CNR/IBAM) and Nicola Masini (CNR/IBAM)

ARCHAEOLOGICAL FEATURES AND RELATIONSHIP TO REMOTE SENSING

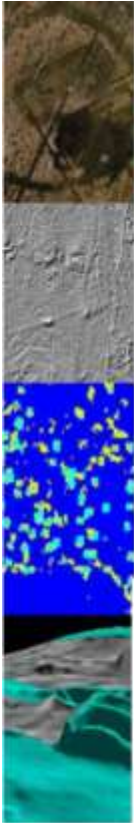
The study of human past benefits of the results of archaeological research which in its turn is based on the analysis and interpretation of materials unearthed by excavations.

These materials can be divided into three classes



Chair: Rosa Lasaponara (CNR/IBAM) and Nicola Masini (CNR/IBAM)


ARCHAEOLOGICAL FEATURES AND RELATIONSHIP TO REMOTE SENSING




artifacts

Artifacts are material objects modified by humans


- Portable artifacts** include smaller items that are easily moved, like tools employed in day-to-day activities (e.g., arrowheads, pots, knives).
- Non-portable artifacts** include items not easily moved like cut posts, building timbers, shaped stones used in architectural constructions, and bricks.



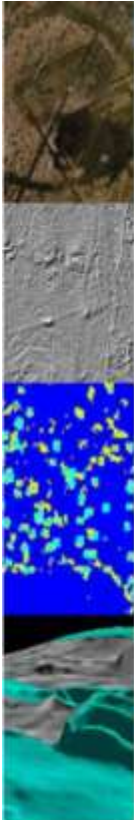
Chair: Rosa Lasaponara (CNR/IRMAA) and Nicola Masini (CNR/IBAM)



ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus



ARCHAEOLOGICAL FEATURES AND RELATIONSHIP TO REMOTE SENSING




Structural features

Structural features result from the many types of human constructions, including:

- places of human occupancy** (e.g., buildings, houses, storage facilities, public structures),
- non-occupancy structures** (e.g., exterior hearths, subterranean storage pits, wells, fortification ditches)
- transportation facilities** (e.g., roads, sidewalks).

Many structural features are composed of multiple, robust non-portable artifacts like stone blocks (e.g., a building foundation made of many individual bricks). Most structural features are reflected only by more subtle changes in deposits, for example when ditches, house pits, storage pits are filled in with sediments, or buried posts and wooden structures decompose into soil.



Chair: Rosa Lasaponara (CNR/IRMAA) and Nicola Masini (CNR/IBAM)



ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus



ARCHAEOLOGICAL FEATURES AND RELATIONSHIP TO REMOTE SENSING

Sediments and soils

Sediments and soils are the deposits within which artifacts and structural features lie.

- ❑ Most sediments and soils result from **natural processes** such as eolian or alluvial deposition.
- ❑ Many sediments and soils within archaeological sites are **anthropogenic** or are created or altered by human activity such as
 - ❖ **Additive deposit: material accumulation** (places where refuse is dumped, and therefore rich in organic material like food waste, bones, discarded portable artifacts, ash from fires), **mounding activity** when soils are built up for burial mounds or in raised berms associated with ditch construction.
 - ❖ **Deposit subtraction** occurs when parts of natural or cultural deposits are **removed** by human activity as occurs in the construction of ditches or cellars.
 - ❖ Intensive firing such as from a hearth or a burned structural feature (e.g., a house) profoundly **increases soil magnetism**.
 - ❖ The simple act of **human occupation** subtly **raises the magnetic susceptibility of the soils** through the **addition of organic material** and the spreading of **fired earths** through a site area

Chair: Rosa Lasaponara (CNR/IRPA) and Nicola Masini (CNR/IRPA)

ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus

ARCHAEOLOGICAL FEATURES AND RELATIONSHIP TO REMOTE SENSING

Remote Sensing could be used for identifying and imaging buried artifacts, structures, soil and sediments

However it is important to consider that:

- 1) No remote sensing sensor/technique is capable of detecting all the classes of archaeological features
- 2) Each RS sensor is sensitive to particular kinds of physical characteristics (magnetic susceptibility / emitted radiation (IRT)/ reflectance / radar backscattering etc..)
- 3) Remote Sensing provides indirect data of possible archaeological interest

The integration of sensors, data and RS products is necessary to detect a large variety of features and materials and characterize potential anthropogenic layers

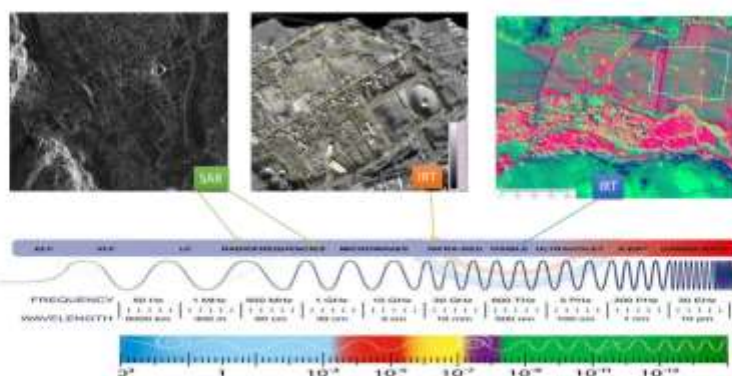
Chair: Rosa Lasaponara (CNR/IRPA) and Nicola Masini (CNR/IRPA)

ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus

WHAT DO WE MEAN FOR INTEGRATION OF DATA?

Data integration involves

- **combining data** residing in **different** remote sensing data **sources**
- and **providing users** with a **unified view** of them
- thus exploiting the maximum potential of each RS data source



Chair: Rosa Laspenera (CNR/IMAA) and Nicola Masini (CNR/IBAM)

ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus

The data are **heterogeneous**

RS data are acquired by **diverse sensors** based on **diverse rational basis**

each of them particularly **sensitive** to particular kinds of **physical characteristics**
(magnetic susceptibility / emitted radiation (IRT)/ reflectance / radar backscattering etc..)

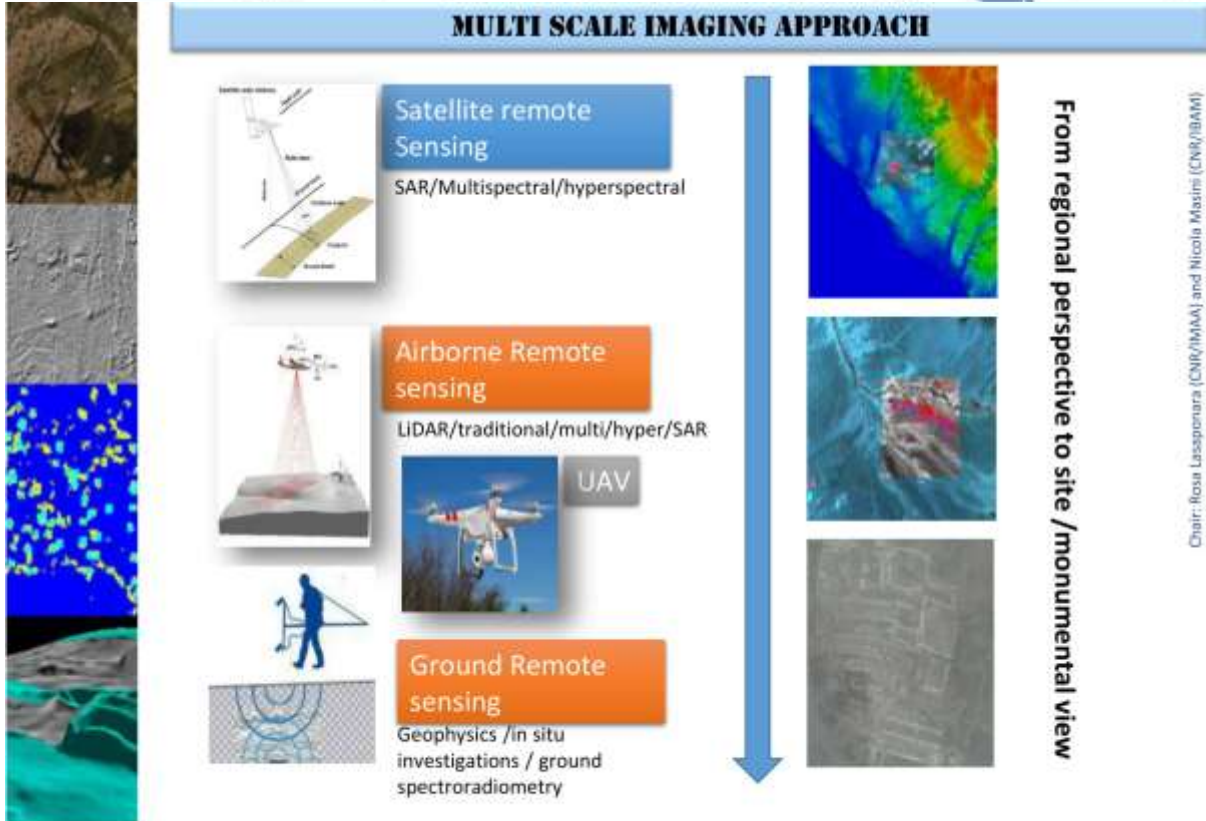
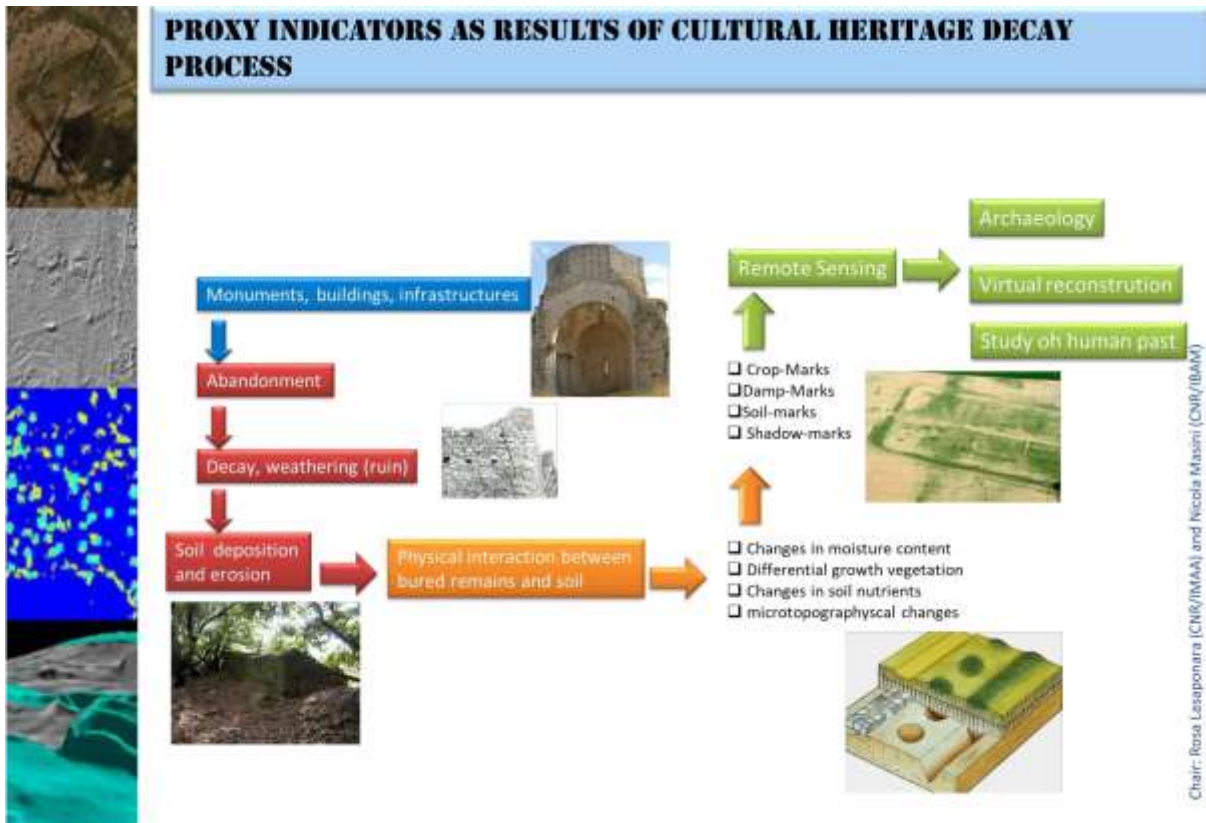


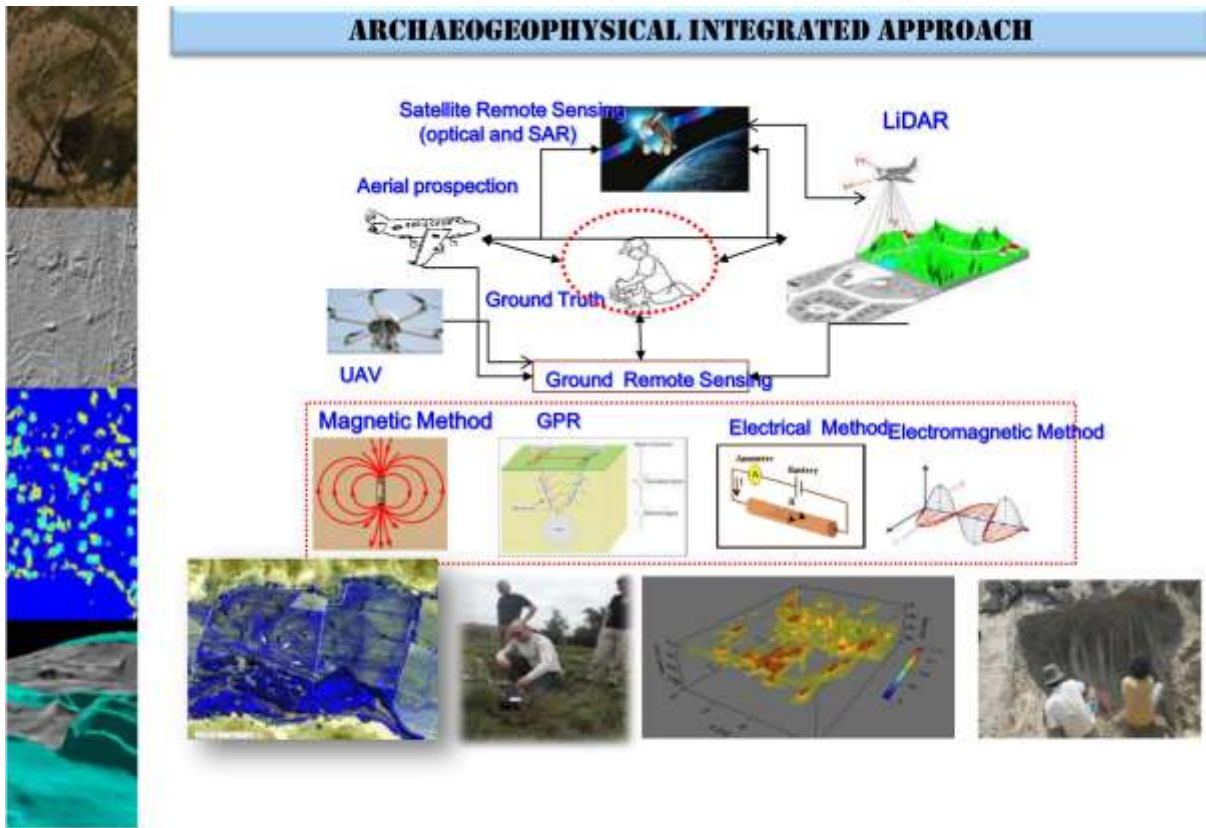
Need

- To understand the problem (archaeological features to be detected), the boundary conditions (soil characteristics, environmental setting)
- To identify the archaeological proxy indicators (crop-marks/moisture changes/magnetic susceptibility variations etc..)
- to use appropriate RS sensors and techniques
- To adopt the most adequate approach and strategy of data integration

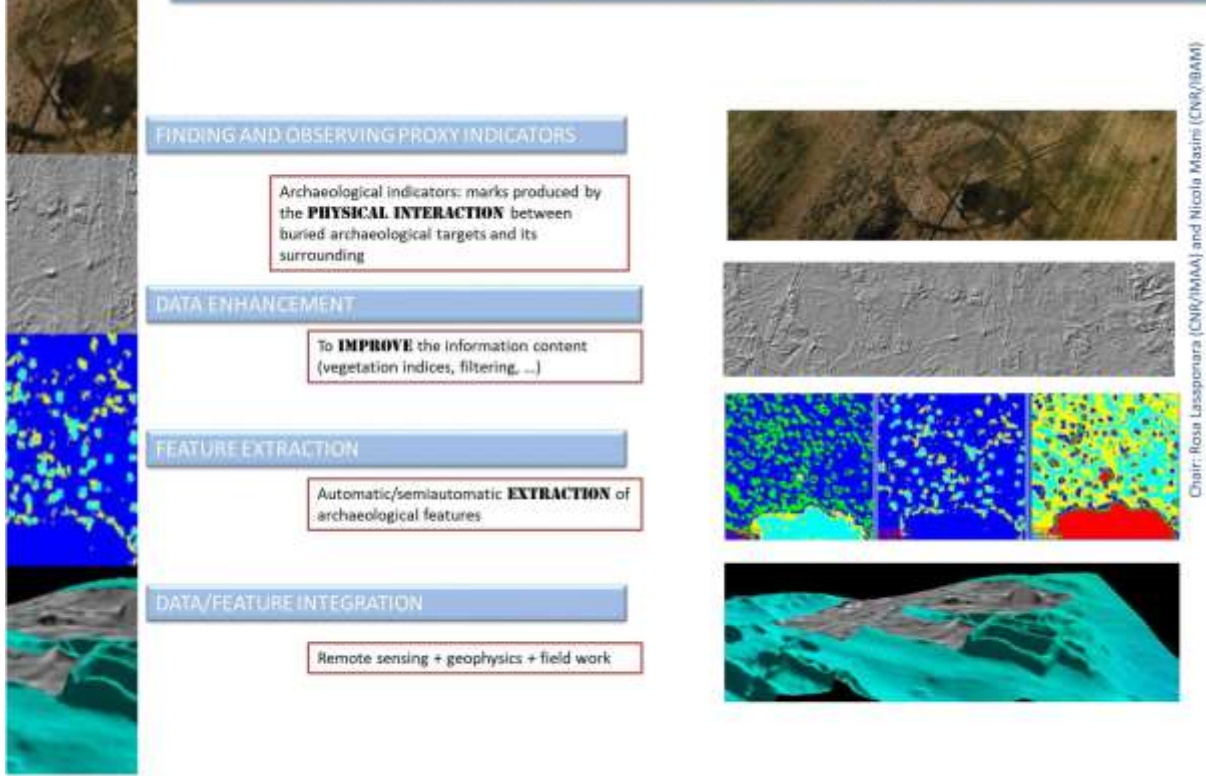
Chair: Rosa Laspenera (CNR/IMAA) and Nicola Masini (CNR/IBAM)

ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus

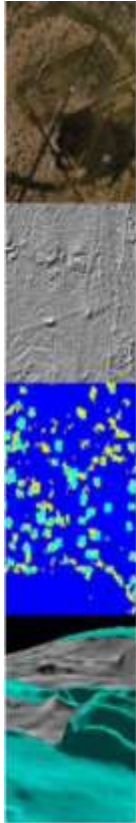




FROM PROXY INDICATOR TO DATA/FETURE INTEGRATION



Chair: Rosa Laspianara (CNR/IRAM) and Nicola Masini (CNR/IRAM)



DATA AND/OR FEATURE INTEGRATION

- Data integration combine different RS data to a **unified view** of them
- Feature Integration combines the results from
 - different sensing technologies
 - or different data processing approaches related to the same sensing technology

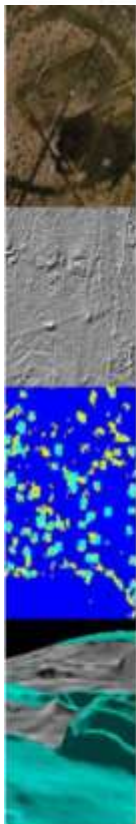
Chair: Rosa Lussignea (CNR/IMAA) and Nicola Masini (CNR/IBAM)



ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus



Cyprus University of Technology



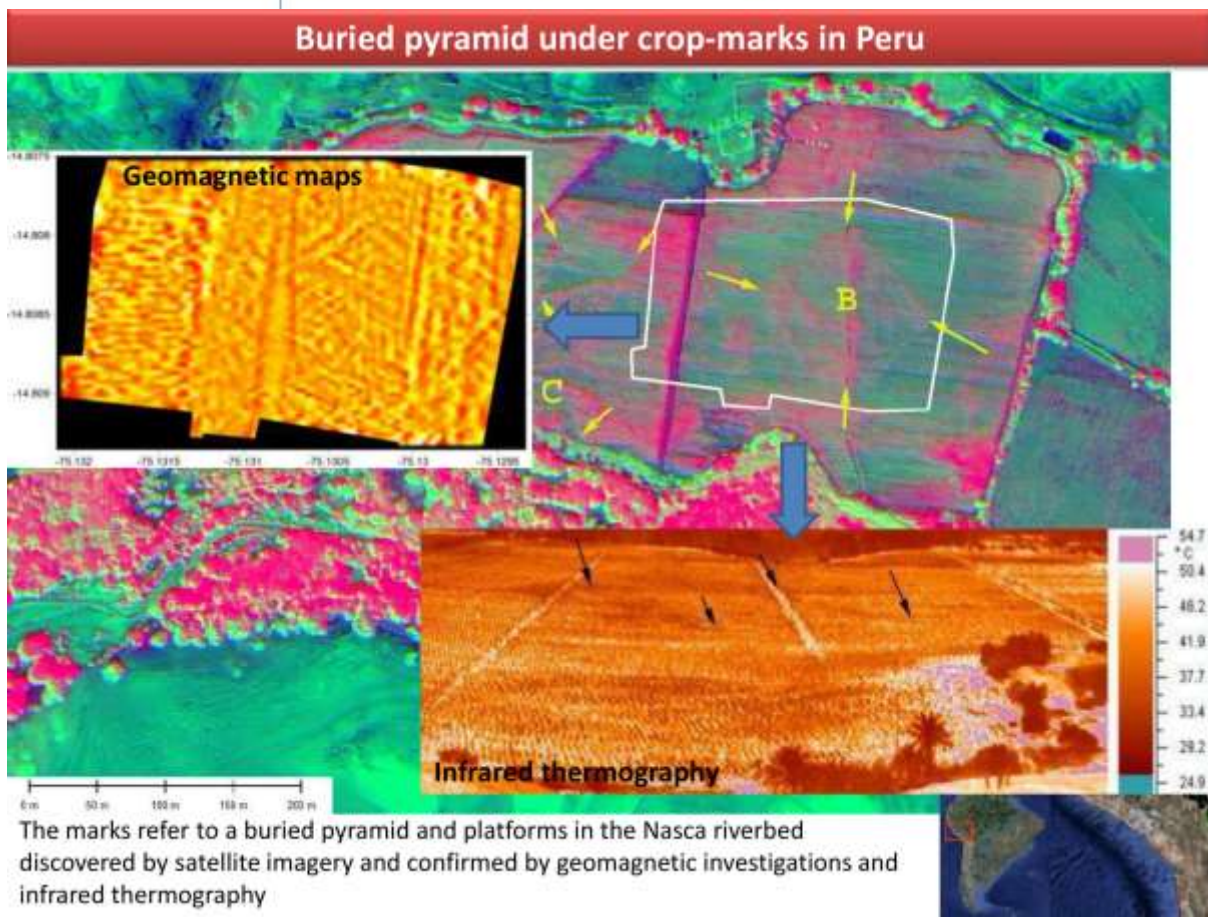
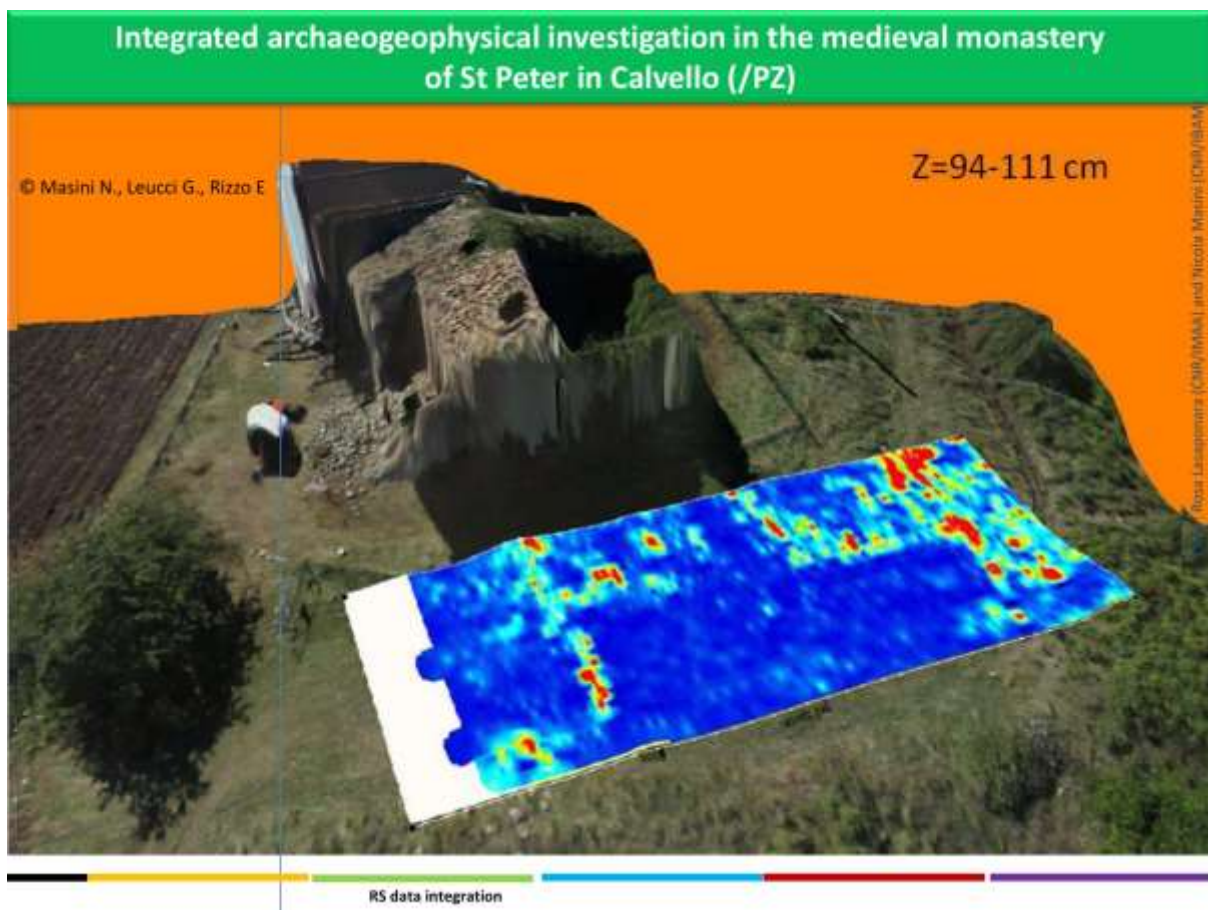
DATA AND/OR FEATURE INTEGRATION: QUALITATIVE APPROACHES



ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus

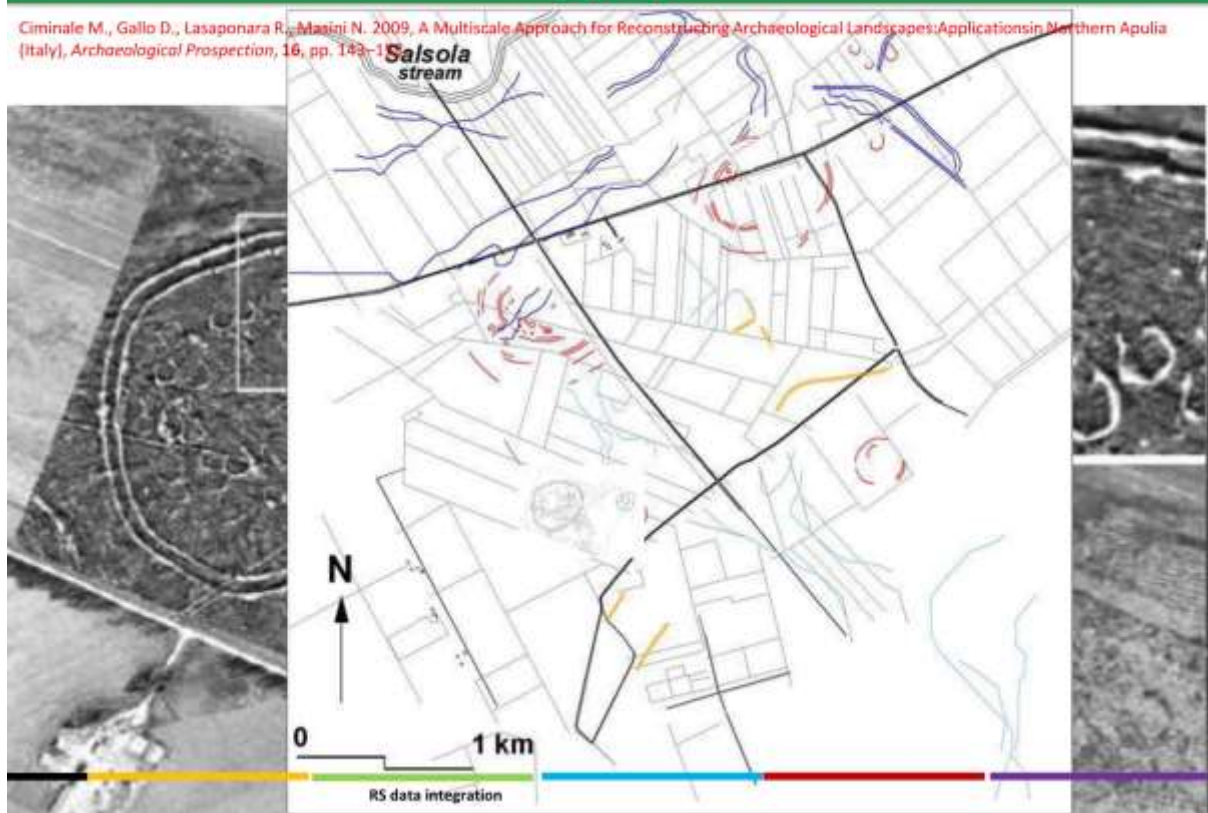


Cyprus University of Technology

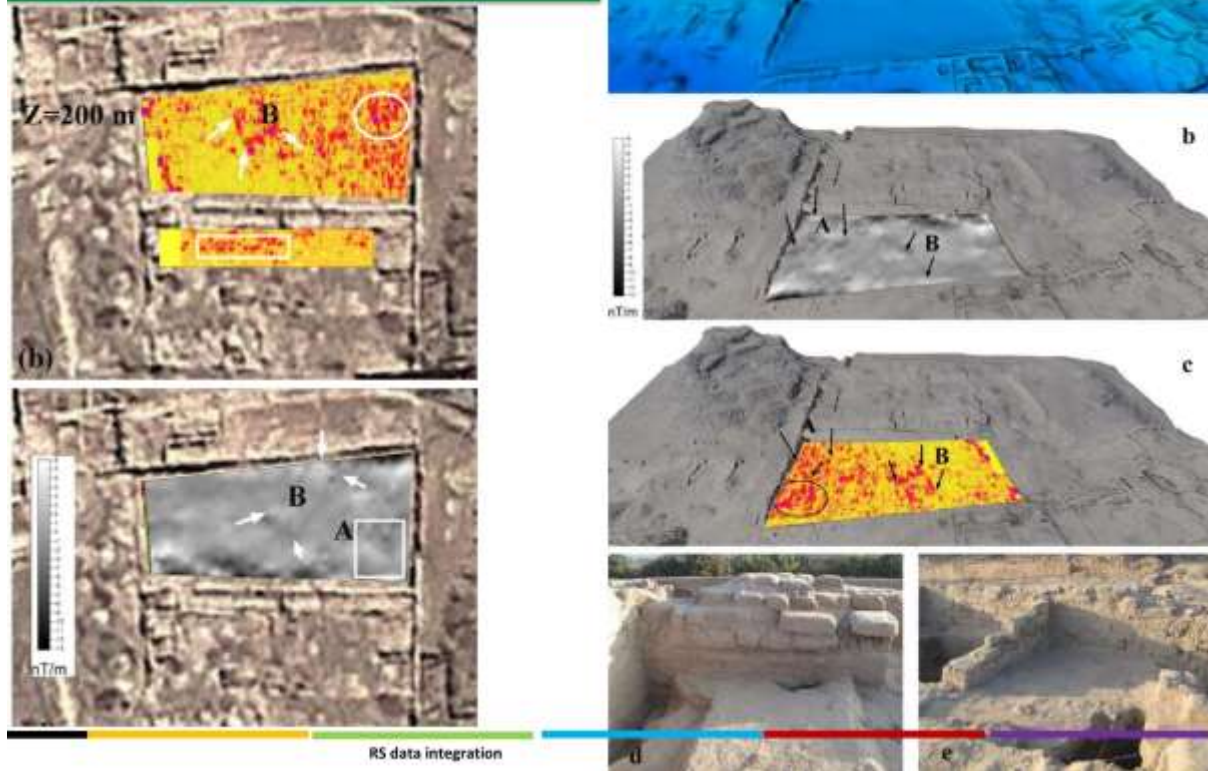


Integrated archaeogeophysical investigation in a neolithic settlement near Lucera (Apulia)

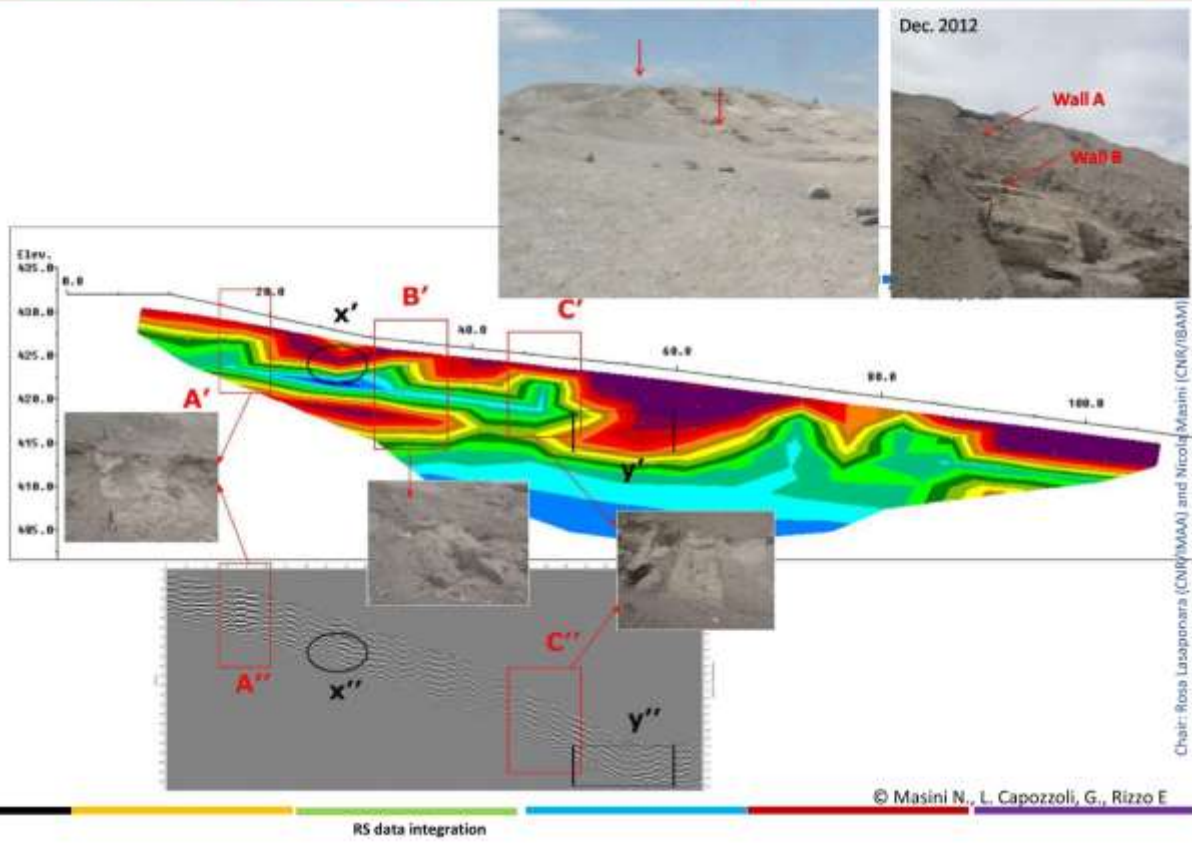
Ciminale M., Gallo D., Lasaponara R., Masini N. 2009, A Multiscale Approach for Reconstructing Archaeological Landscapes: Applications in Northern Apulia (Italy), *Archaeological Prospection*, 16, pp. 143-151



Remote sensing data integration : the detection in Paredones (Inca age) near Nasca (Peru)



Remote Sensing data integration : the detection of Pyramide Sur in Cahuachi (Peru)

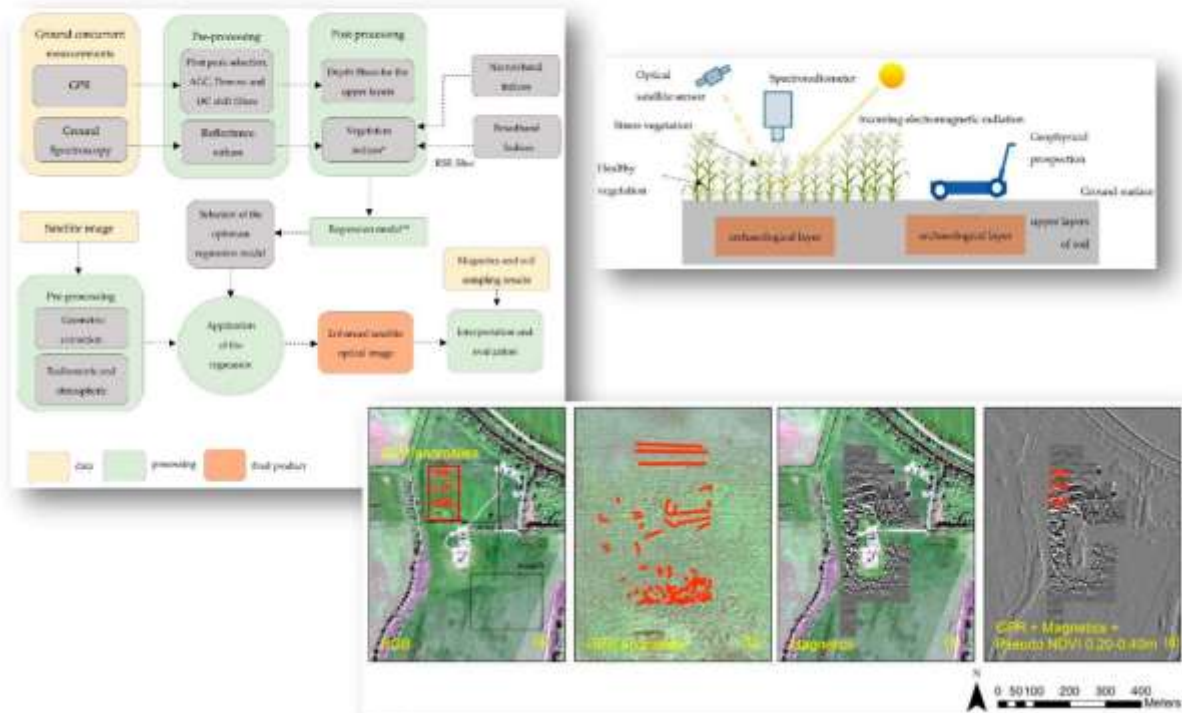


Satellite Synthetic Aperture Radar of Hierapolis

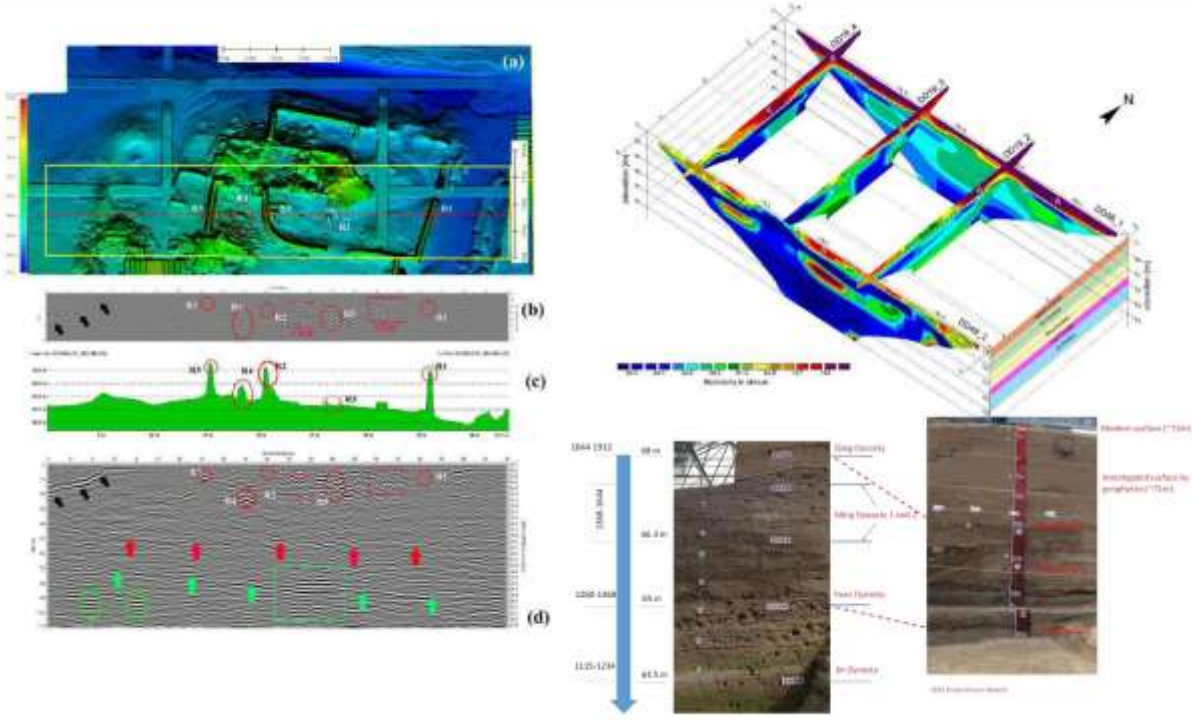


Travertine terraces and slopes : the morphological features are better visible from Cosmo SkyMed respect to Pleiades image

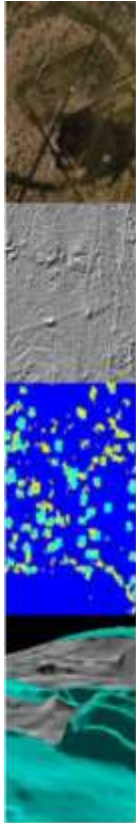
Fusion of satellite multispectral images based on ground-penetrating radar (GPR) data for the investigation of buried concealed archaeological remains (Agapiou et al. 2017)



Geophysical integrated approach for feture detection and dating: the case of Kaifeng in China

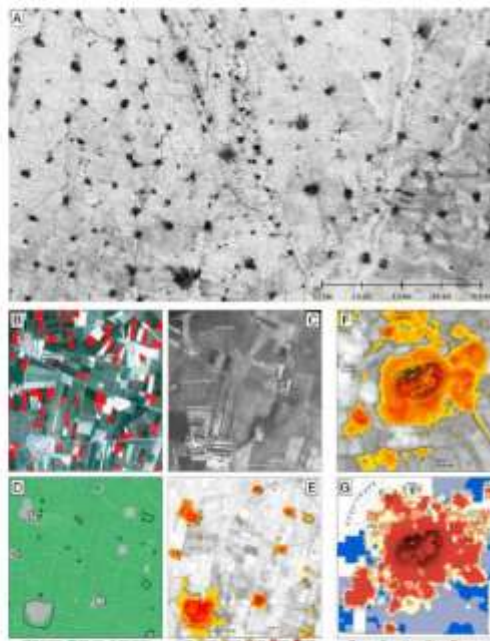


Masini et al. 2017, Towards an operational use of geophysics for Archaeology in Henan (China): Archaeogeophysical investigations, approach and results in Kaifeng



DATA AND/OR FEATURE INTEGRATION: QUANTITATIVE AND AUTOMATIC APPROACHES

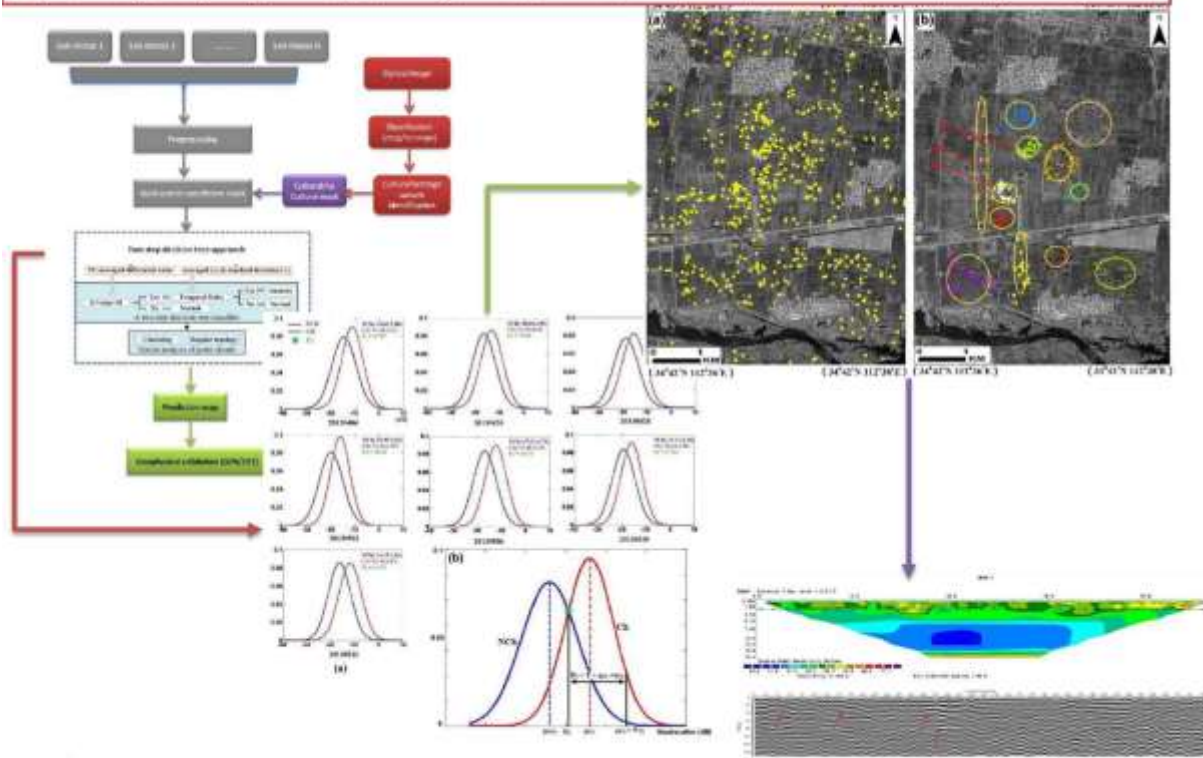
Mapping patterns of long-term settlement in Northern Mesopotamia at a large scale by Menze & Ur



remote sensing approach for comprehensively mapping the pattern of human settlement at large scale and establish the largest archaeological record for a landscape in Mesopotamia,

Chair: Rosa Lasaponara (CNR/IRAM) and Nicola Masini (CNR/IRAM)

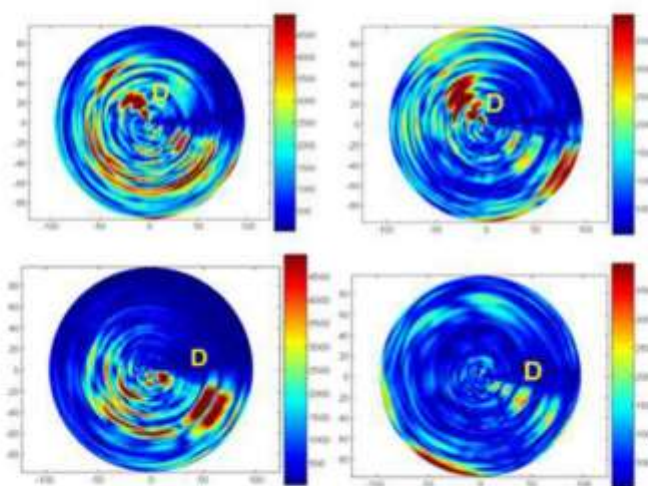
Archeological crop marks identified from Cosmo-SkyMed time series: the case of Han-Wei capital city, Luoyang, China (by A Jiang, F Chen, N Masini, L Capozzoli, G Romano, M Sileo, R Yang, R. Lasaponara)



a) Histograms of Ch and NCh samples in seven optimally selected acquisitions with notations of averaged, standard deviation and intersection point of backscatter coefficients. (b) Diagram for the Ch classification.

Validation of the temporal crop marks on SAR images by GPR and ERT

DATA AND/OR FEATURE INTEGRATION FOR CULTURAL HERITAGE COINSERVATION AND MONITORING



CONSERVATION OF MONUMENTS

- ❑ The conservation of monuments is the basis of any policy, strategy and measures aimed at the protection, enhancement and enjoyment.
- ❑ It is an activity consisting of two phases : one **cognitive**, the other **operational**
- ❑ The cognitive phase is aimed at the diagnosis of degradation pathologies (material decay, structural instability).
- ❑ The diagnosis is the result of inter/multi-disciplinary investigations aimed to understand
 - ❖ **materials and construction techniques**,
 - ❖ mechanisms and causes of **degradation**,
 - ❖ **boundary conditions** (environment and climate),
 - ❖ conditions of **vulnerability**,
 - ❖ **Anamnesis**: history of previous restorations and of the damages suffered in the past



Chair: Rosa Lasaponara (CNR/MAA) and Nicola Masini (CNR/IBAM)



ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus



Cyprus University of Technology

PRESERVATION OF ARCHAEOLOGICAL HERITAGE: CHARACTERISTICS

The conservation of archaeological heritage has some **peculiarities** respect to historical built heritage such as:

- **older** and, consequently, a **longer exposure to degradation and risk factors**
- conservative characteristics typical of the **ruins without covering structures protecting them**
- **Lack of use** (or reuse functional) makes **maintenance** a goal to be pursued with **higher costs respect to historical buildings**
- archaeological restoration is **extremely conservative** than architectural one
 - **additions are prohibited** except as minimal additions as part of an intervention anastylosis



Chair: Rosa Lasaponara (CNR/MAA) and Nicola Masini (CNR/IBAM)

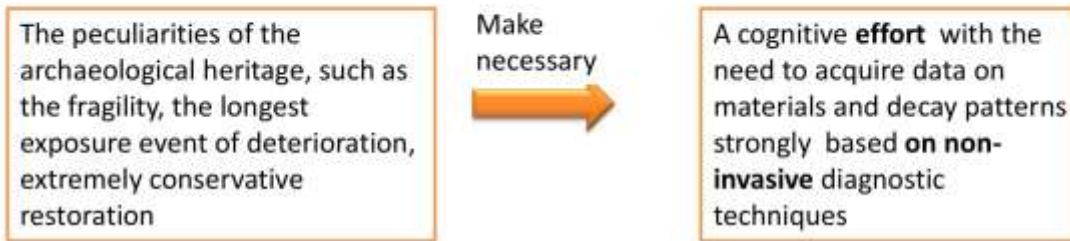


ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus



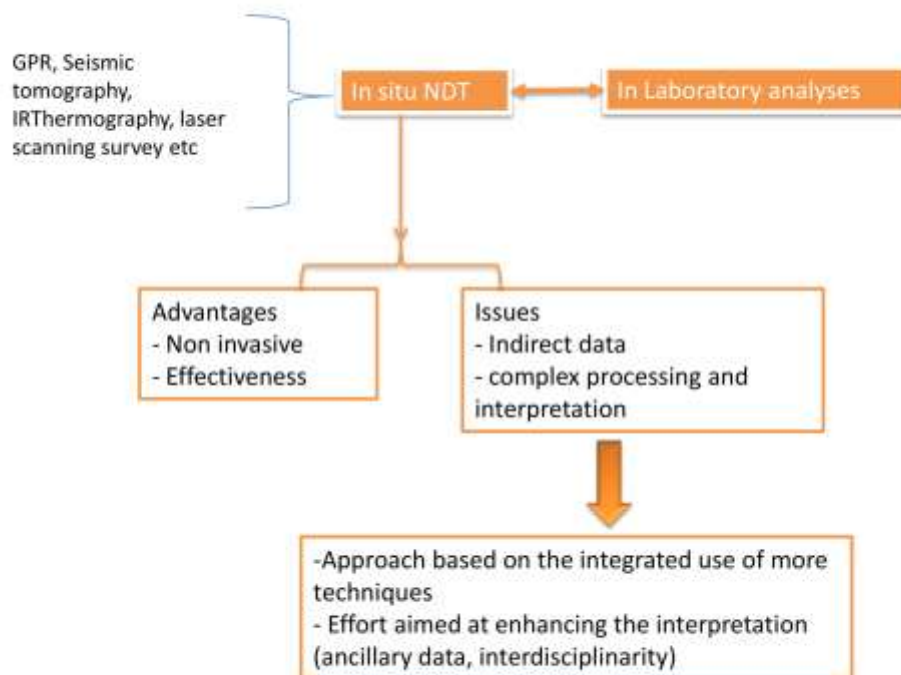
Cyprus University of Technology

DIAGNOSTICS FOR PRESERVATION OF ARCHAEOLOGICAL HERITAGE



ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus

DIAGNOSTICS FOR PRESERVATION OF ARCHAEOLOGICAL HERITAGE



ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus

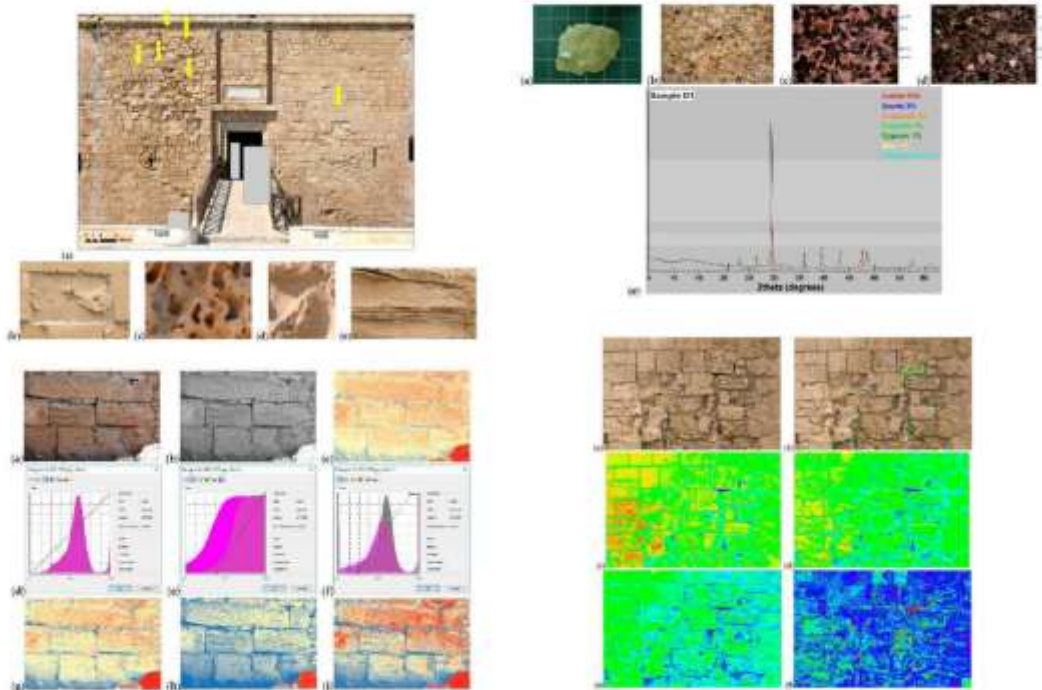
Approaches adopted for diagnostics investigations

Church of St. Francis in Lecce

Integration of Georadar and Sonic tomography for imaging fractures and cracks

Cathedral of Tricarico

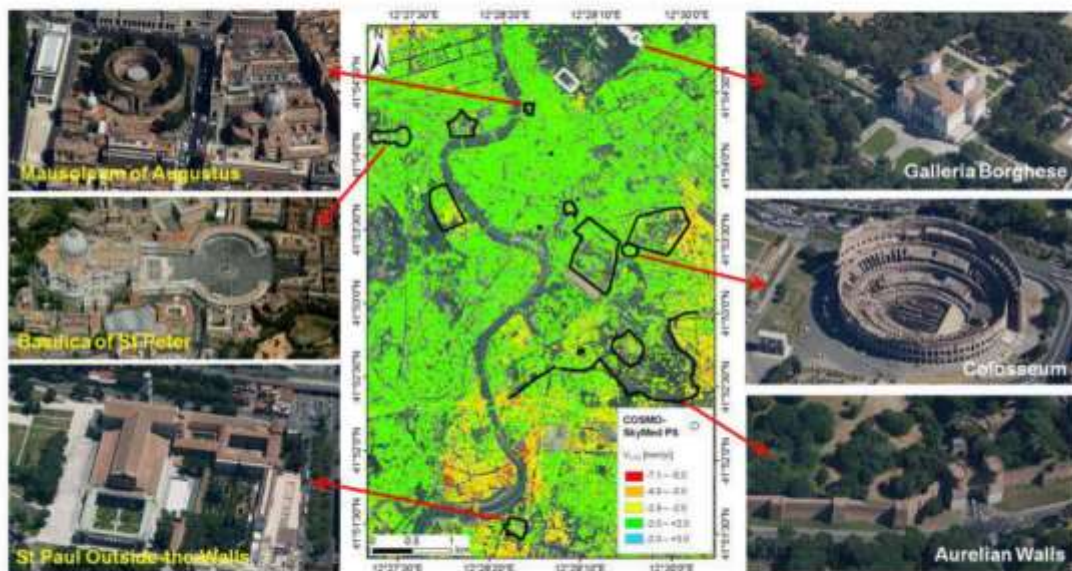
Integrated Investigation of Built Heritage Monuments: The Case Study of Paphos Harbour Castle, Cyprus (Vasiliki Lysandrou et al. 2018)



Identification of stone deterioration patterns

State of preservation of the main (north) façade of the castle using DIP techniques:

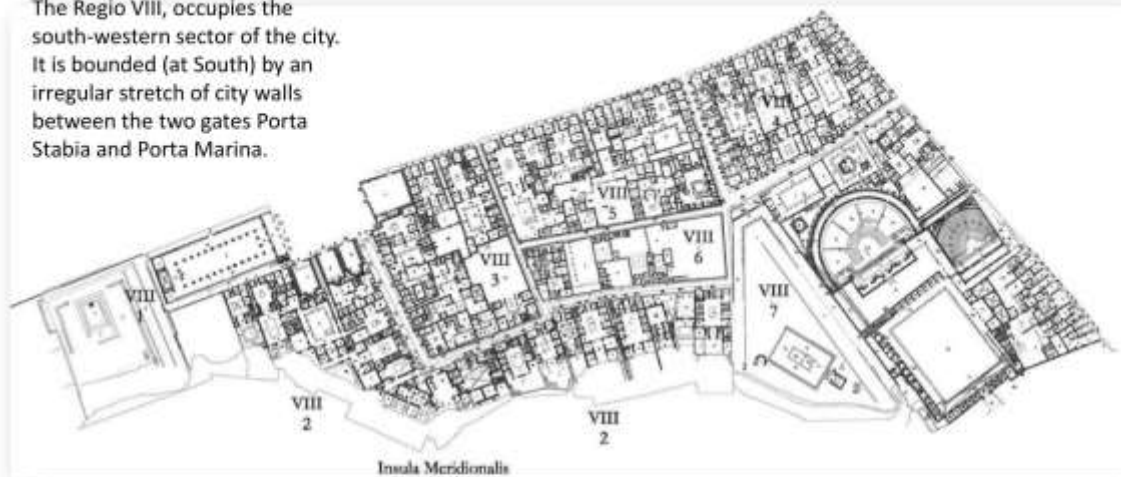
SUBSIDENCE MONITORING OF ROME BY SAR INTERFEROMETRY



Additional info in [Francesca Cigna, Rosa Lasaponara, Nicola Masini, Pietro Milillo and Deodato Tapete Persistent Scatterer Interferometry Processing of COSMO-SkyMed StripMap HIMAGE Time Series to Depict Deformation of the Historic Centre of Rome, Italy Remote Sens. 2014, 6\(12\), 12593-12618; doi:10.3390/rs61212593](#)

Regio VIII in the archaeological area of Pompeii

The Regio VIII, occupies the south-western sector of the city. It is bounded (at South) by an irregular stretch of city walls between the two gates Porta Stabia and Porta Marina.



Regio VIII has a urban layout rather irregular, especially along the southern border, having to adapt to the edge of the lava outcrop on which the city stands.

Chronology

End of the 7th -late 4th century BC: Casa dei *Postumii*; Doric temple of the Triangular Forum (half of the sixth century BC); **3rd – 2nd century :** Great Theatre and Quadriportico o Caserma dei Gladiatori, Basilica; **1^o cent BC-1^o cent AD:** Odeion or Theatre Hall, restructuring of the domus with atrium built against the city walls on the southern slopes

Regio VIII in the archaeological area of Pompeii : some investigated area



Regio VIII : detail of insula 1,1: (Basilica)



Insula 2, 13 : domus



Triangula Forum : columns



Insula 2, 21 : domus of L. Aelius Magnus

Regio VIII in the archaeological area of Pompeii : Aims and object of investigation

A) Masonry structures : investigation of bulding techniques, decay patters (cracks, inhomogeneities, voids), survey of deformations



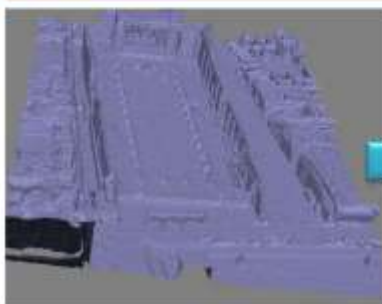
B) Frescoes : detection of detachments and inhomogeneities



C) Wells and cisterns : exploration and survey

Methodological approach

3d reconstruction of masonry structure geometry and deformations



3d-model provided from UAV-based survey



Video endoscopy

Validation with direct data

Sonic test



GPR

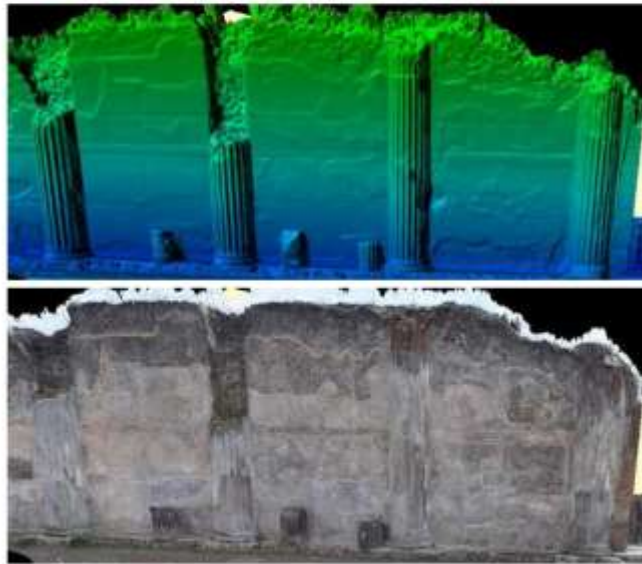
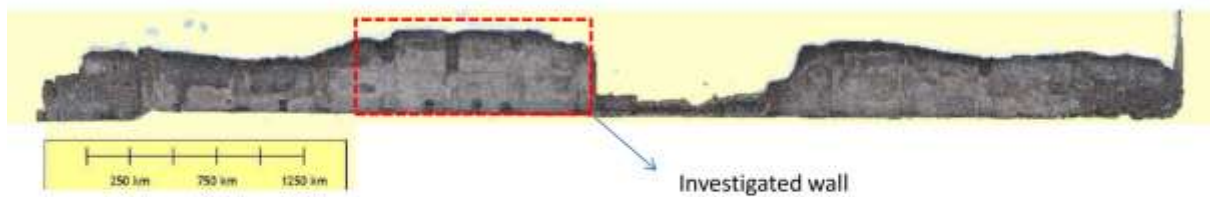


IRT



GPR, Sonic and (in case) infrared thermography data integration for the dection of buiding and decay features

Pompeii: photogrammetrical survey and the study of building techniques



Investigated wall

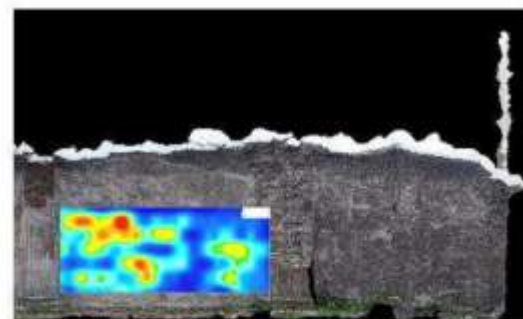
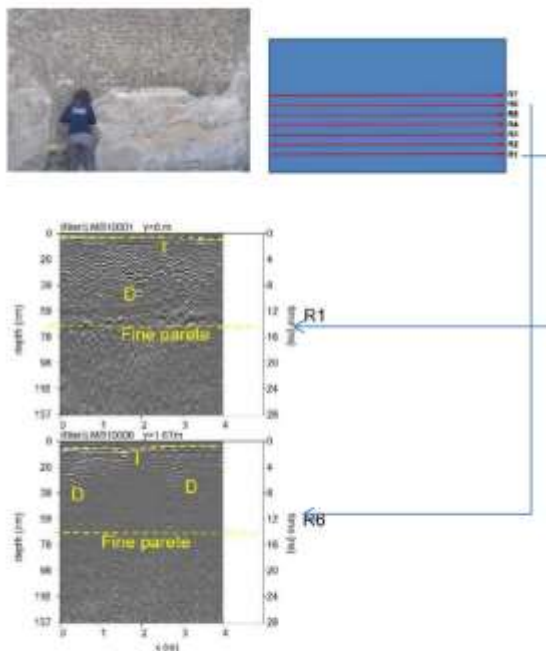
Building techniques:

The wall is made by irregular stone elements of Peperino with thickness equal to approximately 0.66 m. It is a wall at multiple body consisting of three layers (15+30+15 cm), of which the central one very well joined with the two adjacent. Layers of plaster of thickness varying from 3 to 7 cm, on both sides of the wall.



© Masini & Pecci

GPR investigation of walls of Basilica



GPR slice at 8-12 cm depth

The data analysis showed the presence: of a number of defects attributable to the discontinuity (D) which are much more evident in the lower part (see Profile R1); the layer of plaster (I - yellow dashed line) of variable thickness between 1 and 3cm.

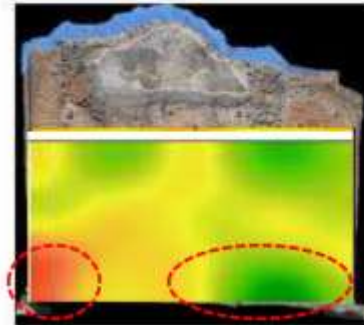
© Leucci, Masini, Scavone et al.

Pompei: GPR and sonic data integration

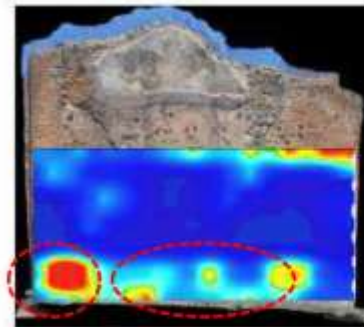


Insula 2, civico 12

© Leucci, Masini et al.



Seismic



GPR

Chair: Rosa Lasaponara (CNR/IMAA) and Nicola Masini (CNR/IBAM)

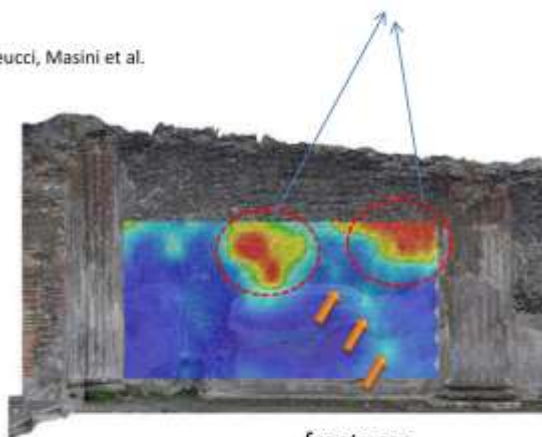
ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus

Pompei: feature integration of GPR time slices at different depth

INDAGINI GEORADAR REGIO VIII	
Parete I Basilica - Insula I	2b,1

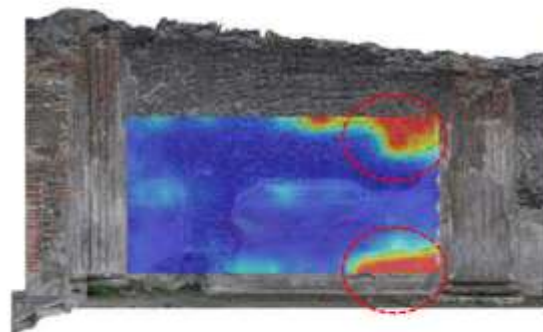
Discontinuities between the external layer and the inner nucleus

© Leucci, Masini et al.



fractures

Fotopiano Parete I Basilica con time slice a 29 - 29 cm di profondità

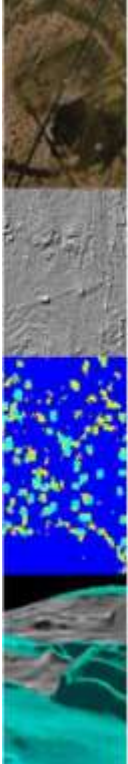


Fotopiano Parete I Basilica con time slice a 51 - 51 cm di profondità

Chair: Rosa Lasaponara (CNR/IMAA) and Nicola Masini (CNR/IBAM)

ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus

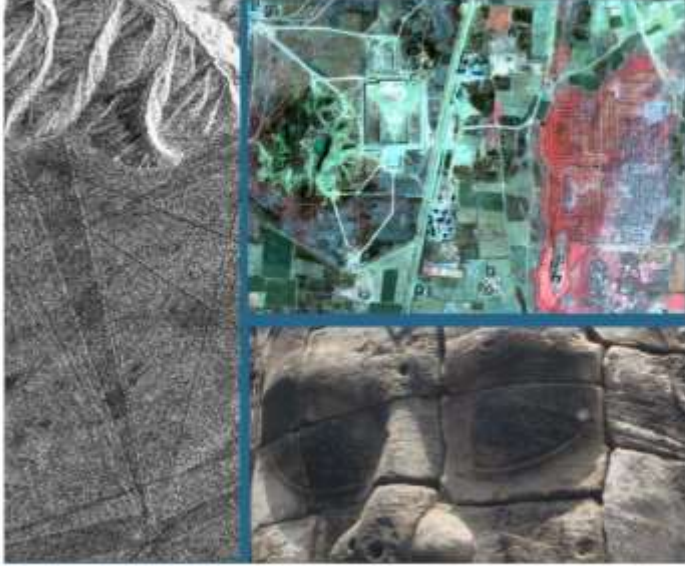
2.4.4 Presentation of the “Case studies and applications”



Part III

CASE STUDIES AND APPLICATIONS

12:30 – 14:00

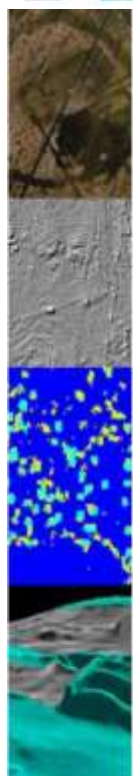


Chair: Rosa Lasaponera (CNR/IMAA) and Nicola Masci (CNR/IBAM)




ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus



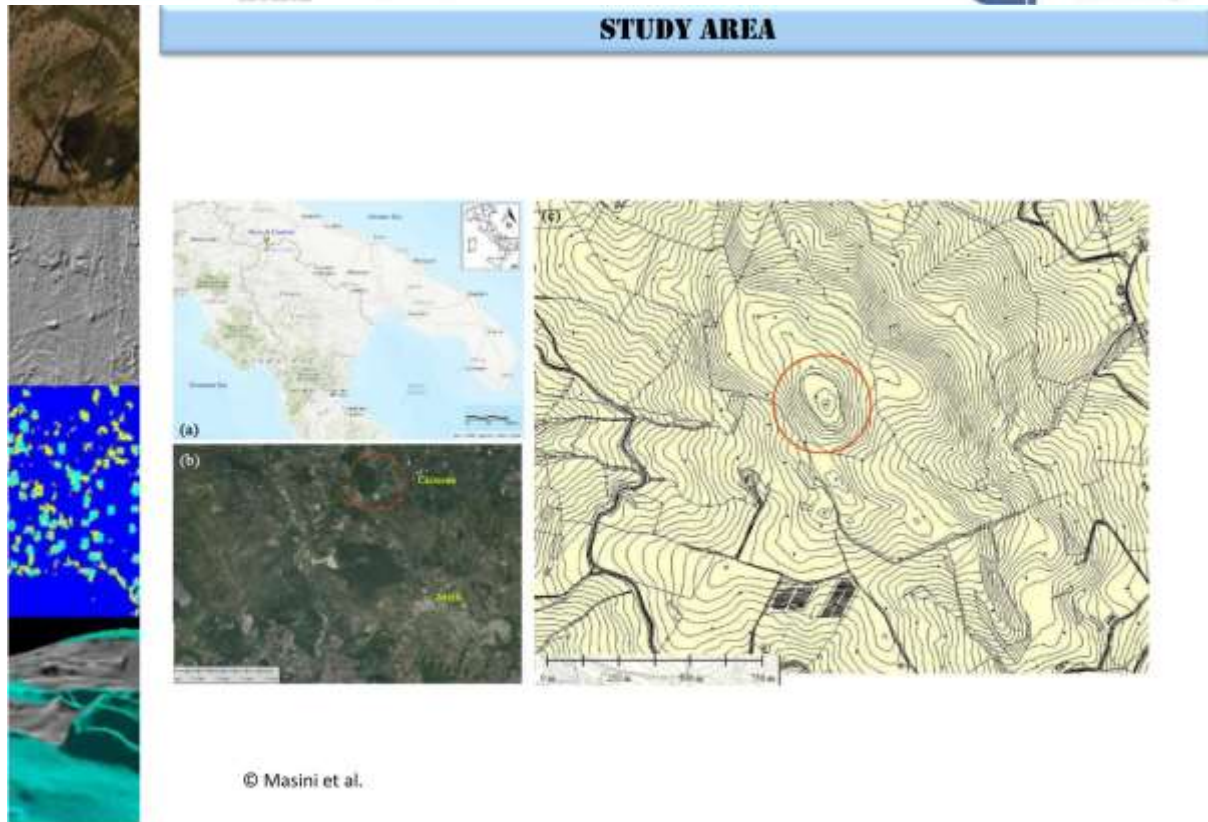
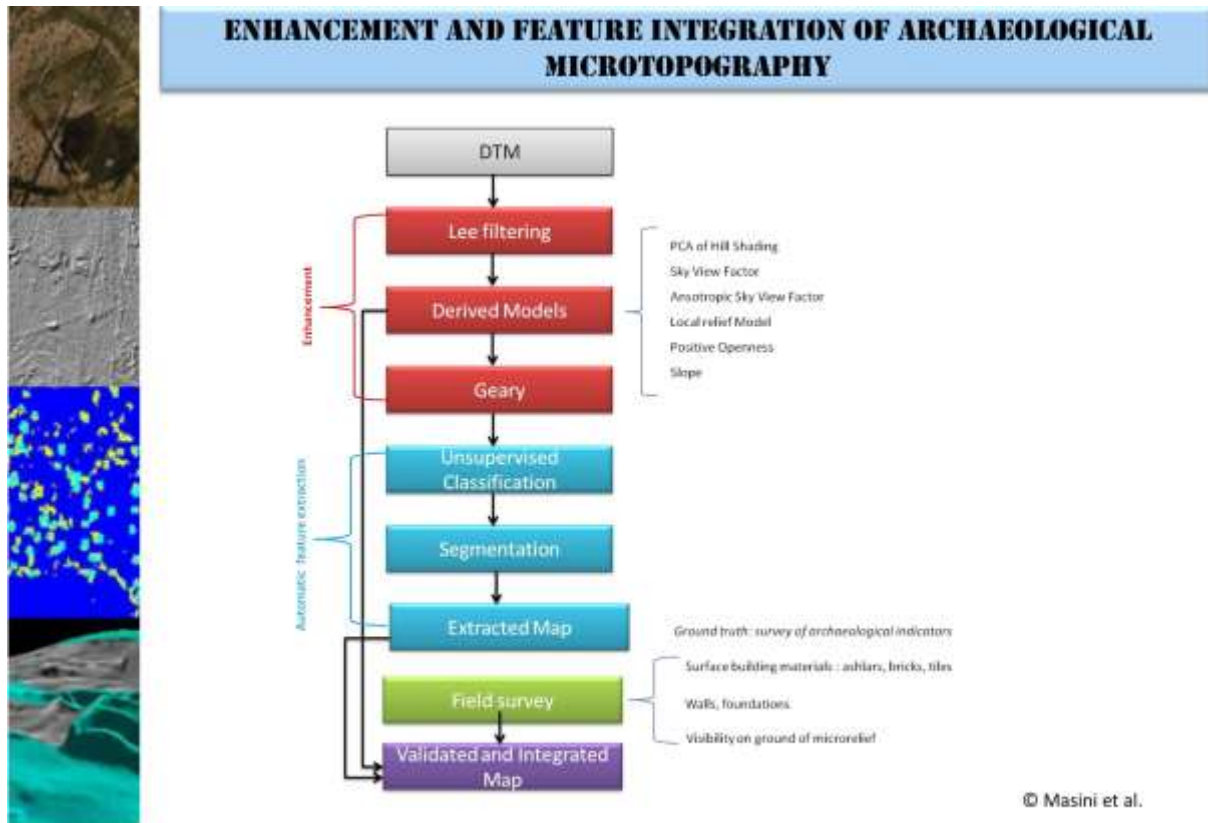
OUTLINE

- 1: ENHANCEMENT AND FEATURE INTEGRATION FOR THE DETECTION AND INTERPRETATION OF MICROTOPOGRAPHY OF ARCHAEOLOGICAL INTEREST**
- 2: MULTISENSOR, FEATURE INTEGRATION AND PATTERN EXTRACTION FOR THE MONITORING AND DIAGNOSIS OF THE STATE OF CONSERVATION OF FRESCOES**




ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus



HISTORICAL MAPS AND LIDAR BASED SURVEY

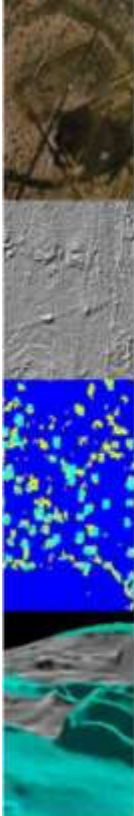
© Masini et al.

ENHANCEMENT OF ARCHAEOLOGICAL MICROTOPOGRAPHY BY MEANS OF VISUALIZATION TECHNIQUES

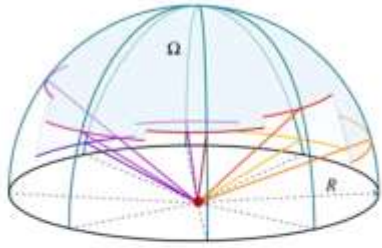
Visualization performed using Relief Visualization Toolbox : <https://laps.zrc-sazu.si/en/rvt#v>

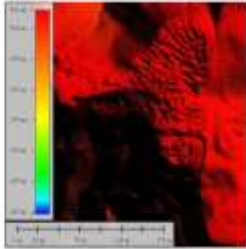
© Masini

SKY VIEW FACTOR

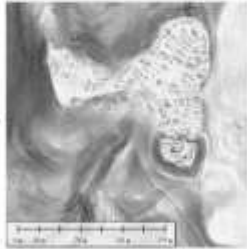



- Sky View Factor (SVF) quantifies the portion of the sky visible from a certain point' within a certain radius
- SVF considers a homogeneous illumination from all directions above
- elevation angle is determined into multiple directions and to the given distance
- considers a hemisphere only
- values between 0 and 1





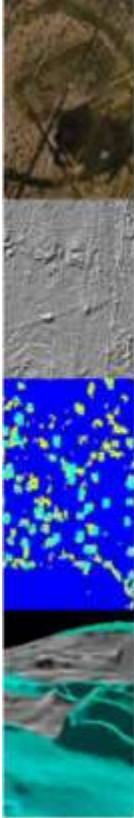
Lasaponara & Masini



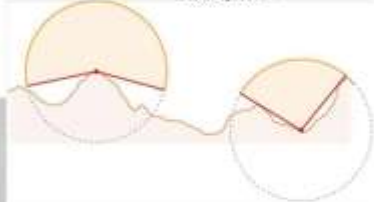


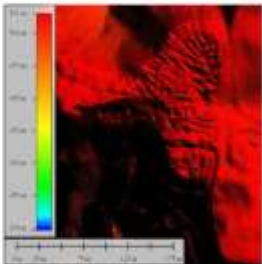
Kokalj 2014

OPENNESS




Openness considers homogeneous illumination from all directions. It includes larger angles than SVF. Openness highlights convexities namely ridges and crests.






Lasaponara & Masini

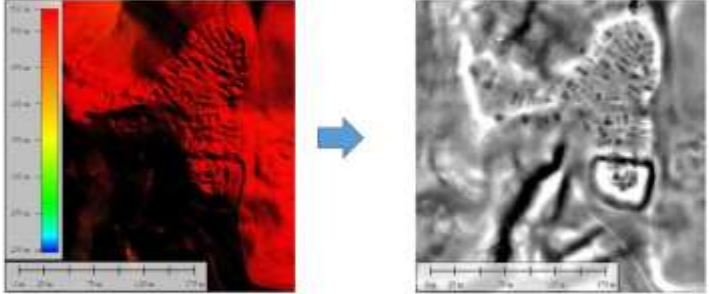


SIMPLE LOCAL RELIEF MODEL



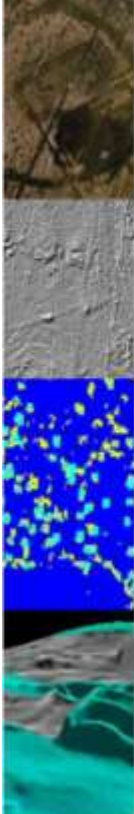
Local Relief Model consists in filtering terrain surface out just leaving archaeological features and their relative elevation above or below the terrain. In this way it enhances the visibility of small-scale topographic features removing large-scale landscape forms from the DTM.

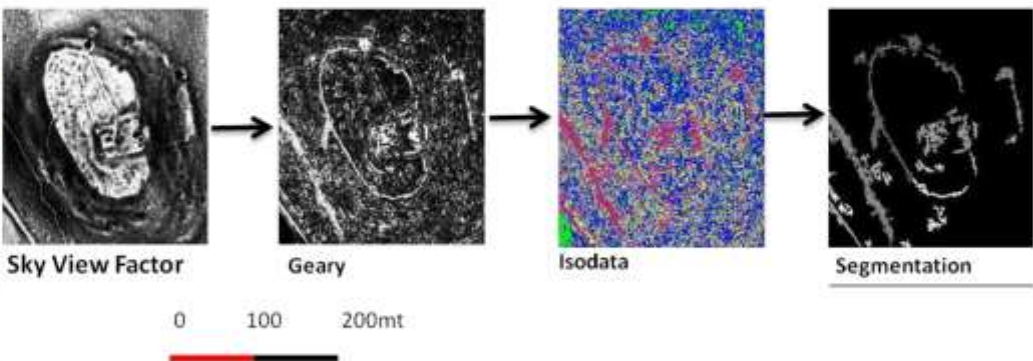
In particular, the LRM approach is based on the: (i) smoothing of DEM made applying low pass filter, (ii) its subtraction to the initial DEM, (iii) calculation of the zero meter contours from the difference model to obtain break lines, as well as the intersection of the break lines with the DEM.



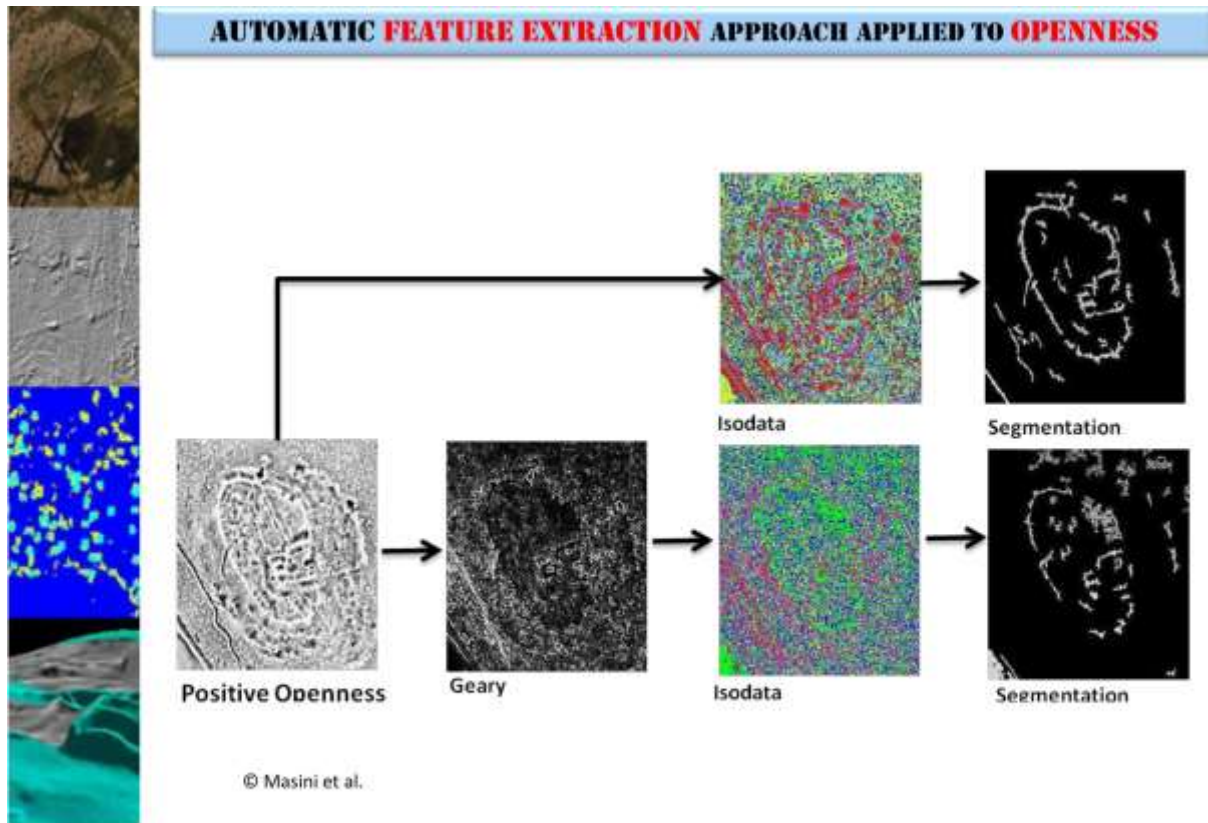
Lasaponara & Masini

AUTOMATIC FEATURE EXTRACTION APPROACH APPLIED TO SKY VIEW FACTOR

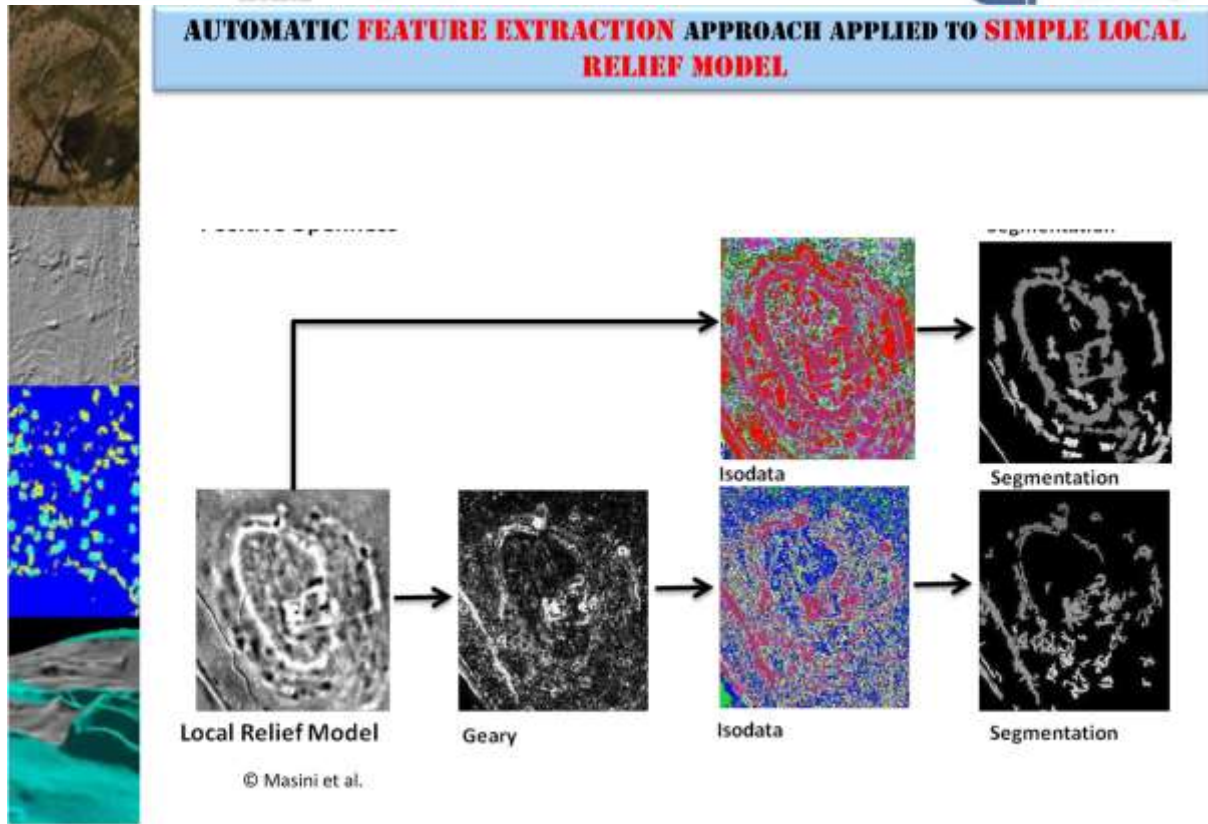




© Masini et al.






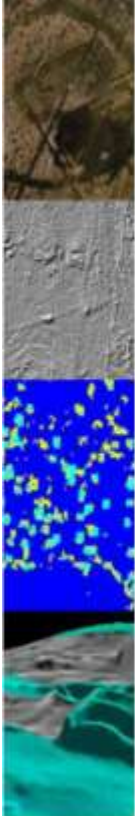
ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus



ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus

AUTOMATICALLY FEATURE EXTRACTED FROM SKY VIEW FACTOR




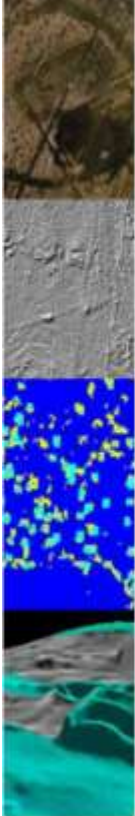
Sky View Factor



© Masini et al.

AUTOMATICALLY FEATURE EXTRACTED FROM POSITIVE OPENNESS

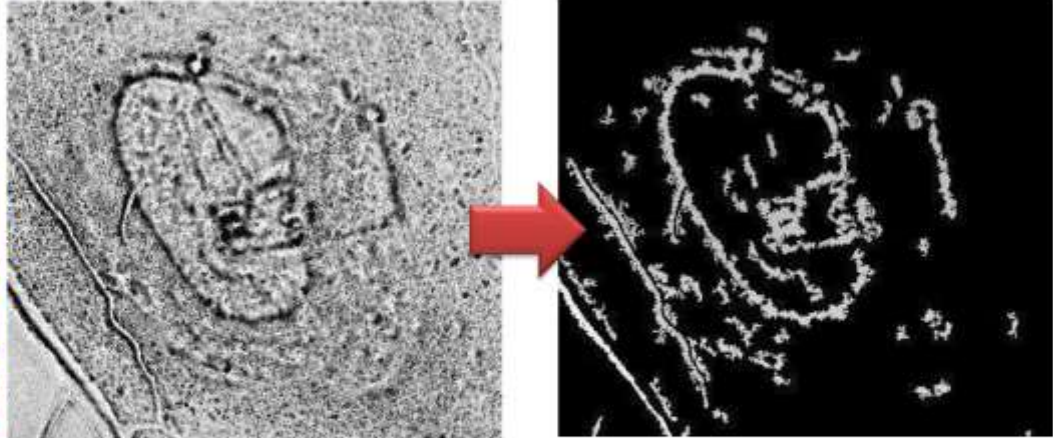
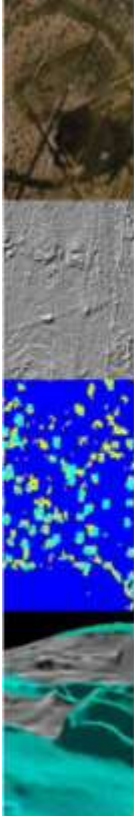
Positive Openness



© Masini et al.

AUTOMATICALLY FEATURE EXTRACTED FROM NEGATIVE OPENNESS

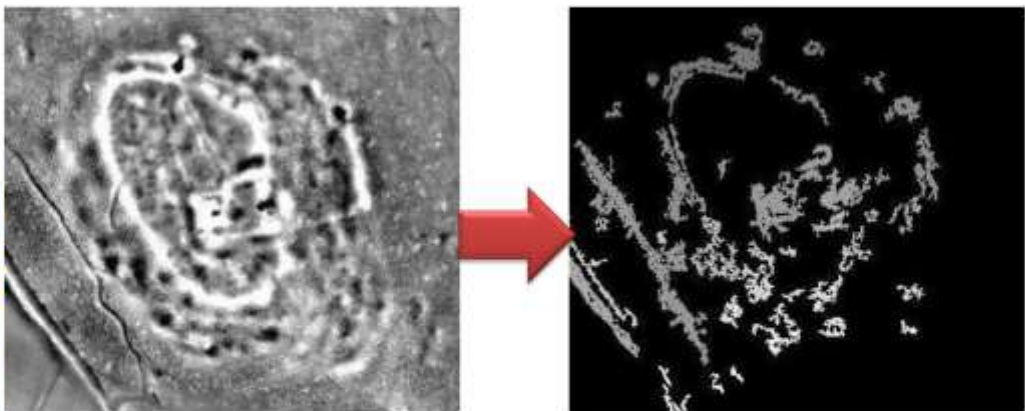

Negative Openness



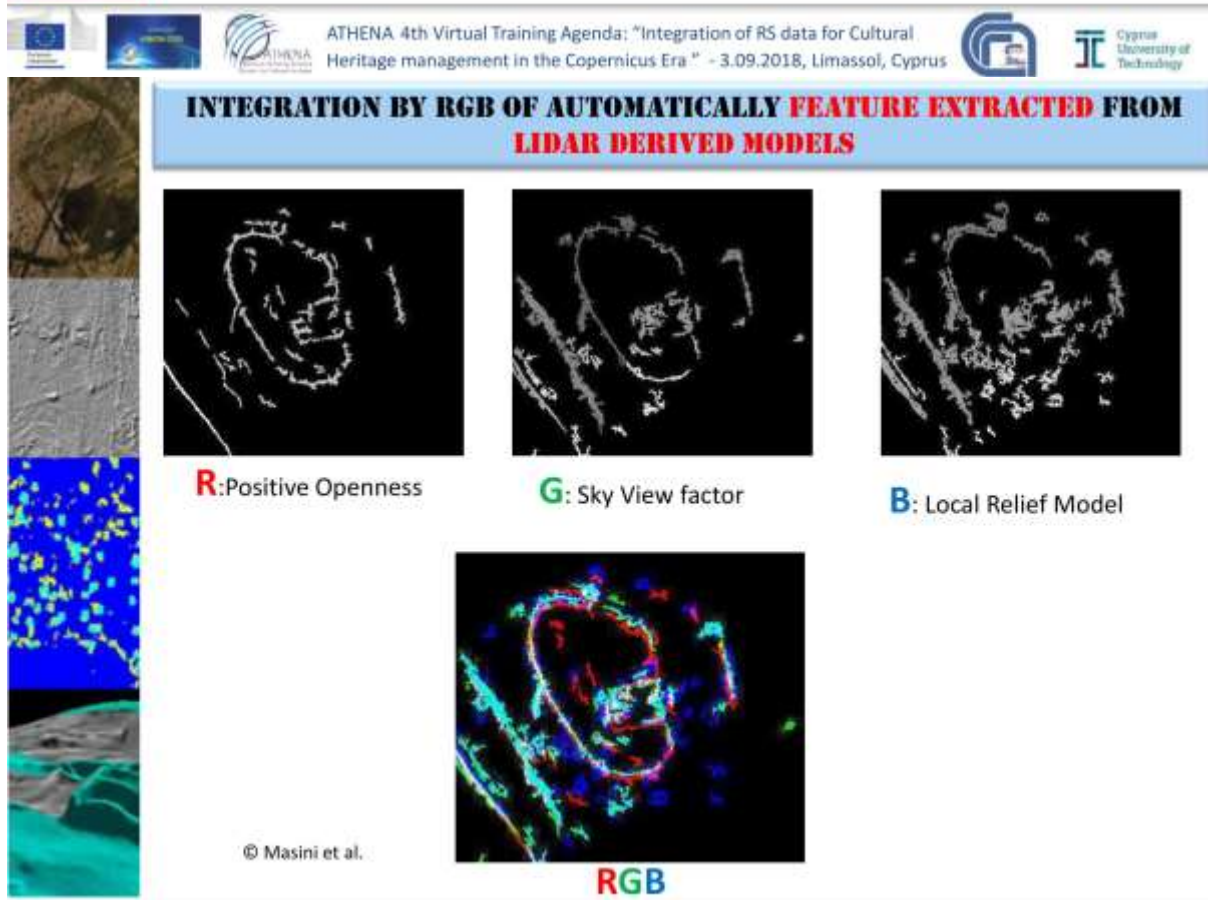
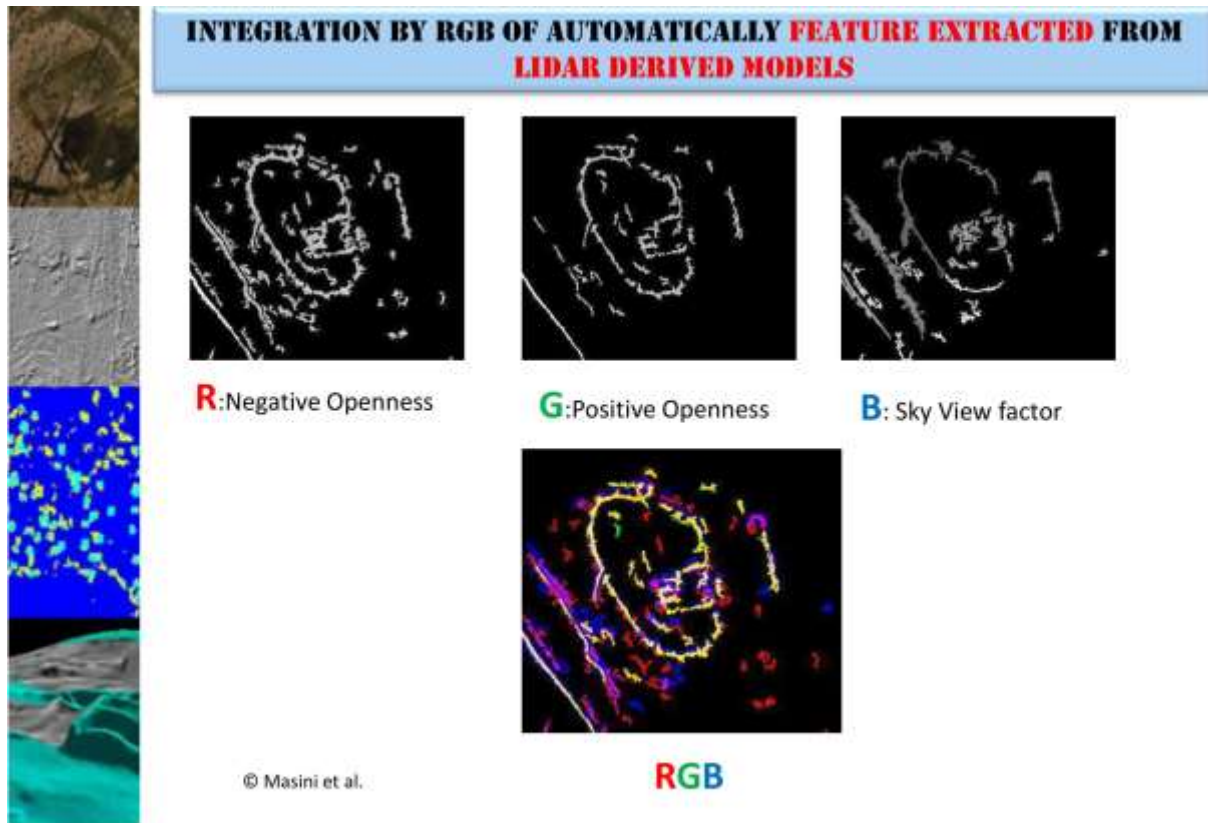
© Masini et al.

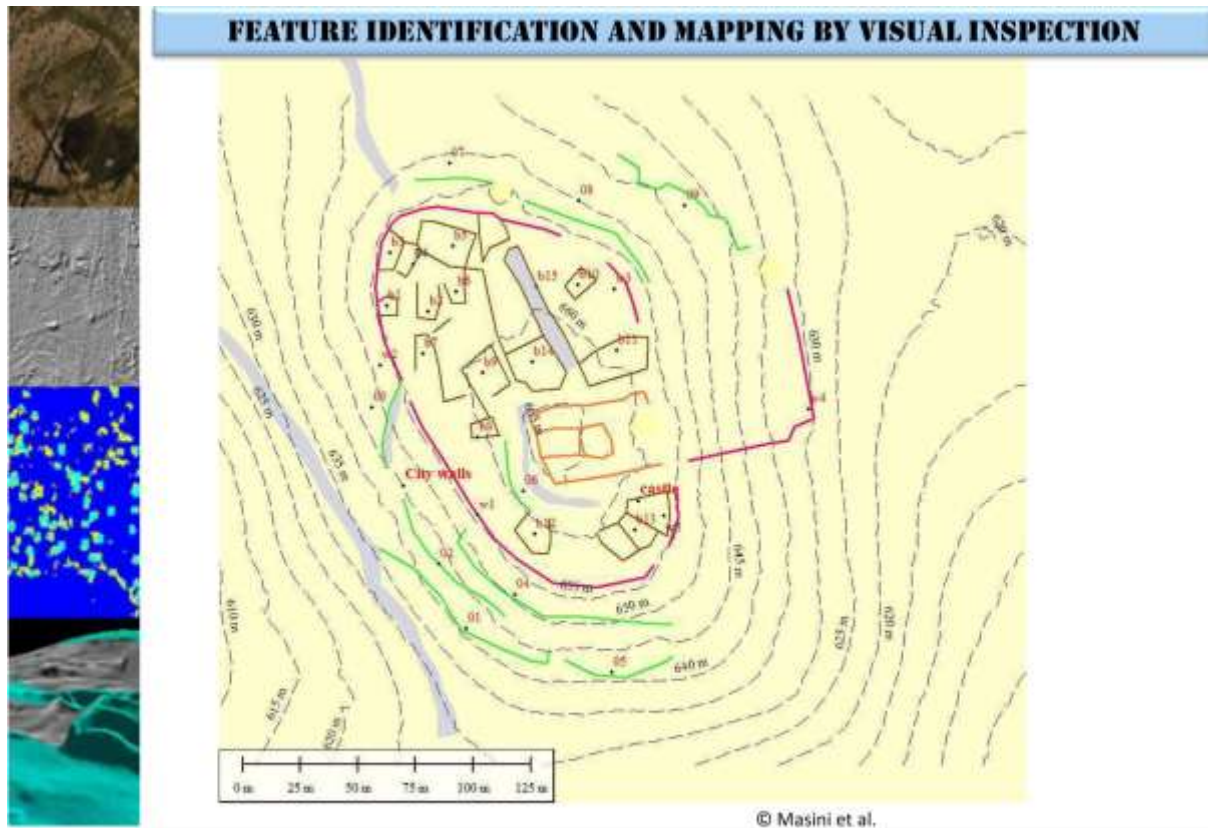
AUTOMATICALLY FEATURE EXTRACTED FROM LOCAL RELIEF MODEL

Local relief Model



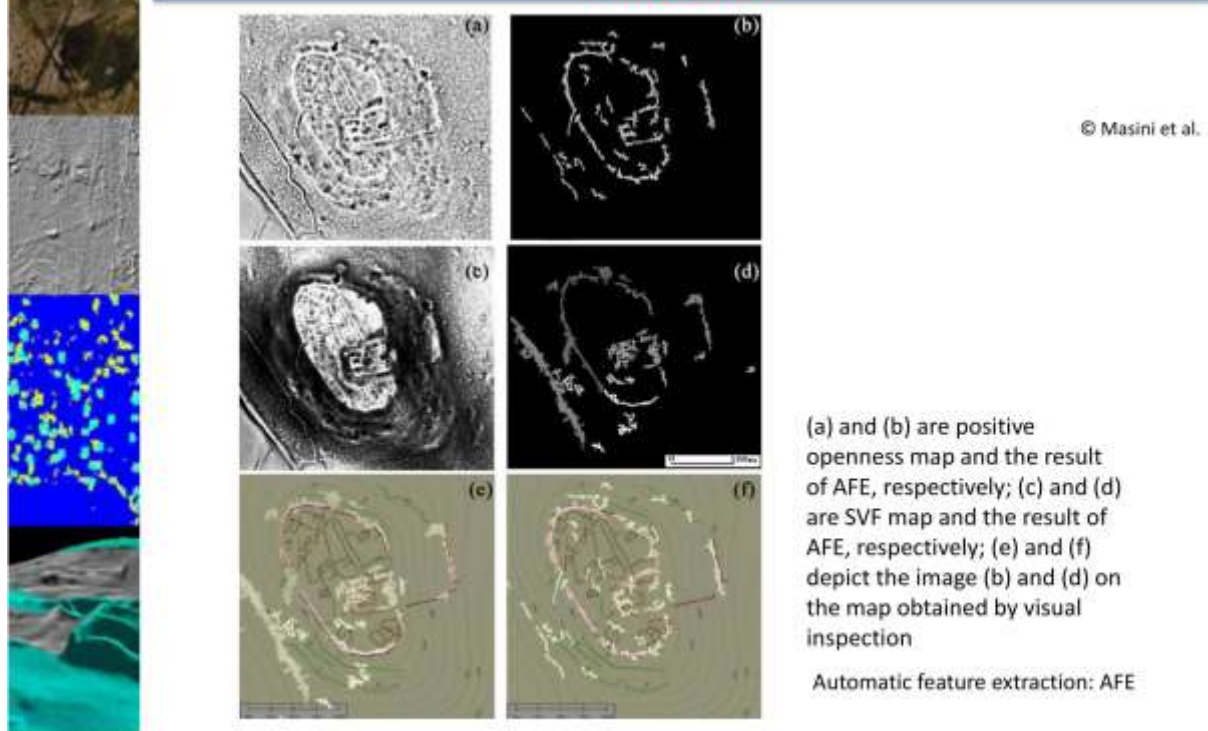
© Masini et al.





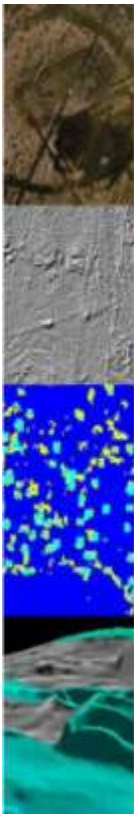
ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus

COMPARISON BETWEEN AUTOMATIC FEATURE EXTRACTION AND VISUAL INSPECTION

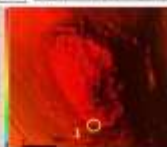




ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus




IN SITU VALIDATION






1 Application of the fully automated AFE (AF) on the aerial image of the wall. The wall is highlighted in red.


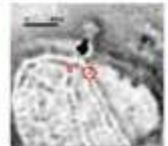

3 Identification of features on the wall. The AFN and the semi-automated AF suggest that the wall is not a single entity. The semi-automated AFN and the AFN suggest that the wall is not a single entity. The semi-automated AFN and the AFN suggest that the wall is not a single entity.

5 Identification of features on the wall. The AFN and the semi-automated AF suggest that the wall is not a single entity. The semi-automated AFN and the AFN suggest that the wall is not a single entity.

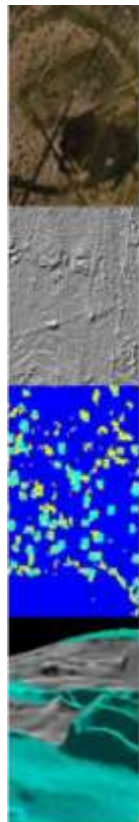
8 Identification of features on the wall. The AFN and the semi-automated AF suggest that the wall is not a single entity. The semi-automated AFN and the AFN suggest that the wall is not a single entity.

	AFE applied to Positive Openness		AFE applied to SVF	
	Total length (mt)	Features detected (mt; %)	Features detected (mt; %)	Features detected (mt; %)
City walls	469	438 93%	454	97%
Castle	222	190 86%	184	83%
Buildings	855	119 14%	32	4%
OAF	585	196 34%	117	20%
Total length	2131			

© Masini & Sileo

MULTISENSOR, FEATURE INTEGRATION AND PATTERN EXTRACTION FOR THE MONITORING AND DIAGNOSIS OF THE STATE OF CONSERVATION OF FRESCOES



A promising application field of remote sensing and in-situ non invasive investigations is the monitoring and analysis of the state of conservation of works of art, such as wall paintings including frescoes.


To this aim, two are the issues to address:

- I. the choice of the most appropriate sensing technology
- II. and the analysis, integration and interpretation of data after their processing.



Feature Integration approach and Pattern extraction based on spatial analysis based for the interpretation of data coming from different non-invasive tests, to improve the extraction process of the pattern decay

DATASET



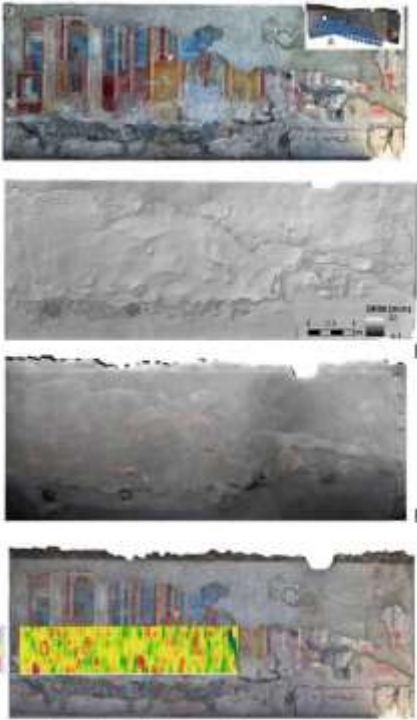
RGB ortho image obtained by Structure from Motion (SfM)

Digital Relief Model (DRM) by SfM

Multitemporal Infrared Thermography (MIRT)

Georadar prospection at high frequency (2GHz)

© Danese & Masini






ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus



STUDY OBJECT: THE WALL PAINTING OF GYMNASIUM IN POMPEII



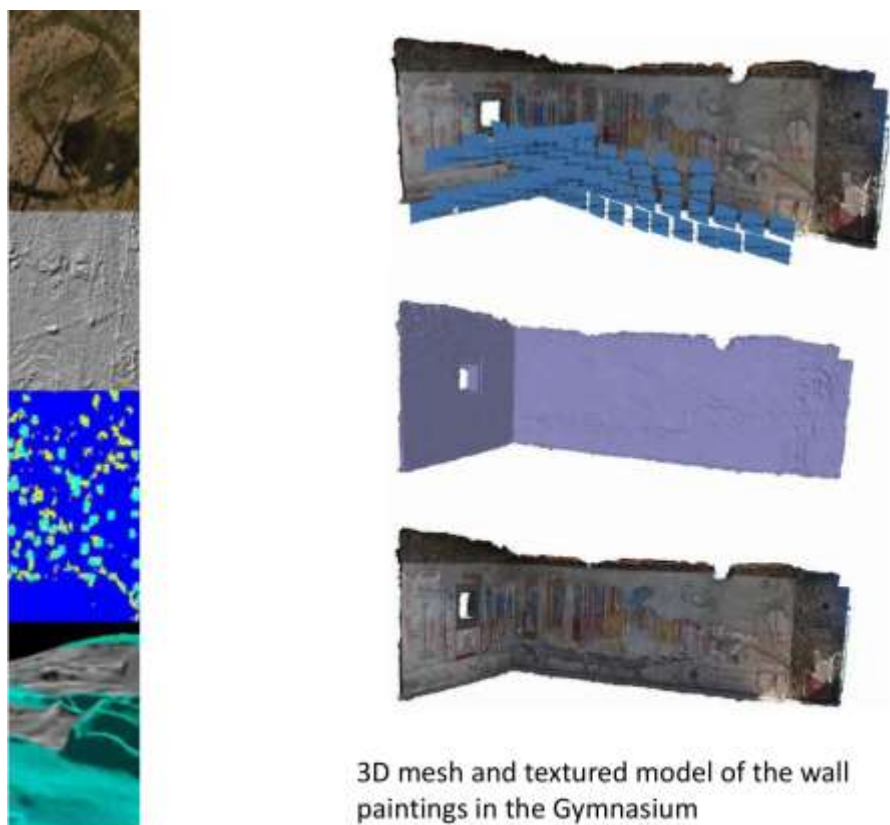


© Danese, Masini, Scavone, Sileo




ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus





© Danese, Masini, Scavone

3D mesh and textured model of the wall paintings in the Gymnasium

ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus

IRT image

IRT prospections have been performed by using the passive method in an indoor environment. IRT images were collected with a FLIR SC660 sensor FPA (Focal Plane Array) uncooled microbolometer operating in the spectral range between 7.5 and 14 m.

GPR

The GPR survey was performed with the Hi-Mod GPR of IDS using the antenna at 2-GHz frequency. The GPR antenna was moved on the painted surface by using a plastic panel against the wall. GPR data were collected with 512 samples per scan for a recording time window of 30 ns and a manual gain function

© Danese, Masini, Sileo

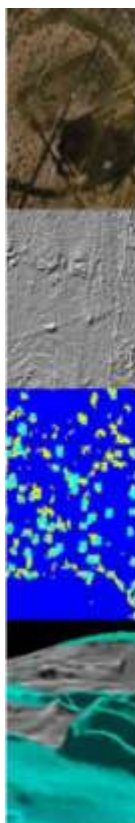


Table 1 Types of damage and their extensions found with the visual inspection

Code	Damage definition	Areas detected with visual interpretation (square meters)
0	Areas with the best state of conservation of the fresco	17.99
Superficial decay:		
1	Salts	0.73
Damage interesting all the stratigraphy, from the paint layer to deeper layers:		
2	Swelling	0.46
3	Detachment	0.89
4	Fracturing	0.65
5	Lack	10.55
6	Deep lack	0.15

© Danese



ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus



Cyprus University of Technology

FEATURE INTEGRATION APPROACH BASED ON SPATIAL ANALYSIS

The fresco was considered as a vertical geographical space. Different type of spatial analysis was used to extract information from the RGB, the DRM, the MIRT and the GPR data

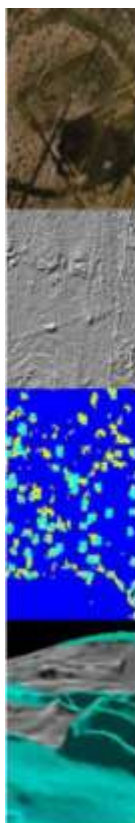
Spatial analysis studies the spatial distribution of phenomena, aggregation shapes and existing relationships, by considering their heterogeneity and their mutual dependency as indicated by spatial autocorrelation



ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus



Cyprus University of Technology



PATTERN EXTRACTION AND FEATURE INTEGRATION APPROACH BASED ON SPATIAL ANALYSIS

MAP ALGEBRA → **DRM**

It is a high level language for spatial modelling based on local, focal and zonal (Tomlin 1990) functions that, mixed together, allow constructing personal functions or personalized computation.

HOTSPOT ANALYSIS → **RGB; MIRT; GPR**

Hotspot analysis allows us to better understand distribution of existing data, by finding, with the research of spatial autocorrelation, areas, where there are group of pixels with local anomalies. In the case of a fresco, the presence of autocorrelation indicates the similarity properties of materials, such as its conservation state or beforehand the type of constituting material. index used was the Getis and Ord's G_i^* (1992), defined according to formula (1):

Geovisual Analytics → **MIRT**

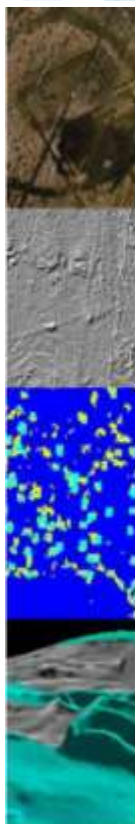
Geovisual analytics allows us to explore, reduce and return prediction with techniques coming from visual data mining of geospatial information (Keim and Ward 2003) with the help and at the same time by improving the human visual ability to find patterns (MacEachren and Kraak 2001).

the **V-analytics software** was used (Andrienko and Andrienko 2005) to perform the **Self-organizing Maps (SOM)**

The **SOM** is a **neural network architecture** that allows **reducing the n-dimensionality** of the input data in a two-dimensional lattice. At the same time, it **maintains topological relationships of the original data set**. Through a **learning algorithm**, without supervision, it is useful for **pattern extraction**.

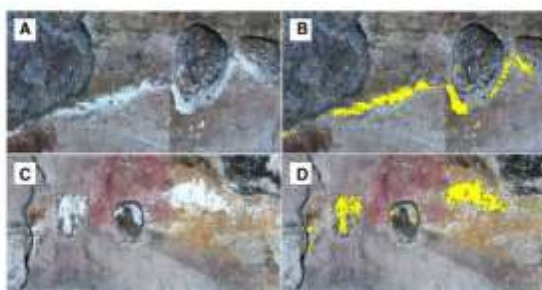


RESULTS: RGB LAYER ANALYSIS



The RGB obtained with SfM was converted through map algebra in HSV.

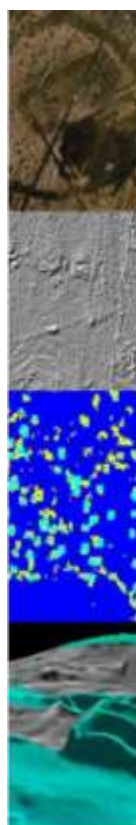
The V component (areas with $V \geq 0.85$) was useful for the extraction of salts over the paintings



Salt pattern extraction, two details (a, c) of the fresco with the corresponding distribution of salts found (in yellow b, d)

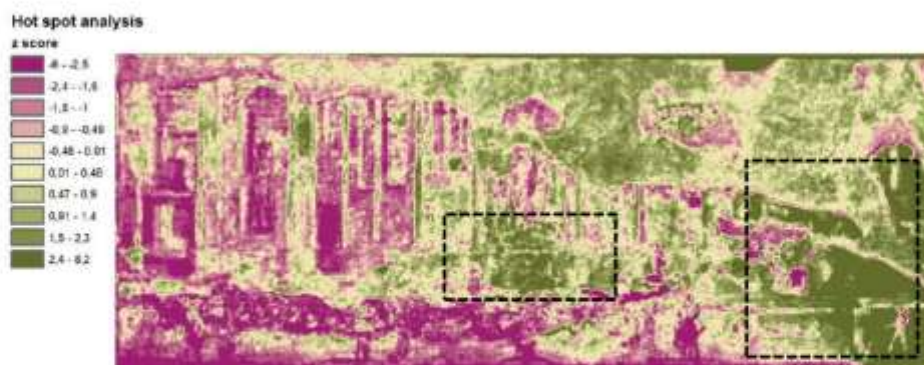
© Danese & Sileo





RESULTS: RGB LAYER ANALYSIS

Moreover, the *V* raster was analyzed with **HOTSPOT ANALYSIS**, using as intensity the *V* value, Euclidean distance and the Distance bandwidth as methods for calculation of distances, proximity and weights matrixes



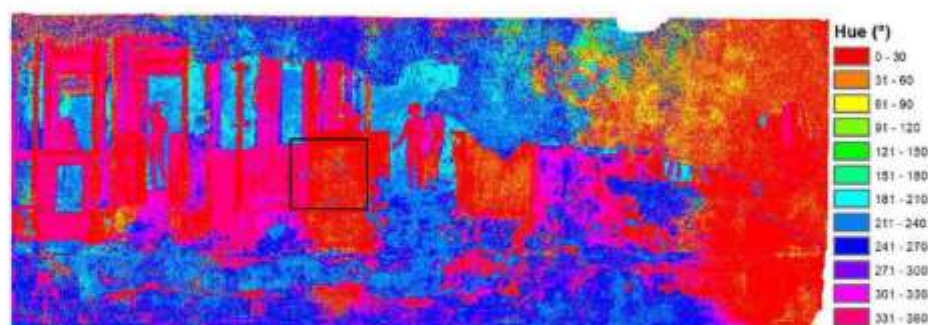
The green areas cluster areas affected by a progressive phenomenon of decoloration of the existing pictorial pigments, due a major exposure to the Sun and external agents .

© Danese, Masini, Sileo

ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era " - 3.09.2018, Limassol, Cyprus

RESULTS: RGB LAYER ANALYSIS

The *H* raster instead is useful to highlight areas with local detachment of pigments



Dominant color of pigments (*H*) highlights areas with local pigment detachment, as in the drawn rectangle

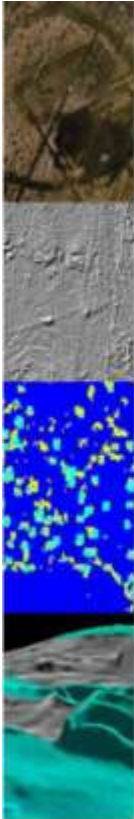
© Danese, Masini, Sileo


ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era " - 3.09.2018, Limassol, Cyprus

RESULTS: DIGITAL RELIEF MODEL (DRM) ANALYSIS

The DRM was analyzed with basic methods taken from **geomorphometry** that is **contour** and **slope** analysis.

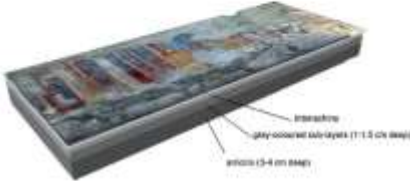
By means of the contouring (with 0.2 mm of contour interval), classified in quantiles, after the elimination of outliers and by converting close lines in polygons, it was possible to **extract swellings, detachments and lacks**





Decay extraction from DRM

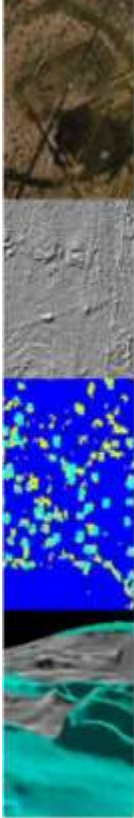
In particular lacks at **three different depths were detected**, corresponds to the three layer of frescoes




© Danese, Masini, Sileo

RESULTS: DIGITAL RELIEF MODEL (DRM) ANALYSIS

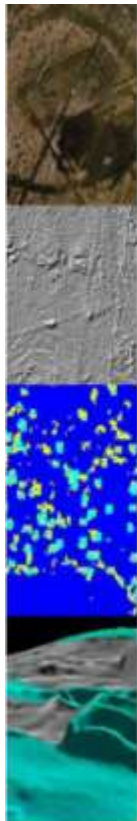
Finally, it is interesting to observe the behaviour of the isolines: the areas covered by the surface lack have the same "elevation" of parts of the still painted areas (the cyano and the orange classes). The hypothesis is that these are the parts of the fresco, together with swelling, having a higher risk of new detachments





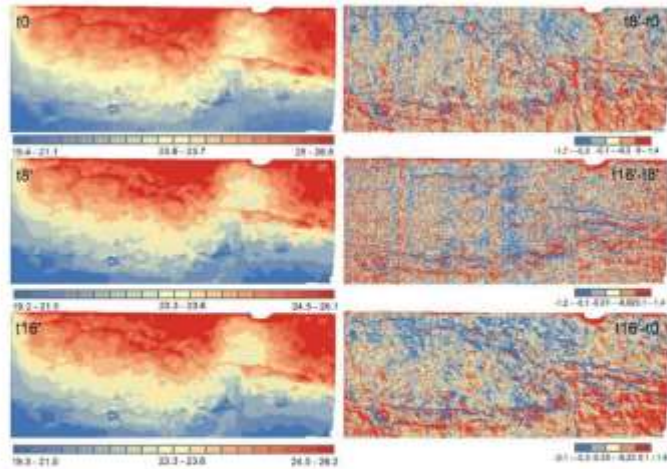
Contouring derived from DRM. The orange and the cyano classes could reveal areas more at risk

© Danese, Masini, Sileo



RESULTS: INFRARED THERMOGRAPHY (IRT) ANALYSIS

Three thermograms (t0', t8', t16') were preprocessed with **MAP ALGEBRA**, to calculate raster representing change over time. Three interval raster were obtained: t8'–t0', t16'–t8' and t16'–t0 and classified in 20 quantile classes.



© Danese, Masini, Sileo



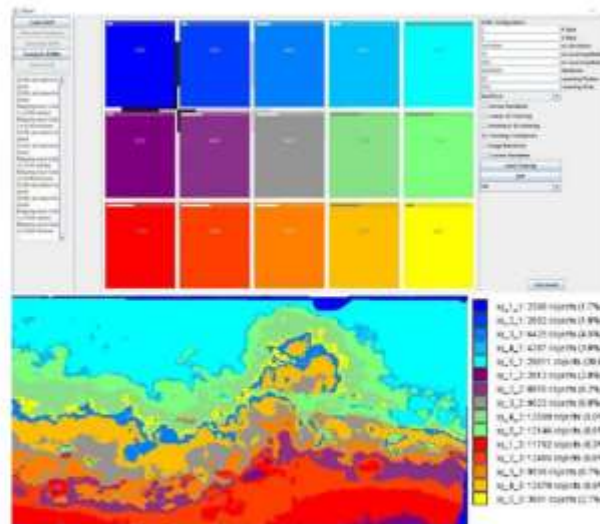
ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus



Cyprus University of Technology

RESULTS: INFRARED THERMOGRAPHY (IRT) ANALYSIS

Due also to the total dimension of the MIRT data set (each IRT raster is characterized by 603 (column) × 235 (row) × 3 (number of thermograms) = 425,115 pixels) we decided to analyze them with **SOM**. For the SOM a lattice of 5 * 3 elements was chosen.



From the result obtained first, it was possible to highlight and extract the major efflorescence in a better way than in the visual interpretation

Screenshot of the V-analytics with the obtained SOM and clusterization of MIRT

© Danese, Masini, Sileo



ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus



Cyprus University of Technology

RESULTS: Ground Penetrating Radar (GPR) Data Set Analysis

The GPR data set was analyzed with **HOTSPOT ANALYSIS** applied to each time slice at the different depth. The hotspot analysis, overlaid with damaged areas, highlights, better than the simple classification of the GPR raster, with the lower (the blue one) and the hotter (red) clusters zones characterized, respectively, by a better or worst adherence. The reliability of this type of analysis over GPR data is offered by the time slice more superficial. In fact, it shows the same anomalies visually detected with IRT

GPR time slices (left column) and corresponding Getis and Ord's Gi result (right column) at the different depth: z = 2.5 mm (a), z = 1.5 mm (b), z = 3.5 mm (c), z = 5 mm (d)

© Danese, Masini, Sileo

ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus

THE FINAL DECAY MAP AND QUANTIFICATION

The final map with decay patterns and risk areas extracted with spatial analysis

Code	Damage definition	Areas detected with visual interpretation (square meters)
0	Areas with the best state of conservation of the fresco	6.42
1	Superficial decay: Salts	0.93
2	Areas with discolouration risk	6.62
3	Irregularities	1.47
4	Damage involving all the stratigraphy, from the paint layer to deeper layers	
4	Swelling	0.46
4	Lack	
5	Surface	14.93
6	Medium	2.17
7	Deep lack	1.54
8	Areas with detachment risk	8.48
9	Water capillary rising:	
9	Low	2.37
10	Medium	2.24
11	High	0.99

© Danese, Masini, Sileo

ATHENA 4th Virtual Training Agenda: "Integration of RS data for Cultural Heritage management in the Copernicus Era" - 3.09.2018, Limassol, Cyprus

3. Overall

During the ATHENA project four virtual trainings have been organised and successfully implemented by the advanced partners (DLR and CNR) to the host institution (CUT). The virtual trainings were planned -when possible- when other actions of the project were also taking place, in an effort to maximize their overall impact and training outcomes.

The virtual trainings provided a very good opportunity to discuss various aspects of the use of remote sensing technologies for cultural heritage, while they pave the road for relevant scientific publications in conferences and journals.